

# Evidenztabelle

## S3-Leitlinie

### Palliativmedizin für Patienten mit einer nicht heilbaren Krebserkrankung

Version 1.0 – Mai 2015

AWMF-Registernummer: 128/001OL

# Evidenztabelle

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## 1.2. Herausgeber

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## 1.4. Finanzierung der Leitlinie

Diese Leitlinie wurde von der Deutschen Krebshilfe im Rahmen des Leitlinienprogramms Onkologie gefördert.

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## 1.6. Weitere Dokumente zu dieser Leitlinie

Bei diesem Dokument handelt es sich um die Evidenztabelle zur S3-Leitlinie Palliativmedizin für Patienten mit einer nicht heilbaren Krebserkrankung. Die Leitlinie steht als Langversion und Kurzversion zur Verfügung. Es wird außerdem eine Version für Patienten bzw. Laien geben. Das methodische Vorgehen bei der Erstellung der Leitlinie ist in einem Leitlinienreport dargestellt. Alle Dokumente sind auf den Seiten des Leitlinienprogramms Onkologie (<http://leitlinienprogramm-onkologie.de/Leitlinien.7.0.html>) sowie auf den Seiten von AWMF ([www.awmf.org](http://www.awmf.org)) und der Deutschen Krebshilfe ([www.krebshilfe.de](http://www.krebshilfe.de)) frei verfügbar

## 1.7. Zitierweise

Leitlinienprogramm Onkologie (Deutsche Krebsgesellschaft, Deutsche Krebshilfe, AWMF): Palliativmedizin für Patienten mit einer nicht heilbaren Krebserkrankung, Evidenztabelle 1.0, 2015, AWMF-Registernummer: 128 / 001OL, <http://leitlinienprogramm-onkologie.de/Palliativmedizin.80.0.html> (Zugriff am: TT.MM.JJJJ)

## 2. Hinweise zur methodischen Bewertung der Studien

Zur Klassifikation des Verzerrungsrisikos der identifizierten Studien wurde in dieser Leitlinie das in Tabelle 1 aufgeführte System des Scottish Intercollegiate Guidelines Network (SIGN) verwendet (siehe [www.sign.ac.uk/pdf/sign50.pdf](http://www.sign.ac.uk/pdf/sign50.pdf)).

Unter dem in den Empfehlungen angegebenen Level of Evidence nach SIGN (siehe Langversion dieser Leitlinie) wird ein Body of Evidence verstanden, der die gesamte identifizierte Evidenz zusammenfasst. Deshalb ist auch der Level of Evidence einer Empfehlung, deren Evidenzgrundlage auf einem Systematic Review basiert, der Body of Evidence der in diesem Review eingeschlossenen Primärstudien. Dieser Body of Evidence kann vom Level of Evidence des Systematic Reviews selbst (in den Evidenztabelle angegeben) abweichen. Die Qualität des Systematic Reviews kann nämlich hoch sein, während die Qualität der eingeschlossenen Studien, die sich im Body of Evidence widerspiegelt, niedrig ist.

**Tabelle 1: Schema der Evidenzgraduierung nach SIGN**

Grad	Beschreibung
1++	Qualitativ hochwertige Metaanalysen, Systematische Übersichten von RCTs, oder RCTs mit sehr geringem Risiko systematischer Fehler (Bias)
1+	Gut durchgeführte Metaanalysen, Systematische Übersichten von RCTs, oder RCTs mit geringem Risiko systematischer Fehler (Bias)
1-	Metaanalysen, Systematische Übersichten von RCTs, oder RCTs mit hohem Risiko systematischer Fehler (Bias)
2++	Qualitativ hochwertige systematische Übersichten von Fall-Kontroll- oder Kohortenstudien oder Qualitativ hochwertige Fall-Kontroll- oder Kohortenstudien mit sehr niedrigem Risiko systematischer Verzerrungen (Confounding, Bias, „Chance“) und hoher Wahrscheinlichkeit, dass die Beziehung ursächlich ist
2+	Gut durchgeführte Fall-Kontroll-Studien oder Kohortenstudien mit niedrigem Risiko systematischer Verzerrungen (Confounding, Bias, „Chance“) und moderater Wahrscheinlichkeit, dass die Beziehung ursächlich ist
2-	Fall-Kontroll-Studien oder Kohortenstudien mit einem hohen Risiko systematischer Verzerrungen (Confounding, Bias, „Chance“) und signifikantem Risiko, dass die Beziehung nicht ursächlich ist
3	Nicht-analytische Studien, z. B. Fallberichte, Fallserien
4	Expertenmeinung

## 3. Atemnot

### 3.1. Opioid

#### 3.1.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Jennings, Cochrane Review 2001 [1]	SR (18 RCT's MA (12 trials)	18 RCT's, doubleblind, cross-over, placebo-controlled	Patients with dyspnea n=293 COPD(178) CHF (13) IPD (10)	Any opioid to alleviate breathlessness: <ul style="list-style-type: none"> <li>oral or parenteral opioids (dihydrocodeine in the range of 15- 60mg 3x/d, diamorphine in the range of 2.5- 5 mg 4x/d, oral morphine 30mg and morphine sc. average 34 mg)</li> <li>nine nebulised opioids (1mg- 50mg)</li> </ul>	1.O: subjective measures of breathlessness: <ul style="list-style-type: none"> <li>Borg und modifizierte Borg- Tests</li> <li>Verbal categorical scales of breathlessness</li> <li>VAS of breathlessness</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Exercise tolerance</li> <li>Arterial blood gases</li> <li>Pulse oximetry</li> <li>Adverse effects of opioid drugs</li> <li>Quality of life</li> </ul>	This review shows a strong effect of treatment for breathlessness (12 studies: SMD = - 0.31; 95 % confidence interval -0.50 to - 0.13, P = 0.0008). For the breathlessness results, meta-regression comparing the non-nebulised and nebulised studies showed a significantly stronger effect for the non-nebulised studies (P = 0.02). A small but statistically significant positive effect of opioids was seen on breathlessness in the analysis of studies using non-nebulised opioids. There was no statistically significant positive effect seen for exercise tolerance in either group of studies or for breathlessness in the studies using nebulised opioids. For the exercise tolerance outcome, an effect of treatment is	Small sample sizes	1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>King Palliative Med 2011 b [2]</b>	SR / no MA to identify and assess the quality of evidence for the safe and effective use of opioids for the relief of cancer pain in patients with renal impairment and to produce guidelines.	15 trials (no RCTs) • 8 prospective • 7 retrospective	N=1179	Assessment of ▪ pharmacokinetics and neuropsychological effects of morphine ▪ morphine and metabolite levels ▪ relationship between morphine concentrations and opioid side-effects ▪ relationship between plasma concentrations of morphine and its metabolites and pain scores ▪ whether routine monitoring for morphine and morphine metabolite concentrations ▪ biochemical and haematological factors ▪ the use of alfentanil, fentanyl, sufentanil, hydromorphone ▪ factors associated with pethidine toxicity ▪ the effect of rotation from oral morphine to oxycodone ▪ the occurrence of toxicity	Different clinical outcomes that are relevant to the use of selected opioids in cancer-related pain and renal impairment.	indicated, although statistical significance is not achieved (12 studies: SMD=0.20; 95 % confidence interval -0.03 to 0.42, p = 0.09.)  • Risk of opioid use in renal impairment is stratified according to the activity of opioid metabolites, potential for accumulation and reports of successful or harmful use. • Fentanyl (1 <sup>st</sup> line), alfentanil (2 <sup>nd</sup> line) and tramadol/hydromorphone (use with care) are identified, with caveats, as the least likely to cause harm when used appropriately. • Morphine may be associated with toxicity in patients with renal impairment. ▪ Unwanted side effects with morphine may be satisfactorily dealt with by either increasing the dosing interval or reducing the 24 hour dose or by switching to an alternative opioid. ▪ No results for diamorphine, codeine, dihydrocodeine, buprenorphine, tramadol, dextropropoxyphene, methadone, remifentanil	▪ Recommendations regarding opioid use in renal impairment and cancer pain are made on the basis of pharmacokinetic data, extrapolation from non-cancer pain studies and from clinical experience. • All included studies have a significant risk of bias inherent in the study methodology and there is additional significant risk of publication bias • Overall evidence is of very low quality • Direct clinical evidence in cancer-related pain and renal impairment is insufficient to allow formulation of guidelines but is suggestive of significant differences in risk between opioids.	2++
	<i>[Although this paper refers to the symptom pain, it was included regarding evidence for the use of opioids in renal impairment which is unrelated to the indication, e.g. pain, breathlessness]</i>							

### 3.1.1.2. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of patients included/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Abernethy, BMJ 2003 [3]</b>	RCT, double-blind, crossover	n=48 10 drop outs	<ul style="list-style-type: none"> <li>Opioid naive out-patient adults with dyspnea at rest in spite of receiving optimal treatment of reversible factors.</li> <li>88% <b>COPD</b></li> <li>6% <b>cancer</b></li> <li>2% <b>motor neuron disease</b></li> <li>4% <b>restrictive lung disease</b></li> <li>73% male</li> <li>71% received supplemental oxygen</li> <li>Overall poor functional status</li> </ul>	<ul style="list-style-type: none"> <li>4 days of 20mg oral morphine with sustained release followed by</li> <li>4 days placebo, or vice versa.</li> </ul> <p>Laxatives provided as needed</p>	<p>1.O: <b>Dyspnea intensity</b> in the evening (VAS, 0-100 mm),</p> <p>2.O:  <ul style="list-style-type: none"> <li>Dyspnea in the morning (VAS, 0-100 mm),</li> <li>exercise tolerance (self-report)</li> <li>respiratory rate, blood pressure, heart rate, oxygen saturation</li> <li>self-report of sleep disturbance by breathlessness, nausea, vomiting, constipation, confusion, somnolence, appetite, and overall well-being as measured at the end of the four days treatment period.</li> </ul> </p> <p>Outcomes analysed at 4th day of respective treatment and compared to 4th day of other treatment (but not to baseline values)</p>	<ul style="list-style-type: none"> <li>morphine superior to placebo in evening <b>dyspnea</b> (improvement of 9.5 mm (95% confidence interval 3.0 mm to 16.1 mm))</li> <li>morphine superior to placebo in morning dyspnea (improvement of 6.6 mm (95% confidence interval 1.6 mm to 11.6 mm))</li> <li>less sleep disturbances by breathlessness with morphine compared to placebo (P = 0.039)</li> <li>no effects on exercise tolerance, overall well-being, sedation and respiratory rate</li> <li>morphine caused more distressing constipation than placebo</li> <li>dropouts due to (potential) side effects of morphine</li> </ul>	<ul style="list-style-type: none"> <li>Only very weak strategy 1+ to control compliance with medication intake</li> <li>no washout period</li> <li>baseline values were not taken into account</li> <li>no details on measurement procedures of respiratory rate, blood pressure, heart rate, oxygen saturation provided</li> <li>for some secondary measures, no data is provided, but only statements such as "no difference" between treatments occurred"</li> </ul>	
<b>Allard, J Pain Symptom Manage 1999 [4]</b>	randomized continuous sequential clinical trial, double-blind	n=33 (for some measures only 30 patients available)	Terminally ill <b>cancer</b> patients (median days of survival: 14,5-19) who were already receiving opioids regularly for pain relief and had persis-	Patients received in addition to regular opioid regimen once either: <ul style="list-style-type: none"> <li>Arm 1: 25% or</li> <li>Arm 2: 50% of their regular 4-hourly opioid dose</li> </ul>	<p>1.O: <b>Intensity of dyspnea</b> as measured 5x during 4 hours after drug administration on 10cm VAS</p> <p>2.O:</p>	<ul style="list-style-type: none"> <li>significant reduction of <b>dyspnea</b> relative to baseline after both treatments, but no difference between 25% or 50% supplementary dose; The overall mean difference between pre- and post-</li> </ul>	<ul style="list-style-type: none"> <li>no details on measurement procedures of respiratory frequency</li> <li>Impact of regularly scheduled or "as-needed" medications for breakthrough pain</li> </ul>	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			tent dyspnea after rest and treatment with oxygen of $\geq 2$ on 10cm VAS	Route of administration was same as the regular opioid regimen (oral and subcutaneous)	Respiratory frequency	randomization respiratory frequencies was 1.56 (SD =2.28 paired t-test: P = 0.0004). <ul style="list-style-type: none"> <li>dyspnea reduction lasted up to 4 hours</li> <li>sign. reduction of respiratory frequency relative to baseline after both treatments, but no difference between 25% or 50% supplementary dose</li> <li>reduction of respiratory frequency lasted up to 4 hours</li> <li>dyspnea reduction was relatively greater in patients with low /moderate dyspnea at baseline (33.1; (95% CI:1.0-65.4)) compared to those with high dyspnea intensity at baseline (11.1 (95% CI: 3.0-19.2))</li> </ul>	<ul style="list-style-type: none"> <li>or dyspnea on outcomes cannot be estimated</li> <li>small sample size</li> <li>treatment duration too short with only 1 treatment</li> </ul>	
<b>Bruera, J Pain Symptom Manage 2005 [5]</b>	RCT, double blind, crossover	n=12 (1 drop out)	<ul style="list-style-type: none"> <li>Patients with advanced cancer and resting dyspnea intensity <math>\geq 3</math> on 0-10 scale who received regular oral or parenteral opioids</li> <li>Patients had pre-</li> </ul>	<ul style="list-style-type: none"> <li>1 day with subcutaneous morphine plus nebulized placebo followed by</li> <li>1 day with nebulized morphine plus subcutaneous placebo, or vice versa (in addition to patients' regularly scheduled opioid</li> </ul>	1.O: <b>Intensity of dyspnea</b> as measured 1 hour after drug administration on 0-10 scale  2.O: global assessment of benefit, nausea, sweat, wheezing, and sedation on 0-10 scale	<ul style="list-style-type: none"> <li>significant reduction of <b>dyspnea</b> after both treatments, but no difference between subcutaneous and nebulized morphine</li> <li>no significant differences in nausea, sweat, wheezing, sedation between treatments</li> </ul>	<ul style="list-style-type: none"> <li>no washout period</li> <li>very small sample <math>\rightarrow</math> power problem</li> <li>treatment duration too short with only 1 day</li> </ul>	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			dominant restrictive ventilation	dose)		<ul style="list-style-type: none"> <li>dyspnea ratings</li> <li>over time</li> </ul>	<ul style="list-style-type: none"> <li>dyspnea reduction lasted up to 4.5 hours for both treatments</li> <li>preference of patients and investigators greater for nebulized morphine, but not statistically tested</li> </ul>	
Charles, J Pain Symptom Manage 2008 [6]	Pilot-RCT, double blind, crossover	n=25 (5 drop outs)	Cancer patients experiencing incident dyspnea who were using a stable regular dose of an opioid.	On 3 occasions of breathlessness patients received either <ul style="list-style-type: none"> <li>nebulized hydromorphone or</li> <li>a systemic breakthrough dose of hydromorphone</li> <li>or nebulized saline together with a blinding agent</li> </ul>	1.O: <b>Intensity of dyspnea</b> as measured 10 min post-treatment (nebulizer) and 18-19min post-treatment (oral or subcutaneous) on 10cm vertical VAS  2.O: <ul style="list-style-type: none"> <li>Intensity of dyspnea as measured 20, 30, and 60 minutes post-treatment on 10cm VAS</li> <li>patients subjective reports which treatment was most effective</li> <li>pulse rate, peripheral oxygen saturation, respiratory rate</li> </ul>	<ul style="list-style-type: none"> <li>significant reduction of <b>dyspnea</b> relative to baseline after all 3 treatments, but no sign. difference between treatments</li> <li>dyspnea reduction continued up to 60min post-treatment with no sign. difference between treatments</li> <li>no difference in patients subjective reports on which treatment was most effective</li> <li>significant reduction in respiratory rate 10min post-treatment lasting until 60min post-treatment <math>F(1,19)=10.04, P=0.005</math>, but no differences between treatments</li> <li>no consistent effects for pulse rate and peripheral oxygen saturation</li> </ul>	<ul style="list-style-type: none"> <li>small sample size</li> <li>treatment duration too short with only 1 use of each treatment</li> <li>nebulized saline (as control treatment) as effective as medical treatments → placebo effects or psychological effects (i.e., anxiety)?</li> <li>occasions of acute breathlessness were based on patients wish to receive treatment → could be influenced by psychological factors</li> </ul>	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Grimbert, Rev Mal Respir 2004 [7]	RCT, placebo-controlled, double-blind, cross-over	n=12 (2 Drop-outs (not intervention-related))	Adults receiving palliative care with dyspnea due to primary or secondary lung neoplasia, despite conventional treatment	<ul style="list-style-type: none"> <li>Arm 1: Morphine aerosols 20 mg, every 4 hrs during the day and on demand in the night (max 6 times in 24hrs)</li> <li>Arm 2: Placebo = normal saline (Wash-out period of 24 hrs)</li> </ul>	<p>1.O: dyspnea score by means of VAS nebulisation; evaluation by 7 categories of persons independently of each other (patient, physiotherapist, nurse, enrolled nurse, physician, resident, medical student)</p> <p>2.O: respiratory rate and oxygen saturation before and after nebulisation</p>	<ul style="list-style-type: none"> <li>Significant improvement in the <b>dyspnea score</b> after inhalation of morphine and placebo (<math>p = 0,00001</math>; effect size not mentioned)</li> <li>No significant difference in the <b>dyspnea score</b> between morphine and placebo (<math>p &gt; 0,05</math>). It suggests that humidification or placebo effect leads to an subjective improvement</li> <li>No change in respiratory rate or oxygen saturation</li> <li>Significant differences between the <b>dyspnea score</b> according to the evaluator: the scores of the physicians, residents and medical students were similar to those of the patients; scores of the nurses, enrolled nurses and physiotherapists underestimated the subjective sensation of the patients.</li> <li>Upward trend of <b>dyspnea score</b> by higher dosis of morphine</li> <li>No side effects in the morphine group</li> </ul>	<ul style="list-style-type: none"> <li>Small sample size</li> <li>Inclusion of 5 patients receiving oral or transdermal morphine for pain</li> <li>11 men and 1 woman recruited &gt; general applicability?</li> <li>No details to baseline data</li> </ul>	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of patients included / Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Jensen, J Pain Symp-tom Manage 2011 [8]	RCT, placebo-controlled, double-blinded	n=12	patients with stable COPD, ≥ 40 years, ≥ 20 py nicotine abuse	<ul style="list-style-type: none"> <li>50 µg fentanyl inhalation vs.</li> <li>placebo</li> </ul> 10 min. later measurement of pulmonary function and exercise tests within 1 h, cross over for each patient on two separate days	<ul style="list-style-type: none"> <li>pulmonary function testing</li> <li>exercise endurance time</li> <li>dyspnoea intensity during exercise (Borg scale)</li> </ul>	Fentanyl inhalation significantly increases exercise endurance time (p=0.01) and inspiratory capacity at peak exercise (p≤0.03); increase in <b>dyspnoea intensity</b> less with fentanyl (p=0.03)	Fentanyl inhalation significantly increases exercise endurance time and improves inspiratory lung capacity at peak exercise. Small study but sample size calculation. No wash-out	1+
Johnson, Eur J Heart Fail 2002 [9]	RCT, placebo-controlled, double-blinded (pilot study)	n=10	Patients. with <b>chronic heart failure</b> , NYHA III/IV (EF ≤ 35%), clinically stable without changed NYHA status for 1 month and unchanged medication for 2 weeks, male gender, age 45-85, median 67 years	<ul style="list-style-type: none"> <li>5 mg morphine p.o. 4x per day for 4 days vs.</li> <li>placebo</li> </ul> cross over for each patient on day 2	<b>dyspnoea intensity</b> by VRS (0-100)	morphine relieves breathlessness (p=0.022), when given orally by day 2; side effects with sedation from day 3 (p=0.013) and constipation (p=0.026) under morphine treatment	<ul style="list-style-type: none"> <li>Orally taken morphine can reduce breathlessness due to chronic heart failure,</li> <li>small underpowered study</li> <li>All men &gt; general applicability?</li> </ul>	1-
Mazzocato, Ann Oncol 1999 [10]	RCT, placebo-controlled, double-blinded	n=9; (opioid-naïv: n=7; opioid pretreated: n=2)	Elderly patients. (66-83, median 73 y.) with <b>advanced cancer</b> disease	<ul style="list-style-type: none"> <li>5 mg morphine s.c. in opiate naïve patients (or +3.75 mg morphine additionally to preexisting oral morphine dosage), versus</li> <li>placebo,</li> </ul> cross over for each patient on day 2	1.O: dyspnoea intensity by VAS (0-100) and Borg scale 2.O: <ul style="list-style-type: none"> <li>pain, somnolence, anxiety</li> <li>respiratory effort</li> <li>respiratory rate</li> <li>O2 saturation</li> </ul> before and 45 min after injection of Mo or placebo. VAS every 15 min for 2 hrs, then every hour up to 4 hours after injection	morphine significantly better than placebo for <b>dyspnoea</b> relief (VAS p<0.01; Borg: p=0.03)	morphine s.c. appears effective for cancer dyspnoea, but very small study with n=9 patients without achieving recruitment aim of 20 patients. No description of randomisation, concealment and blinding.	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of patients included / Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Navigante, J Pain Symptom Manage 2006 [11]</b>	RCT, single-blinded	n=101; morphine treated group (Mo; n=35), midazolam treated group (Mi; n=33), morphine + midazolam treated group (MM; n=33) Drop-outs: n=31 (death)	Terminal advanced cancer disease, life expectancy < 1 week, ≥ 18 years, ECOG 4, severe dyspnoea	<ul style="list-style-type: none"> <li>Mo group: 2.5 mg morphine s.c. every 4 h for opioid naive patients., in case of opioid baseline therapy 25% increase above baseline dosage, in case of breakthrough dyspnoea midazolam 5 mg</li> <li>Mi group: 5 mg midazolam s.c. every 4 h, in case of breakthrough dyspnoea morphine 2.5 mg s.c.</li> <li>MM group: combination of both baseline drugs, in case of break-through dyspnoea</li> <li>a morphine 2.5 mg s.c.</li> </ul>	1.O: <ul style="list-style-type: none"> <li>dyspnoea intensity (Borg scale),</li> <li>dyspnoea relief after 24 / 48 h (yes/no)</li> </ul>	Dyspnoea relief after 24 h significantly better in MM group with p=0.0004 vs. Mi and with p=0.03 vs. MO group, at 48 h percentage of pt. without dyspnoea relief with 4% in MM group (p=0.04 vs. Mi) <b>Dyspnea intensity:</b> The median values of dyspnea intensity (considering all the patients) were 3 (IR 2--5.5), 4 (IR 2--6.2), and 3 (IR 2--5) for Mo, Mi, and MM, respectively (P=NS for intergroup comparison).	Addition of midazolam to morphine therapy is beneficial in controlling dyspnoea for dying cancer patients. Single blinding questionable: Patients who received mo. were systematically premedicated with laxatives. No mention of ITT-analysis. Drop-out ca. 33% (due to death by terminal advanced disease). No sample size calculation	1-
<b>Navigante, J Pain Symptom Manage 2010 [12]</b>	RCT, single-blinded	n=63; morphine treated group (Mo; n=31), midazolam treated group (Mi; n=32). Drop out: n=2	ambulatory patients. with advanced cancer disease, ≥ 18 years, ECOG ≤ 3, moderate and severe dyspnoea	<ul style="list-style-type: none"> <li>Mo group: 3 mg morphine p.o. with incremental steps of 25% every 30 min. until dyspnoea intensity is reduced at least 50%, then every 4 h (except for sleeping time)</li> <li>Mi group: 2 mg midazolam p.o. with incremental steps every 30 min. until dyspnoea intensity is reduced at least 50%, then every 4 h (ex-</li> </ul>	<ul style="list-style-type: none"> <li>dyspnoea intensity by NRS (0-10 scale) for follow-up phase (FUP)</li> <li>dyspnea relief for fast titration phase</li> <li>side effects</li> </ul>	Dyspnea relief in both groups, after 2d significantly better in midazolam vs. morphine group, p<0.001. <b>Dyspnea intensity:</b> significantly lower dyspnea intensity level in midazolam group in comparison with the morphine group, during the four days of follow-up.(midazolam 6 (MAD = 1) and morphine 4.5 (MAD = 1.5) (P < 0.001, to baseline) No serious AEs that required	midazolam p.o. appears to be a better option than morphine p.o. for controlling dyspnoea in ambulatory cancer patients Single blinding questionable: Patients who received morphine were systematically premedicated with laxatives. Sample size calculation > powered study.	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of included pa- tients/ Drop-	Patients characteris-	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				cept for sleeping time)		drug discontinuation. Most common AE: somnolence.		
<b>Oxberry, Eur J Heart Fail 2011 [13]</b>	RCT, placebo- controlled, double-blinded	n=39 (drop out: n=4)	patients with <b>chronic heart failure</b> , NYHA III/IV (EF < 45%), clinically stable with- out changed NYHA status for 1 month and unchanged medi- cation for 2 weeks, age 41-89, mean 70.2 years	<ul style="list-style-type: none"> <li>▪ 5 mg morphine p.o. 4x per day for 4 days vs.</li> <li>▪ 2.5 mg oxycodone p.o. 4x per day for 4 days vs.</li> <li>▪ placebo</li> </ul> Cross over for each patient after 3 days	1.O: mean change in dyspnoea intensity by NRS (0-100) over the past 24h. 2.O: <ul style="list-style-type: none"> <li>• change in worst dyspnoea intensity by NRS (0-100) over the past 24h.</li> <li>• breathlessness now</li> <li>• breathlessness severity (Borg)</li> <li>• coping with breathlessness and satisfaction with treatment (NRS)</li> <li>• change in physical function (Karnofsky)</li> <li>• QoL (SF-12)</li> <li>• Adverse events</li> </ul>	<b>Mean change in dyspnoea intensity:</b> no statistically significant effect for low-dose opioids (both morphine or oxycodone) in chronic heart failure detected [21.37 in NRS score for placebo group vs. 20.41 in morphine group (P ¼ 0.13) and 21.29 for oxycodone group (P ¼ 0.90)] <b>Adverse event:</b> opioids well tolerated. <b>QoL</b> unchanged.	no benefit shown for the relief of breathlessness with low-dose oral opioids in chronic heart failure, follow-up study to Johnson, 2002, short treatment period for opioids to discover significant differences. Sample size calculation > ITT analysis.	1++

## 3.2. Andere Medikamente (Benzodiazepine, Phenothiazine, Antidepressiva, Buspiron, Steroide)

### 3.2.1. Benzodiazepine

#### 3.2.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence
Simon, Cochrane Review 2010 [14]	SR mit MA	5 RCT, cross-over, double-blind and 2 RCT parallel, single-blind	N=200: COPD (52), Cancer (148)	Clorazepate 7,5–22mg/day, Lorazepam 1mg/day, Midazolam 8–20mg/day, Alprazolam 0,75–1 mg/day, Diazepam 25mg/day; control: Placebo, Morphin, Promethazin or combination; treatment durations ranged between 48h and two weeks	1.O: subjective measurement of breathlessness on validated and reliable scale: categorical scales (e.g. VAS, NRS, modified Borg) 2.O: measurement of anxiety, depression, quality of life and attrition, adverse effects of benzodiazepine, functional exercise capacity (e.g. walking test)	There is no evidence for a beneficial effect of benzodiazepines in the relief of breathlessness in patients with advanced cancer and COPD. There is a slight, non-significant trend towards a beneficial effect but the overall effect size is small (SMD of -0.13 (95%CI -0.52 to 0.25)).		1++

#### 3.2.1.2. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/ control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Allcroft, J Pall Med 2013 [15]	Single-site open-label phase II study (pilot)	N=11 drop-out=1	COPD patients (median age 78 years) 8 male 3 female	clonazepam 0.5 mg nocte orally plus 10 mg sustained release morphine sulphate orally mane together with docusate/sennosides	1.O: Breathlessness intensity on day 4 (VAS 0–100)	The median score for morning average dyspnea right now was 49.5 (6 to 87) with a median reduction of 9mm (23mm worsening to 80mm	<ul style="list-style-type: none"> <li>One person withdrew on day 4 because she was feeling unsteady on her feet.</li> <li>Quality of sleep showed</li> </ul>	2-

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel)	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/ control	Outcomes (1.0=primary out- come; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
						improvement) over baseline and in the evening a median of 45.4 (2 to 84) with a median improvement of 6.5mm (18mm worsening to 64mm improvement) over baseline.	no change over base- line.	
<b>Stege, Resp Med 2010 [16]</b>	RCT, double- blind, cross- over, placebo- controlled	n=14, dropout=3	Stable patients with COPD  10 male, 4 female	Temazepam 10mg/day Control: placebo Duration: one week	1.0: pCO2 and pO2, oxygen saturation 2.0: subjective measurement of dyspnoea (VAS) and other sec- ondary Outcomes	One week usage of temaze- pam 10mg did not cause statistically significant changes in VAS dyspnea compared to placebo (te- mazepam 4.2±2.9 vs placebo 4.1±2.5, p=0.90).		1+

## 3.2.2. Phenothiazine

### 3.2.2.1. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= 18ignifdary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
O'Neill, Br J Clin Pharmac 1985 [17]	RCT, double-blind, cross-over	n=12	<b>Healthy subjects:</b> mean age 30 years (range=23-39 years, 10 non-smokers, 2 smokers)	n=12 ▪ Promethazine 25mg vs.placebo	1.O: dyspnea-intensity 2.O: lung function Measurement: ▪ VAS ▪ peak expiratory flow rate ▪ breath-holding time ▪ peak level of CO2 ▪ sedation	Promethazin: ▪ there were no significant difference between treatments in the relationship of <b>breathlessness</b> to ventilation during exercise. At the standardised level of ventilation the mean breathlessness score after placebo was 51.4% and after promethazine 50.2%.	▪ small sample size ▪ only healthy participants ▪ old study	1-
		n=6 out of n=12	n=6 Six of these subjects were selected on the basis of availability proceeded to the second part of the study	n=6 ▪ chlorpromazine 25mg vs.mebhydroline 50mg vs.placebo	Measurements started 75min after administration of the treatment.	▪ Mebhydrolin: ▪ had no effect  Chlorpromazine: ▪ reduced breathlessness without influencing ventilation and sedation		
Rice, Br J Dis Chest 1987 [18]	RCT, double-blind, cross-over trial	n=11 (4 drop out)	Clinically stable male patients, primary diagnosis <b>COPD</b> (FEV1 <60%), aged between 50 and 70 years, long history of cigarette smoking. Exclusion criteria: PCO2 >55mmHg, history of chemical	▪ Codeine 30mg 4xd vs. promethazine 25mg 4xd each for one month	1.O: intensity of dyspnea 2.O: lung function  Measurements: ▪ VAS ▪ spirometer ▪ arterial blood gas analysis ▪ 12min walking test  (all datas were collected daily,	▪ No improvement in <b>breathlessness</b> or <b>exercise tolerance</b> with long-term administration of codeine (M=5,7; SEM= 0,6) or promethazine (M=6.0; SEM=0,4) ▪ Statistic significant increase of pCO2 while taking codeine (P<0,01 at 24 hours;	▪ 1 patient dropped out after developing acute urinary retention while taking codeine ▪ 2 patients exacerbate while taking codeine, 1 patient exacerbated while taking promethazine - all of them required hospitalisa-	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= 18ignifdary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			dependence, significant liver or kidney disease		beginning one week before taking drugs the first time except the 12min walking test: once a week, duration of study=2month)	P>0,05 at 1 month)	tion. <ul style="list-style-type: none"> <li>▪ Drowsiness was reported often as a side effect.</li> <li>▪ small sample size</li> <li>▪ old study</li> </ul>	
<b>Stark, Clin Sci 1981 [19]</b>	CCT, (double-blind), cross-over	n=6	<b>Healthy men:</b> 20-39 years old	Induction of dyspnea by exercise/ exposure to carbon dioxide to <ul style="list-style-type: none"> <li>▪ 10mg diazepam or</li> <li>▪ 25mg promethazine or</li> <li>▪ placebo</li> </ul>	1.O: sensation of dyspnea, lung function; Measurement by <ul style="list-style-type: none"> <li>▪ VAS</li> <li>▪ lung function parameter (before exercise or exposure to CO<sub>2</sub>, measure conducted 75 min after drug intake; during exercise or exposure to CO<sub>2</sub>, measure every 2-3 min)</li> </ul>	No reduction of acute <b>dyspnea</b> during exercise or CO <sub>2</sub> exposure by diazepam or promethazine (slight trend for improvement of dyspnea intensity during exercise without statistical significance)	<ul style="list-style-type: none"> <li>▪ Placebos and drugs looked different and were applied by assistants</li> <li>▪ Each patient received each drug and placebo during the study</li> <li>▪ small sample size</li> <li>▪ old study</li> </ul>	1-
<b>Woodcock, BMJ 1981 [20]</b>	RCT, cross-over, double-blind, placebo-controlled	n=18 (3 dropout)	Men with <b>severe COPD:</b> without hyperkapnia with moderate or severe dyspnea (pink puffer), ex-smokers: pack-ages per year (m=41,6; R=10-160) abstinent since (m=4,3 Jahre; R=0,5-20 Jahre)	<ul style="list-style-type: none"> <li>▪ 25mg diazepam (5-5-5-2x5mg),</li> <li>▪ 125mg promethazine (25-25-2x25 mg),</li> <li>▪ placebo (1-1-1-2) in three consecutive two-week periods</li> </ul>	1.O: exercise tolerance, dyspnea intensity <ul style="list-style-type: none"> <li>▪ dyspnea-measurement: VAS</li> <li>▪ lungfunction measurement: expiratory flow rate, FEV1, FVC</li> <li>▪ Walking distance/ bodily symptom scores /treadmill test/ progressive exercise test on bicycle ergometer</li> </ul> 2.O: intensity of fear- and depression <ul style="list-style-type: none"> <li>▪ Psychological measurement with Morbid Anxiety Inventory/ Beck Depression Inventory</li> </ul>	<ul style="list-style-type: none"> <li>▪ Promethazine: Small but significant reduction of <b>breathlessness</b> and improvement of <b>exercise tolerance</b>, no effect on lung function (effect size not mentioned)</li> <li>▪ Diazepam: Had no effect on breathlessness and noticeably reduced exercise tolerance, contraindicated in patients with obstructive airways disease, unless there is a serious unrest and a lower PaCO<sub>2</sub></li> </ul>	<ul style="list-style-type: none"> <li>▪ 1 patient died during an exacerbation of breathlessness while taking diazepam</li> <li>▪ 1 patient withdrew because he suffered intolerable drowsiness (diazepam)</li> <li>▪ Patients needed a reduction in dosage because of drowsiness (5 diazepam - 1 promethazine)</li> <li>▪ It is unclear if they were provided between the two-week periods without taking sedating</li> </ul>	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= 18ignifdary out- come) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
					(measurement after five minutes exercise)		medications <ul style="list-style-type: none"> <li>▪ small sample size</li> <li>▪ old study</li> </ul>	

### 3.2.3. Antidepressiva

#### 3.2.3.1. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Borson, Psychosomatics 1992 [21]</b>	RCT, double-blind, placebo-controlled	n =36	Patients with <ul style="list-style-type: none"> <li>▪ <b>COPD</b> (FEV1 /FVC&lt;60%)</li> <li>▪ <b>coexisting depressive disorder</b></li> </ul>	<ul style="list-style-type: none"> <li>▪ 1x0,25mg/kg per day Nortryptilin (n=13), increased weekly till 1 mg/kg, then for 8 weeks administered (12 week duration)</li> <li>▪ placebo (n=17)</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>▪ „Mood“ (Clinical Global Improvement Scale, CGI)</li> </ul> <b>2.O:</b> <ul style="list-style-type: none"> <li>▪ Dyspnea (Pulmonary Function Status Instrument, PFSI) and VAS. In addition, measurements with VAS before and after a 12min walking test. The most severe dyspnea and the median change were recorded before and after exercise.</li> <li>▪ „Distressing physical symptoms“ (35-item „Patient Rated Anxiety Scale“)</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>▪ Mood: 10 of 13 sustained improvement compared with placebo group and 2 of 17 in the placebo group showed improvement (Shi-Square=13.0, p=0,0003)</li> </ul> <b>2.O:</b> <ul style="list-style-type: none"> <li>▪ <b>dyspnea:</b> no difference between the groups neither during rest nor during load. Only in ADL with mild exercise shows a positive effect of nortryptilins (p=0,04)</li> <li>▪ „Distressing Physical Symptoms“: improvement with nortryptilin of somatic symptoms (p=0,08)</li> </ul> There is no significant effect about the relief of dyspnea. The authors ascertaining, there could be significance with a bigger sample size at least for light exercise.	Although the study reached its primary endpoint, there is no significant effect on dyspnoea. The authors speculate, that this could be due to the low patient number. COPD Patients are not readily comparable with cancer patients. From my point of view, nortryptiline cannot be recommended as a therapy for dyspnoea in cancer patients.	1-
<b>Eiser, COPD 2005 [22]</b>	randomized, placebo-controlled trial	N=28 (14 women, 14 men)	<ul style="list-style-type: none"> <li>▪ <b>depressed COPD</b> (FEV1 ≤60%) patients</li> </ul>	<ul style="list-style-type: none"> <li>▪ Paroxetine 20mg daily or</li> <li>▪ Matched placebo for six weeks.</li> <li>▪ Subsequently, all patients took un-blinded Paroxetine</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>▪ QoL [St. Georges Respiratory Questionnaire (SGRQ)]</li> <li>▪ Depression [Montgomery Asberg Score (MADR)]</li> </ul>	<ul style="list-style-type: none"> <li>▪ After 6 weeks there were no clinically significant changes in 6MWD or SGRQ values, but all depression scores improved, particularly</li> </ul>	The study was named as a „pilot study“ by the authors due to a protocol Amendment. They speculate, that the inter-	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.0=primary out- come; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				ine for 3 months.	<ul style="list-style-type: none"> <li>▪ 6 minute walking distance (6MWD)</li> <li><b>2.0:</b></li> <li>▪ Lung function</li> <li>▪ peak-flow</li> <li>▪ dyspnea and effect of breathlessness on a quality of life on a 5-point scale (not mentioned in detail)</li> </ul>	<ul style="list-style-type: none"> <li>▪ larily the MADR score. (baseline HAD(depression), BDI and MADRS scores of 12, 21 and 23 respectively fell significantly to 8, 12 and 9 (p &lt; 0.0001) at the 12th week)</li> <li>▪ After 3 month in the open label study, there is a significant improvement in 6MWD(r = -0.424, p &lt; 0.01), SGRQ and MADR (significantly correlated with improved symptom scores of the SGRQ (r = 0.3372, p &lt; 0.02, and r = 0.279, p &lt; 0.05, respectively)) compared to the baseline scores</li> <li>▪ But no improvement in lung-function or <b>dyspnea-scores</b></li> <li>▪ The authors conclude, because of a number of problems in the conduct of the study, it should be regarded as a pilot study only.</li> <li>▪ Besides 6 weeks of antidepressant treatment was insufficient to significantly ameliorate the depression.</li> <li>▪ The study does not allow any valid information re-</li> </ul>	<p>val of six weeks might have been too short to see an effect. Due to the endpoint "dyspnoea", no valid conclusion is possible.</p>	

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
						garding dyspnoea.		
Lacasse, Monaldi Arch Chest Dis 2004 [23]	Randomized, placebo-controlled	n=23	Patients with <ul style="list-style-type: none"> <li>▪ COPD</li> <li>▪ significant depressive symptoms</li> </ul>	<ul style="list-style-type: none"> <li>▪ Paroxetine 5mg daily,(n=12) with weekly 5-mg increments up to a maximum of 20 mg</li> <li>▪ placebo (n=11)</li> <li>▪ 12 week-duration</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>▪ „Emotional Function“: change in score of this domain after 12 weeks, Chronic respiratory questionnaire (CRQ)</li> </ul>	<ul style="list-style-type: none"> <li>▪ The trial was stopped prematurely because of difficulties in patients' accrual.</li> <li>▪ Significant improvement in the primary outcome, [emotional function (adjusted mean difference: 1.1; 95% confidence interval [CI]: 0.0- 2.2)] but its losing significance in the ITT-analysis</li> <li>▪ Improvement of <b>dyspnea</b> and fatigue without reaching statistical significance</li> </ul>	The study is not feasible to answer the key question. Dyspnoea was not defined as an endpoint, the drop-out rate was too high and no cancer patients were included.	1+
Perna, Depress Anxiety 2004 [24]	Case series	n=6	Patients with <b>severe COPD</b>	Citalopram 1x20mg/d for 4 weeks	<b>1.O:</b> <ul style="list-style-type: none"> <li>▪ FEV 1</li> <li>▪ paO2</li> <li>▪ paCO2</li> <li>▪ subjective measurement of dyspnea with the Borg-scale</li> <li>▪ 6min. walking test</li> </ul>	<ul style="list-style-type: none"> <li>▪ Improvement in all parameters. <b>Dyspnea</b> measurement on the Borg-scale from 7,7 to 3,5.</li> <li>▪ Extension of walking distance in average from 165m to 220m.</li> </ul>	Placebo effect is not negligible, as long as there is no control group.	3
Smoller, Psychosomatics 1998 [25]	Case series	n=7	Patients with <ul style="list-style-type: none"> <li>▪ COPD (n=1)</li> <li>▪ asthma (n=5)</li> <li>▪ idiopathic emphysema (n=1)</li> <li>▪ with and without mood or anxiety disorders</li> </ul>	Sertraline 25-100mg/day for four weeks up to 16 months	<ul style="list-style-type: none"> <li>▪ FEV1</li> <li>▪ FVC</li> </ul>	<ul style="list-style-type: none"> <li>▪ Report of <b>dyspnea</b> improvement in general without measurement</li> <li>▪ SSRI may be particularly useful and well tolerated in anxious or depressed patients with COPD and might diminish <b>dyspnea</b> in some pulmonary patients, even in the absence of a diagnos-</li> </ul>	No data on dyspnea given only very unspecific description that dyspnoea improved. Only case series.	3

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Ström, Eur Respir J 1995 [26]</b>	Randomized, placebocontrolled, parallelgroup, doubleblind multicentric	n=26	Patients with <ul style="list-style-type: none"> <li>▪ <b>COPD</b></li> <li>▪ mild or moderate hypoxaemia (pAO<sub>2</sub>: 6,7– 8,7 kPa; FEV<sub>1</sub> / FVC &lt; 0,7) following a run-in period of 4 weeks, in order to assess the stability of hypoxaemia</li> </ul>	<ul style="list-style-type: none"> <li>▪ Protryptiline 10mg daily (n=14)</li> <li>▪ placebo (n=12)</li> <li>▪ 12 week-duration</li> </ul>	<ul style="list-style-type: none"> <li>▪ arterial blood gas tensions</li> <li>▪ spirometry volumes</li> <li>▪ QoL (Sickness Impact Profile; SIP; Mood Adjective Check List; MACL; und Hospital Anxiety and Depression Scale; HAD)</li> <li>▪ dyspnoea score (graded on a six stepp scale, ranging from 0=no dyspnoea to 6=dyspnoea at the last effort)</li> </ul>	<ul style="list-style-type: none"> <li>▪ the mean PaO<sub>2</sub> increased 0.2 kPa in both groups during the same time after exclusion of patients having an exacerbation of COPD</li> <li>▪ <b>QoL and dyspnoea:</b> no differences</li> <li>▪ High incidence of protryptiline-induced anticholinergic side-effects observed during the 12 week treatment period of our trial suggests that the tolerability of higher doses might be quite limited.</li> </ul>	able psychiatric disorder <ul style="list-style-type: none"> <li>▪ No clinically significant changes in FEV<sub>1</sub></li> </ul> Placebo-group is significantly younger.	1-

### 3.2.4. Buspiron

#### 3.2.4.1. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Argyropolou, Respiration 1993 [27]	RCT, Double-blind, cross-over trial	n=16 (no dropouts)	<b>COPD</b> patients: FEV1 <1,5l PaCO2/ FVC ratio <65%	<ul style="list-style-type: none"> <li>20mg Buspiron (5-5-10mg) daily</li> <li>placebo</li> <li>2 consecutive 15 days periods in a cross-over design</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>dyspnea on exertion and exercise tolerance (measurement: 6min walking test, incremental cycle ergometer test, incremental treadmill walking test</li> <li>self-assessment of dyspnea (Borg's scale during exercise)</li> </ul> <b>2.O:</b> <ul style="list-style-type: none"> <li>respiratory drive (P 0,1)</li> <li>arterial blood gas</li> <li>Inspiration: expiration relation</li> <li>„Symptom Check List 90R“ (SCL-90)</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>significant improvement of <b>walking distance</b> while taking buspirone (placebo:377m, buspirone:387m)</li> <li>Perception of <b>dyspnea during exercise</b> improved as assessed by an increment in distance walked at dyspnea score 5 during buspirone treatment (placebo: 77m, buspirone: 86m).</li> </ul> <b>2.O:</b> <ul style="list-style-type: none"> <li>Arterial blood gases and respiratory drive do not differ significantly after the two different treatments.</li> <li>Significant improvement of SCL-90 Index in the dimensions general symptom index, depression, anxiety, hostility and phobic anxiety while taking buspirone.</li> </ul>	In addition to the small sample size the cross-over design is not described in detail, neither about the wash-out period nor about the intra-individual differences.	1-
Singh, Chest 1993 [28]	RCT, Double-blind, placebo-controlled	Included in study n=15, included in analysis n=11 (due to 4 drop outs)	patients with stable <b>COPD</b> : FEV1 < 1,4 and FEV1 / FVC < 0,5,	<ul style="list-style-type: none"> <li>3xd 10-20mg buspiron</li> <li>Placebo</li> <li>for 6 weeks with the option to double the</li> </ul>	<b>1.O:</b> <ul style="list-style-type: none"> <li>reducing anxiety (State Trait Anxiety Inventory, STAI)</li> <li>improving exercise tolerance:</li> </ul>	No significant differences in anxiety scores, workload, maximum oxygen consumption per minute, maximum	Imbalances between the arms. The patients cannot be described as anxious (STAI at screening >50, at	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.0=primary out- come; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			Score >50 on Spiel- berger State-Trait Anxiety Inventory Scale (STAI), aged 40-75 years	dosis after 3 weeks	spirometry, 12min walk, Incremental exercise (ergometer) ▪ dyspnea: modified BORG	expired volume per minute, PETCO <sub>2</sub> , PETO <sub>2</sub> , 12 min <b>walking distance</b> or <b>dyspnea scores</b> after 6 weeks of buspirone or placebo thera- py. The mean Borg score at the end of the 12-min walk tended to be lower after the treatment with buspirone (4.6±3.8 vs 5.8±3.6 with placebo), but the difference did not achieve statistical significance and was due to one patient having a much higher Borg score while re- ceiving placebo.	baseline <50). Sample size too small for valid results.	

### 3.2.5. Steroide (Glucocorticoide)

#### 3.2.5.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Walters, Cochrane Review 2009 [29]	SR/MA	24 RCTs: <ul style="list-style-type: none"> <li>19 crossover</li> <li>5 parallel</li> </ul>	Stable <b>COPD</b> (moderate or severe in 15 studies)	Arm 1: Oral corticosteroids: <ul style="list-style-type: none"> <li>Prednisolone (23) – Betamethasone (1)</li> <li>High dose (equivalent prednisolone 30–40mg/d) (21)</li> <li>Short term therapy (≤3 weeks) (19)</li> <li>Inhaled steroids excluded (16)</li> </ul> Arm 2: Placebo	1.O: <ul style="list-style-type: none"> <li>FEV1 (23)</li> <li>HRQL (3)</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Proportion of responders</li> <li>Acute exacerbations (4)</li> <li>Symptom severity (13), of which breathlessness (3)</li> <li>Functional capacity (6)</li> <li>Adverse effects (6)</li> </ul>	<ul style="list-style-type: none"> <li>Differences in <b>symptom scores</b> were not significant.</li> <li>The clinical importance of the differences found in <b>12min walk distance and shuttle walk distance</b> is uncertain and it probably depends on the severity of COPD</li> <li>All differences in health-related <b>quality of life</b> were less than the minimum clinically important difference.</li> <li>Increased risks of <b>adverse effects</b> on blood pressure, blood glucose, plasma cortisol and serum osteocalcin.</li> </ul>	The absence of a washout period in many of the trials with a crossover design is of concern, particularly as the duration of improvement in outcomes detailed above is not clear. Fortunately, from the perspective of meta-analysis, this is likely to minimise rather than exaggerate the difference between active intervention and control.	1++
Yang, Cochrane Review 2007 [30]	SR/MA	47 RCTs (n=13.139), double-blind <ul style="list-style-type: none"> <li>12 crossover</li> <li>35 parallel</li> </ul>	<b>COPD</b> (according to international criteria or lung function and smoking history)	Arm 1: Inhaled (not nebulised) corticosteroids (ICS): <ul style="list-style-type: none"> <li>Budesonide, beclomethasone, fluticasone, triamcinolone, mometasone</li> <li>Study duration: short term ≤2 months (16), medium term 2–6 months (15), long term ≥ 6 months (16)</li> </ul>	1.O: <ul style="list-style-type: none"> <li>Lung function</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Mortality</li> <li>Exacerbations (4)</li> <li>QoL (SGRQ) and symptoms (CRQ)</li> <li>Use of rescue bronchodilators</li> <li>Exercise capacity</li> <li>Biomarkers</li> <li>Predictors of response</li> </ul>	<ul style="list-style-type: none"> <li>Some medium term studies showed an improvement in <b>respiratory symptoms</b>, but not all studies were able to demonstrate this.</li> <li><b>Exercise capacity</b> was only infrequently measured, and overall no significant difference was found with ICS.</li> <li>ICS slowed the rate of decline in <b>quality of life</b>, as</li> </ul>	There was wide variability in study characteristics, including dose and duration of ICS, severity of COPD, inclusion criteria and outcomes studied. Furthermore, results for outcomes were sometimes either missing or not able to be pooled.	1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				<ul style="list-style-type: none"> <li>Long-acting <math>\beta_2</math>-agonists as co-intervention excluded</li> </ul> Arm 2: Placebo	<ul style="list-style-type: none"> <li>Adverse effects</li> </ul>	measured by the St George's Respiratory Questionnaire (WMD -1.22 units/year, 95% CI -1.83 to -0.60, 2507 participants) <ul style="list-style-type: none"> <li>There was an increased risk of oropharyngeal candidiasis (OR 2.49, 95% CI 1.78 to 3.49, 4380 participants) and hoarseness. The few long term studies that measured bone effects generally showed no major effect on fractures and bone mineral density over 3 years.</li> </ul>		

### 3.2.5.2. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Aaron, NEJM 2003 [31]	RCT, double-blind	n=147 (7 drop-outs)	Patients after emergency treatment for COPD exacerbations, asthma excluded, broad spectrum antibiotics 10d and inhalative broncholytics for all	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: 40 mg Prednisone</li> <li>2<sup>nd</sup> arm: Placebo</li> </ul>	<ul style="list-style-type: none"> <li>Unscheduled visit to a physician's office or a return to the emergency department because of worsening dyspnea within 30 days after randomization</li> <li>FEV1, Dyspnoea, QoL within 10 days</li> </ul>	Significant improvement for <b>dyspnoea</b> and <b>QoL</b> . Transitional dyspnea index score on day 10: placebo 2.07±5.53, prednisone 3.95±4.62 (p 0.04); Chronic Respiratory Disease Index Questionnaire: mean change		1+

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			patients		<ul style="list-style-type: none"> <li>Measures: FEV1 nach inhal. Bronchodilatation, Dyspnoe Index (-9/0/+9)</li> </ul>	per question in dyspnea score from day 1 to day 10: placebo 0.97±1.83, prednisone 1.04±1.47 (p 0.02); Mean change per question in total score from day 1 to day 10: placebo 1.04±1.47, prednisone 1.42±1.43 (p 0.14)		
<b>Choudhury, Resp Res 2007 [32]</b>	RCT, double-blind, placebo controlled 1 year follow-up	Fluticasone group: 128 Placebo group: 132	<b>COPD</b> age 67 y; current smokers: ca. 40%; mean FEV: ca. 1.3 L Recruitment : primary care	Discontinue/ continue with inhalative corticosteroids (ICS) Fluticasone 500µg/d	1.O: Number of exacerbations 2.O: Time to first exacerbation  Outcome measures: diary cards, medical records, symptoms: cough, wheeze, dyspnoea. HQL (SGRQ)	<b>Dyspnoea</b> OR 2.11 (1.25 to 3.57) sig. greater in placebo group after 3 months (similar for other symptoms). No sig. difference in <b>HRQL</b> and <b>adverse effects</b> .	Careful practical study in primary care. Indication of therapy with ICS not in conformity with guidelines. No data on symptoms about effect after 12 months.	1+
<b>DuBois, Eur Respir J 1999 [33]</b>	RCT, single-blind	n=43 (6 drop-outs)	Stable <b>chronic sarcoidosis</b> with limited lung function (<75% of predicted normal value), with stable corticoid medication or without corticoids.	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: Fluticasonpropionate (FP) 2000µg/d for 1-3 and 4-6 months</li> <li>2<sup>nd</sup> arm: Placebo</li> </ul>	<ul style="list-style-type: none"> <li>Differences in standard lung function parameters (FEV1, PEF, FRC, DLCO), SF36 and ACE)</li> <li>4 points symptoms scala for cough, dyspnea, wheeze.</li> </ul>	No statistical sign. difference for <b>breathlessness</b> between FP and placebo. <b>Breathlessness:</b> baseline FP 0.89 ±0.76, 3 months FP 0.72 ±0.57, 6 months FP 0.73 ±0.59; baseline placebo 1.33 ±0.91, 3m placebo 1.14 ±0.85, 6m placebo 0.95 ±0.78 > all scores (incl. baseline) are lower in the FP group (statistically not sign.) No difference between groups and over time re SF36	Groups different at baseline. Statistical data sometimes not provided. 1/5 authors Fa. Glaxo	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Guenette, Resp Med 2011 [34]</b>	RCT double-blind, cross-over	n=17 (0 drop-outs)	Stable <b>COPD</b> (FEV1 <70% of predicted normal value)	<ul style="list-style-type: none"> <li>1st arm: Fluticasonpropionate 1000 µg/d in addition to maintenance LABA and SABA therapy</li> <li>2nd arm: Placebo</li> </ul>	1.O: <ul style="list-style-type: none"> <li>Dyspnea score measured during exercise (Borg)</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Cycle endurance performance</li> <li>Spirometric parameters</li> <li>Static and dynamic lung volumes</li> </ul>	No <b>exercise dyspnoea</b> relief	Steroid only in combination with other drugs. 1/6 authors in relation with various industries.	1+
<b>Melani, Monaldi Arch Chest Dis 1999 [35]</b>	Randomized double-blind cross-over study	n = 20 (6 withdrawals)	Stable <b>COPD</b> : Exertional dyspnoea for ≥ 1 y without any significant symptom free survival; baseline FEV1 < 50%; history of previous tobacco smoking, difficulty in correct use of metered-dose (MDI) and dry powder inhalers (DPIs). PaO2 at rest > 7.3 kPa (55 mmHg); excluded if not stable state. Age 69.7 (SD 5.7)	<ul style="list-style-type: none"> <li>Intervention: Inhaled beclomethasone dipropionate 2 mg via nebulizer twice a day for 4-week period</li> <li>Control: placebo</li> </ul> First treatment period followed by 1-3 month wash-out phase	1.O: <ul style="list-style-type: none"> <li>dyspnoea level triggered by daily activities using the oxygen cost diagram</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Spirometry</li> <li>exercise tests (12 MWD) on last 2 days of treatment period (greater distance recorded)</li> <li>VAS perceived intensity of dyspnoea after each 12 MWD (not at all breathless, the most breathlessness that you have ever experienced)</li> </ul>	<b>OCD</b> : BDP 2.8 (0.8), placebo 2.6 (0.9), <b>VAS</b> 6.0 (1.9) placebo 6.2 (2.0); not significant differences	Only male patients	1-
<b>Milman, J Intern Med 1994 [36]</b>	RCT, double blind	n= 21 (3 drop outs after 6 months)  5 subjects had to take additional oral prednisolone during treatment due to disease	pulmonary <b>sarcoidosis</b> (radiological stage I-III) with normal or slightly reduced lung function	<ul style="list-style-type: none"> <li>Intervention: inhaled budesonide 1.2 – 2.0 mg/day (n = 9) or</li> <li>Control: placebo (n = 12) for 12 months</li> </ul> given in two doses (1x morning, 1x evening)	<ul style="list-style-type: none"> <li>cough, chest pain, dyspnoea at rest and during exercise</li> <li>chest X-ray, gallium scintigraphy, pulmonary function tests, Erythrocyte sedimentation rate (ESR), haemoglobin, leucocytes, neutrophilocytes, eosinophilocytes, lympho-</li> </ul>	No difference in any outcome between groups (P>0,1 minimum)	<ul style="list-style-type: none"> <li>small sample size and not enough power to detect differences</li> <li>strange way to create subgroups</li> <li>confounding effects due to additional use of oral prednisolone possible</li> </ul>	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel)	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
		progression (2 in budesonide group)			cytes, plasma (P-) creatinine, P-calcium, P-phosphate, P- aspartate aminotransferase, P-alkaline phosphatase, P- immunoglobulins (Ig) G, A, M, E  Outcomes measured before treatment, after 1, 3, 6, 9, 12 months during treatment, and 6 months after treatment had been discontinued		<ul style="list-style-type: none"> <li>majority of subjects were male</li> <li>not enough details on how outcomes were measured (e.g., dyspnea, cough, chest pain)</li> <li>no data shown for dyspnea, cough, chest pain only p-values</li> </ul>	
<b>Rice, Am J Respir Crit Care Med 2000 [37]</b>	RCT double-blind	n=38 (11 drop-outs)	<b>COPD</b> (criteria of AmThSoc) with steroid maintenance therapy of at least 5 mg prednisone equivalent ("steroid dependent")	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: Prednisone reduction of 5 mg/week and withdrawal</li> <li>2<sup>nd</sup> arm: continuation of prednisone maintenance therapy</li> </ul>	<p>1.O:</p> <ul style="list-style-type: none"> <li>exacerbations (resulting in rescue cortisone administration, antibiotic administration, first-aid provision, unscheduled clinic visit for dyspnea)</li> </ul> <p>2.O:</p> <ul style="list-style-type: none"> <li>Dyspnea index (Mahler 1984), HRQoL</li> </ul>	Spirometric results, <b>dyspnea</b> , and <b>health-related quality of life</b> did not differ significantly in the two groups.	Conflict of Interest not mentioned. Only male patients.	1+
<b>Sayiner, Chest 2001 [38]</b>	Randomised single-blind study	n = 36 (2 drop-outs)	severe <b>airway obstruction</b> (FEV1 < 35% predicted), presented with an exacerbation necessitating hospitalization	<ul style="list-style-type: none"> <li>Intervention: Methylprednisolone (MP) 0.5 mg/kg 6 hourly for 3 days</li> <li>Control: Methylprednisolone (MP) 0.5 mg/kg 6 hourly for 3 days, then tapered and terminated on day 10</li> </ul>	<p>1.O:</p> <ul style="list-style-type: none"> <li>FEV1 and PaO2 levels on day 3 and day 10</li> </ul> <p>2.O:</p> <ul style="list-style-type: none"> <li>symptom scores (dyspnoea, cough with physical and emotional function on a 7-point scale, higher scores represent better function), recurrence of exacerbation in the following 6 months, and adverse events</li> </ul>	Both groups showed significant improvements in PaO2 and FEV1 levels, but these were more marked in group 2 (p 5 0.012 and p 5 0.019, respectively). Significant improvements in <b>shortness of breath</b> at day-time, at night, and on exertion. Improvement in dyspnoea on <b>exertion</b> observed in group 2 was significantly	Predominantly male patients	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN	
<b>Shmelev &amp; Kunicina, Clin Drug Invest 2006 [39] I</b> (Part II see below)	RCT plus... (see below)	122 patients assigned to either RCT (part I) or observational study (part II, see below)  In RCT: <b>58 patients</b> with stable COPD stage 1 oder 2, of which 35 divided into 3 groups with Ns </= 13 and 23 patients in 2 control groups	Patients with <b>COPD</b> stage 1 and 2 without active therapy (stable or with exacerbation)  Note: No indication on which criteria COPD stages were based! FEV1% values suggest staging was not conform to GOLD  Some patients were stable, others had non-infectious exacerbations	In addition to bronchodilator therapy with ipratropium bromide/fenoterol hydrobromide (based on individual level of bronchoconstriction, doses not further specified) patients received either:  • F1: fenspiride (2xdaily 80mg for 6 months) in COPD patients stage 1 • F2: fenspiride (2xdaily 80mg for 6 months) in COPD patients stage 2 • B2: beclomethasone inhalation (2xdaily 200mg for 6 months) in COPD patients stage 2	<ul style="list-style-type: none"> <li>• Symptoms (dyspnea, cough, rales, sputum, nightly symptoms)</li> <li>• lung function (FEV1, FVC)</li> <li>• 6min walking test (6MWT)</li> </ul> outcomes measured before treatment, after 1 month and then every 2 <sup>nd</sup> month up to 6 months total	better than that obtained in group 1 [GROUP 1: Day 0: 3.0± 0.3; Day 3 5.4 ± 0.3; Day 10: 5.5 ± 0.2; GROUP 2: Day 0: 2.8 ± 0.3; Day 3: 5.1 ± 0.3 Day 10: 6.3 ± 0.2 (p=0.024)]. This was associated with the fact that, although both groups had similar increases in this symptom score at day 3, further significant improvement occurred between day 3 and day 10 in group 2 only (p < 0.01)	<ul style="list-style-type: none"> <li>• The most significant reduction in respiratory symptoms with fenspiride related to sputum parameters, which showed a decrease in mean ± SD values from 2.58 ± 0.27 to 0.33 ± 0.18 (p &lt; 0.001).</li> <li>• somewhat greater improvements in symptoms in both fenspiride groups compared to control or beclomethasone</li> <li>• effects seem more pronounced in COPD stage 1 patients compared to stage 2 patients</li> <li>• only very small reductions</li> </ul>	<ul style="list-style-type: none"> <li>• very small sample sizes and not enough power to detect differences</li> <li>• too many statistical tests for the small Ns (=inflation of alpha errors)</li> <li>• Strange way to create these subgroups. Looks like as if groups were build post-hoc</li> <li>• high drop outs and no explanation for it</li> <li>• No indication on which criteria COPD stages were based! FEV1% values suggest staging was not conform to</li> </ul>	

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
		(Out of the 122 patients, 38 drop outs in intervention groups; 26 drop outs in control groups)  Drop outs were examined in additional observational study (see below)		<ul style="list-style-type: none"> <li>• C1: only bronchodilator therapy with ipratropium bromide/fenoterol hydrobromide for 6 months in COPD patients with stage 1</li> <li>• C2: only bronchodilator therapy with ipratropium bromide/fenoterol hydrobromide for 6 months in COPD patients with stage 2</li> </ul>		<p>in <b>dyspnea</b> after beclomethasone</p> <ul style="list-style-type: none"> <li>• Dyspnoea decreased significantly by the second month of treatment in stage 1 COPD patients receiving fenspiride (from <math>1.67 \pm 0.18</math> to <math>0.83 \pm 0.18</math>; <math>p &lt; 0.001</math>)</li> <li>• after fenspiride improved lung function ) in COPD stage 1 patients</li> <li>• after fenspiride improved 6MWT in COPD stage 1 patients (walking distance increased by 14.22%: from <math>403.83 \pm 18.60m</math> to <math>461.25 \pm 14.7m</math>; <math>p &lt; 0.05</math>)</li> <li>• reduced number of exacerbations in fenspiride groups and beclomethasone groups compared to control groups</li> </ul>	<p>GOLD stages and rather stage 2 or 3 than 1 and 2</p> <ul style="list-style-type: none"> <li>• no details on lung function measurements</li> <li>• baseline differences in group characteristics (e.g FEV1%) could be confounders</li> <li>• remains unclear who rated symptoms (patient or clinician)</li> <li>• not enough patient characteristics presented</li> </ul>	
<b>Shmelev &amp; Kunicina, Clin Drug Invest 2006 [39] II</b>	additional observational controlled study without mentioning whether randomized or not (but presumably not)	64 patients with COPD with exacerbations divided into 3 groups	Idem (see above)	<ul style="list-style-type: none"> <li>• F: fenspiride (2xdaily 80mg for 2 weeks)</li> <li>• C: only bronchodilator therapy with ipratropium bromide/fenoterol hydrobromide for 2 weeks</li> <li>• SC: prednisolone (20 mg daily for 1 week than</li> </ul>	Symptoms (dyspnea, cough, rales, sputum, nightly symptoms) after 2 weeks	<ul style="list-style-type: none"> <li>• Symptoms improved similar after 2 weeks of beclomethasone and fenspiride compared to control during exacerbation phases</li> </ul>	(continuation:) <ul style="list-style-type: none"> <li>• no description on what exact statistics were performed → impossible to judge effects</li> </ul>	

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				gradually reduced in week 2)				
<b>Tashkin, Drugs 2008 [40]</b>	Randomised double-blind, double-dummy placebo con- trolled parallel group multi- centre study	n = 1704	age ≥ 40 years, <b>COPD</b> , symptoms > 2 years, history of at least one COPD exac- erbation treated with course of oral steroids and/or antibacterials within 1-12 months before screening; FEV1 predicted ≤ 50%MRC dyspnoea scale ≥ 2, BCSS ≥ 2/day for at least half of the 2 weeks run-in period	Intervention: 5 different treatments twice daily 1) BUD/FMpMDI 160/4.5 µg x 2 inhalations (320/9 µg bd; 2) BUD/FMpMDI 80/4.5 µg x 2 inhalations (160/9 µg bd; 3) BUDpMDI 160 µg x 2 inhalations (320 µg) bd + FMDPI 4.5 µg x 2 inhalations (9 µg) bd; 4) BUDpMDI 160 µg x 2 inhalations (320 µg) bd 5)FMDPI 4.5 µg x 2 inhalations (9 µg) bd Control: Placebo BUD= budesonide FM = formoterol pMDI = pressurized me- tered-dose inhaler DPI=dry powder inhaler	1.O: ▪ pre-does FEV1 and 1-hour- post-dose FEV1 2.O: ▪ dyspnoea (Breathlessness diary based on BCSS, 0-4), HR-QoL, COPD exacerbations	Both budesonide/ formoterol dosage strengths experienced significantly greater improve- ments in <b>dyspnoea</b> scores compared with budesonide, formoterol and placebo (p ≤ 0.044). No sign. improvement in dyspnea scores between budesonide and placebo. Improvements in dyspnoea were clinically meaningful (i.e. reduction of ≥ 0.2 units [MID]) for all active treatment groups compared with their baseline values, although neither budesonide/formoterol dos- age strength reached the pre- specified MID compared with placebo (based on comparison of least squares mean changes from baseline).		1+
<b>Vestbo, Thorax 2005 [41]</b>	Randomised, double blind, placebo- controlled study	n = 1465/ 75 drop outs/ 456 withdrawals after randomisation	<b>COPD</b> (ERS definition), age 40- 79 years, .10 pack- years, pre- bronchodilator FEV1 25-70% predicted, FEV1/forced vital capacity (FVC) <70%, poor short term reversibility	▪ 1 <sup>st</sup> arm: salmeterol / fluticasone propionate combination (50/500 µg twice daily) ▪ 2 <sup>nd</sup> arm: salmeterol alone (50µg twice daily) ▪ 3 <sup>rd</sup> arm: fluticasone propionate (500 µg twice daily)	1.O: ▪ peak expiratory flow: time at which treatment effect was first observed in three treat- ment arms 2.O: ▪ dyspnoea time at which treatment effect was first ob- served in three treatment arms	After 14 days: OR for <b>dysp- noea</b> improvement: combina- tion treatment significantly better than other treatments; OR salmeterol group 1.4 (95% CI 1.0 to 1.9, p=0.035) and compared with fluticasone propionate OR 1.7 (95% 1.3 to 2.3, p<0.001) No sign. Difference between	Text about change of dyspnoea scores is not reflected in data provided in table	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel)	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			(<10% predicted FEV1 30 minutes after inhaling 400 mg salbutamol), and chronic bronchitis with exacerbations in the last 3 years	4 <sup>th</sup> arm: Control: Placebo		fluticasone and placebo (p=0.111)		
<b>Worth, Resp Med 2010 [42]</b>	RCT doppelblind crossover	n=111 (20 drop-outs)	<b>COPD</b> (FEV1 <50% of predicted normal value)	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: Budesonide/Formoterol</li> <li>2<sup>nd</sup> arm: Formoterol</li> <li>3<sup>rd</sup> arm: Placebo for 1 week</li> </ul>	<ul style="list-style-type: none"> <li>Exercise Endurance Time 1 h and 6h after medication</li> <li>Spirometry</li> <li>inspiratory capacity during exercise (ICex))</li> <li>Borg CR10-scale</li> </ul>	<b>Breathlessness score</b> only sig. better after 1 h for Budesonide/Formoterol vs placebo (but not vs. Formoterol and not after 6h). Budesonide/formoterol resulted in a significant improvement in <b>endurance time</b> 1 h after the last morning dose in a 1-week treatment period versus formoterol [by 69 s (P < 0.005)] and placebo [by 105 s (P < 0.0001)].	Steroid only in combination with other drugs. 3/6 of the authors by Astra/Zeneca	1+
<b>Wouters, Thorax 2005 [43]</b>	RCT, double-blind, parallel group design	n=497 patients enrolled: 373 randomized 293 completions	<b>COPD</b> age 64 y Current smokers ca 50% Pack-years ca 37 Mean FEV 1.44	1 year withdrawal after a 3 months run-in randomized to <ul style="list-style-type: none"> <li>Fluticasone/Salmeterol 500/50µg twice daily</li> <li>Salmeterol 50µg twice daily</li> </ul>	<ul style="list-style-type: none"> <li>Dyspnoea at rest (0-4) and other symptoms</li> <li>Spirometry,</li> <li>exacerbation</li> </ul>	An immediate and sustained increase in <b>dyspnoea score</b> (scale 0-4; mean difference between groups 0.17 (0.04), p 0.001) and in the percentage of disturbed nights (6 (2) percentage points, p 0.001) occurred after withdrawal of fluticasone.	Steroid only in combination with other drug. The effects are small and not clearly clinical relevant. Authors emphasize, however, the importance of ICS in COPD.	1++
<b>Yennurajalingam, J Clin Oncol 2013 [44]</b>	RCT, double-blind, placebo-controlled	N=84	Patients with <b>advanced cancer</b> with ≥ three <b>cancer-related fatigue</b> symptoms (ie,	4 mg dexamethason or placebo orally twice per day for 14 days	1.O: <ul style="list-style-type: none"> <li>Change in the functional Assessment of Chronic Illness-Fatigue subscale</li> </ul>	No differences were observed for ESAS overall symptom distress (P=0.22) or <b>dyspnea</b> (P=0.06).	Dexamethasone is more effective than placebo in improving cancer-related fatigue and quality of life	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			fatigue, pain, nausea, loss of appetite, depression, anxiety or sleep disturbance) ≥ 4 of 10 Edmonton Symptom Assessment Scale (ESAS) were eligible.		2.O: ▪ <b>ESAS</b> (including dyspnea)		in patients with advanced cancer.	

### 3.3. Nicht-medikamentöse Therapien

#### 3.3.1. Therapien ohne „körperliche Übungen (exercise)“

##### 3.3.1.1. Systematic Reviews

Study, Journal, year	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Bausewein, Cochrane Review 2008 [45]	SR (MA not possible)	47 RCTs and CCTs (n=2532)	Patients with breathlessness due to: <ul style="list-style-type: none"> <li>Advanced cancer</li> <li>COPD</li> <li>ILD</li> <li>Chronic heart failure</li> <li>Motor neurone disease</li> </ul> Most studies have been conducted in COPD patients.	<ul style="list-style-type: none"> <li>Interventions: Non-pharmacological and non-invasive (walking aids (n = 7), distractive auditory stimuli (music) (n = 6), chest wall vibration (CWV, n = 5), acupuncture/acupressure (n = 5), relaxation (n = 4), neuro-electrical muscle stimulation (NMES, n = 3) and fan (n = 2))</li> <li>Control: placebo or usual therapy</li> </ul> (Intervention excluded as already topic of other Cochrane Reviews: Pulmonary rehabilitation, non-invasive ventilation, nutritional supplementation, oxygen, self-management, exercise)	1.O: <ul style="list-style-type: none"> <li>Subjective measures of breathlessness on VAS, NRS, categorical scales, modified Borg scales.</li> <li>If subj. measures were not present, breathlessness specific scales or disease specific scales were defined as a 1.O.</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Domain specific measures for depression and anxiety.</li> <li>Quality of life.</li> <li>Participants satisfaction.</li> <li>Adverse-effects.</li> <li>Participants withdrawal from the studies.</li> </ul>	<b>Breathlessness (no MA):</b> <ul style="list-style-type: none"> <li>High strength of evidence that NMES and CWV could relieve breathlessness</li> <li>Moderate strength for the use of walking aids and breathing training.</li> <li>Low strength of evidence that acupuncture/ acupressure is helpful</li> <li>No evidence for the use of music.</li> <li>Not enough data to judge the evidence for relaxation, fan, counselling and support, counselling and support with breathing-relaxation training, case management and psychotherapy.</li> </ul>	<ul style="list-style-type: none"> <li>Breathlessness was mostly a secondary outcome</li> <li>Metaanalysis not possible due to heterogeneity</li> </ul>	1++
Effing, Cochrane Review 2007 [46]	SR (MA where possible)	14 RCTs and CCTs	<b>COPD</b>	COPD <b>education</b> defined as a programme which transfers information about COPD and treatment of	<ul style="list-style-type: none"> <li>health-related quality of life scores,</li> <li>symptom scores,</li> <li>number and severity of exac-</li> </ul>	<ul style="list-style-type: none"> <li>A small but significant reduction was detected in <b>dyspnoea</b> measured with the BORG-scale (WMD -</li> </ul>	Because of heterogeneity in interventions, study populations, follow-up time, and outcome meas-	1++

Study, journal, year	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				<p>COPD</p> <p><u>Form:</u> written, verbal, visual or audio.</p> <p><u>Content:</u> smoking cessation, improving exercise, nutrition, self-treatment of exacerbations, inhalation technique or coping with activities of daily living or a combination of these</p>	<ul style="list-style-type: none"> <li>• exacerbations,</li> <li>• courses of oral steroids or antibiotics,</li> <li>• use of rescue medication,</li> <li>• hospital admissions,</li> <li>• emergency room visits,</li> <li>• use of other health care facilities,</li> <li>• days lost from work,</li> <li>• lung function,</li> <li>• exercise capacity.</li> </ul>	<p>0.53; 95% CI (-0.96 to -0.10))</p> <ul style="list-style-type: none"> <li>• On the disease specific <b>SGRQ</b>, differences reached statistical significance at the 5% level on the total score (WMD -2.58; 95% CI (-5.14 to -0.02)) and impact domain (WMD -2.83; 95% CI (-5.65 to -0.02)), but these difference did not reach the clinically relevant improvement of 4 points.</li> <li>• No significant effects found in <b>exercise capacity</b></li> </ul>	<p>ures, data are still insufficient to formulate clear recommendations regarding the form and contents of self-management education programmes</p>	
Ferreira, Cochrane Review 2005 [47] Update 2012	SR, MA	14 RCTs (n=487)  Update: 3 RCTs (n=145)	Stable COPD	<ul style="list-style-type: none"> <li>• Interventions: oral, enteral or parenteral <b>nutritional support</b></li> <li>• Control: placebo or usual patient's diet or other treatment regimens such as anabolic substances</li> </ul>	<p>1.O:</p> <ul style="list-style-type: none"> <li>• Anthropometric (body weight, lean body mass, body mass index) and functional exercise (timed walk test, submaximal or graded exercise)</li> </ul> <p>2.O:</p> <ul style="list-style-type: none"> <li>• Included pulmonary mechanics (lung volumes, respiratory muscle function),</li> <li>• peripheral muscle function</li> <li>• health related quality of life incl. CRQ "Dyspnea" subdomain score</li> </ul>	<p>Too few studies reported <b>dyspnea</b> or quality of life to generate combined effect estimates. Three studies (n=123) reported data to the CRQ subdomain "dyspnea" and showed no sign. benefit of supplemental nutrition.</p>	<p>Data of dyspnea only in three RCT</p>	1+

### 3.3.1.2. Primärstudien

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>FAN</b>								
<b>Bausewein, BMC Pall Care 2010 [48]</b>	RCT embedded in longitudinal cohort study	n=70 (dropouts=34)	<ul style="list-style-type: none"> <li>primary and secondary lung cancer</li> <li>COPD III/IV</li> </ul>	<ul style="list-style-type: none"> <li>Hand held fan (HHF)</li> <li>wristband</li> </ul>	1.O: <ul style="list-style-type: none"> <li>use of the HHF and the wristband after 2 months measured on the modified Borg scale</li> </ul> 2.O: <ul style="list-style-type: none"> <li>recruitment into the trial and change of breathlessness severity after 2 months on modified Borg scale</li> </ul>	Post intervention, about half of the patients used the HHF but only 20% the wristband without a statistical difference (Fisher's exact test p = 0.2). 9/16 patients judged the HHF as helpful and 4/5 patients the wristband. No difference in mean breathlessness change scores between the HHF (Borg change score: mean 0.6 (SD 2.10)) and the wristband (mean 0.8 (SD 2.67)) after two months (p = 0.90). No significant difference but high drop out		1-
<b>Galbraith, J Pain Symptom Manag 2010 [49]</b>	RCT crossover	n= 50 (drop-outs=1)	refractory breathlessness from any <b>non-malignant or malignant</b> cause and <b>Dyspnea Exertion Scale (DES)</b> Level 2 or above	Hand held fan directed on face region innervated by the second and third branches of the trigeminal nerve or leg mid-calf 5 min with washout period of 10min.	1.O: <ul style="list-style-type: none"> <li>Decrease in breathlessness of 1cm or more assessed by a 10cm vertical visual analog scale (VAS)</li> <li>Monitoring of SaO<sub>2</sub>, VAS and pulse rate</li> <li>Measurement timing: baseline, after each use of fan and end of washout period</li> </ul>	1.O:significant (P= 0.003) improvement of breathlessness with an effect size of 7.0 mm (95% confidence interval [CI]: 2.5-11.7 mm) but potentially carry over effect in washout period <ul style="list-style-type: none"> <li>no detectable effect on participants' SaO<sub>2</sub> or PR after use of the fan</li> </ul>		1+
<b>SELF-MANAGEMENT PROGRAM</b>								
<b>Garcia, Resp Med 2007 [50]</b>	RCT, parallel	n=113 (51 drop-outs = 43%: death, lost,	<b>COPD</b> patients after hospital discharge following episode of	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: Integrated care - IC (n=44) with: (1) comprehensive as-</li> </ul>	<ul style="list-style-type: none"> <li>Dyspnea (MRC)</li> <li>HRQL (SGRQ, EQ-5D)</li> <li>Self-management, lifestyle,</li> </ul>	There were no differences in the evolution of <b>dyspnea</b> (UC: 0.15 (1.44) - IC: -0.52 (1.12))	<ul style="list-style-type: none"> <li>Adequate randomisation and concealment</li> <li>43% drop-outs &gt; ITT</li> </ul>	1+

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
		...)	exacerbation. 86% male, >70y, FEV1 1.2 (0.5)	assessment of the patient at discharge by a spec. nurse (2) educational session at discharge by spec. nurse (3) individually tailored care plan. Joint visit of the spec. nurse and the primary care team within 72h. Weekly phone calls during the first month; one phone call at months 3 and 9. (4) access to the specialized nurse at the hospital was guaranteed through a web-based call centre <ul style="list-style-type: none"> <li>2<sup>nd</sup> arm: Usual care (n=69)</li> </ul>	BMI <ul style="list-style-type: none"> <li>Treatment adherence</li> <li>Identification of exacerbation</li> <li>Skills for administration of drugs</li> <li>Drug treatments</li> <li>Pulmonary function tests</li> </ul> Measures at baseline, 6 and 12 months	or <b>quality of life</b> scores.	analysis not possible <ul style="list-style-type: none"> <li>No details to baseline data</li> </ul>	
Nguyen, J Med Internet Res 2008 [51]	Pilot RCT	n=50 (11 drop-outs)	Moderate to severe COPD, FEV1 < 80% predicted. Current Internet users.	A 6-month Dyspnea self-management program (DSMP), delivered in 2 modalities: <ul style="list-style-type: none"> <li>1<sup>st</sup> arm (n=24): internet-based (eDSMP)</li> <li>2<sup>nd</sup> arm (n=26): face-to-face (fDSMP)</li> </ul>	1.O: Dyspnea with activities of daily living (ADL) (by means of CRQ) 2.O: <ul style="list-style-type: none"> <li>Exercise behaviour in 1 week</li> <li>Exercise performance (6 min walking test)</li> <li>HRQL (CRQ and SF-36)</li> <li>COPD exacerbations</li> <li>Mediators such as self-efficacy and social support</li> </ul> Measured at baseline, 3 and 6	The fDSMP and eDSMP showed similar clinically meaningful changes in <b>dyspnea</b> with ADL from baseline to 3 months (fDSMP: + 3.3 points; eDSMP: + 3.5 points) and sustained these improvements at 6 months (fDSMP: + 4.0 points; eDSMP: + 2.5 points; time effects $P < .001$ ; group by time $P = .51$ ). Distance covered during the <b>6-min. walk test</b> declined in the fDSMP and increased in	<ul style="list-style-type: none"> <li>Compares 2 modalities of self-management. No "placebo".</li> <li>Stopped early due to technical challenges (eDSMP), but follow-up for 6 months</li> <li>ITT analysis for the 39 pts who completed the study</li> <li>Adequate randomisation and concealment</li> <li>Small sample size &gt; underpowered</li> </ul>	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Wakabayashi, Geriatr Gerontol Int 2011 [52]</b>	RCT, parallel-group	n=102 (Drop-outs: 17)	<b>COPD</b> , older patients > 65 years. No specific grade of disease.	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm I (n=52): Integrated care: individually tailored education program according to the patients' needs (measured with LINQ) + booklet. Intensive education monthly for 6 months, then usual care for 6 months.</li> <li>2<sup>nd</sup> arm U (n=50): usual care: general education based on the domains of LINQ but without knowing the individual LINQ scores obtained by the patients; no booklet</li> </ul>	<ul style="list-style-type: none"> <li>Information needs of patients with COPD (LINQ = Lung Information Needs Questionnaire)</li> <li>Pulmonary function tests</li> <li>Dyspnea severity (MMRC)</li> <li>Exercise capacity (6-min walk test)</li> <li>BMI</li> <li>Activities of daily living</li> <li>BODE index (=BMI+airflow obstruction+dyspnea + exercise capacity)</li> <li>Health status (SGRQ)</li> <li>Comorbidities (Charlson index)</li> </ul> At baseline, 6 and 12 months	months  the eDSMP over time with a marginal group by time difference (P = .05). Total scores on the CRQ, reflecting disease-specific <b>HRQL</b> , improved over time for participants in both the eDSMP and fDSMP (P < .001). There were also positive changes in the SF-36 physical composite scores over time for both groups (P = .04).	<ul style="list-style-type: none"> <li>Adequate randomization and concealment</li> <li>Proposed sample size not achieved</li> <li>No mention of ITT</li> </ul>	1+
<b>OTHERS</b>								
<b>Neuromuscular stimuli</b>								
<b>Lau,</b>	Randomised,	N=46	Patients>60years; had	Intervention:	<ul style="list-style-type: none"> <li>Pulmonary Function (FEV1,</li> </ul>	<ul style="list-style-type: none"> <li>Increase of FEV1 by 0.12</li> </ul>	<ul style="list-style-type: none"> <li>COPD GOLD I and II</li> </ul>	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Australian J Physiotherapy 2008 [53]	placebo-controlled trial	(no drop-outs reported)	to have stable COPD GOLD I or II	<ul style="list-style-type: none"> <li>45 Minutes of Acu-Transcutaneous-nerve-stimulation (ACU-TENS) at a single time.</li> </ul> Control: <ul style="list-style-type: none"> <li>Sham Procedure without electrical output</li> </ul>	FVC) <ul style="list-style-type: none"> <li>Dyspnoea (100mm VAS-Scale)</li> </ul>	litres more in the intervention group compared to control (p<0.001). <ul style="list-style-type: none"> <li>Increase of FVC by 0.05 litres more in the intervention group compared to control (p=0.09).</li> <li>Dyspnoea decreased by 11mm more in the intervention group, p not provided but confidence interval suggests significance).</li> </ul>	patients do not suffer from dyspnoea at rest or light exertion normally. <ul style="list-style-type: none"> <li>A difference of 120ml in FEV1 is of questionable relevance.</li> <li>The sham procedure is not really a placebo procedure because in opposite to the TENS-Procedure, patients do not experience the flow of current.</li> </ul>	

**Chestwall vibration**

Mahajan, Resp Res 2011 [54]	multi-center, double-masked phase II RCT	n=52 active (n = 25) or sham (n = 27) treatment	<b>COPD, Asthma</b>	<ul style="list-style-type: none"> <li>High frequency chest wall oscillation active or sham treatment for 15 minutes three times a day for four treatments.</li> <li>Medical management was standardized across groups.</li> </ul>	1.O: <ul style="list-style-type: none"> <li>Patient adherence to therapy after four treatments (minutes used/60 minutes prescribed) and satisfaction.</li> </ul> 2.O: <ul style="list-style-type: none"> <li>change in Borg dyspnea score (≥ 1 unit indicates a significant change)</li> <li>spontaneously expectorated sputum volume</li> <li>forced expired volume in 1 second.</li> </ul>	1.O: <ul style="list-style-type: none"> <li>Adherence similarly high in both groups (91% vs. 93%; p = 0.70). Patient satisfaction was also similarly high in both groups.</li> </ul> 2.O: <ul style="list-style-type: none"> <li>After four treatments, patients in the active treatment group had a clinically significant improvement in <b>dyspnea</b> ((70.8% vs. 42.3%, p = 0.04).</li> </ul>	<ul style="list-style-type: none"> <li></li> </ul>	1+
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**Breathing training**

Barton, Lung Cancer 2010 [55]	Feasibility RCT	n=22 (drop-outs =14)	<b>Malignant lung/ intrathoracic disease</b> with refractory breathlessness.	<ul style="list-style-type: none"> <li><u>Intervention</u>: 3 three breathlessness management training sessions of 1h once a week, provided</li> </ul>	As this was a feasibility study there were no designated primary or secondary outcome measures	Study appears to indicate that three sessions of training may be more effective for <b>breathlessness</b> management than a	Study design was shown to be inadequate. Strategy for patients' recruitment, inclusion and	1-
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Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			<p><u>Inclusion criteria:</u></p> <ul style="list-style-type: none"> <li>Expected prognosis of &gt; 3 months</li> <li>Karnofsky &gt; 40%</li> <li>Therapy refractory breathlessness</li> </ul> <p><u>Exclusion criteria:</u></p> <ul style="list-style-type: none"> <li>Intercurrent illness</li> <li>Severe co-morbidity</li> <li>Rapidly worsening breathlessness</li> <li>Radical radiotherapy in the last 6 months</li> <li>Palliative radiotherapy within 4 weeks</li> <li>Chemo/anti-cancer hormone treatment in the last 2 weeks</li> </ul> <p>Prior experience of breathlessness training</p>	<p>by a specialist physio-therapist (AE) or a lung cancer nurse specialists trained by AE. Sessions include: diaphragmatic breathing, pacing, anxiety management and relaxation). Patients received written and DVD/video reinforcement material and a telephone call from their therapist a week after the last training session.</p> <ul style="list-style-type: none"> <li><u>Control:</u> 1 session of 1h, otherwise same as intervention</li> </ul>	<p><u>Outcome measures:</u></p> <ul style="list-style-type: none"> <li><u>Questionnaire:</u> <ul style="list-style-type: none"> <li>Severity of breathlessness</li> <li>Distress caused by breathlessness</li> <li>Ability to cope with breathlessness (10=Fähigkeit, Luftnot zu bewältigen (10=have coped very well))</li> <li>satisfaction with management of breathlessness (respectively NRS 0-10)</li> </ul> </li> <li><u>QoL:</u> EQ-VAS, EQ-5D</li> <li><u>Depression/anxiety:</u> HADS</li> <li><u>Coping response:</u> BriefCOPEQuestionnaire</li> </ul> <p><u>Follow up:</u> Measures at baseline, 1, 2, 3, 4 and 8 weeks</p>	single session	exclusion criteria, Method of randomization will be changed for follow-on study.	
<b>Battaglia, Arch Phys Med Rehabil 2009 [56]</b>	RCT Double blind	n=32	<p><u>Patients with COPD GOLD I-IV</u> without significant improvement after bronchodilation test. Mean age 68y</p>	<ul style="list-style-type: none"> <li><u>Intervention:</u> breathing training with inspiratory device Respival® in combination with expiratory Respilift®, 15 min twice daily over 12 months.</li> </ul>	<p>1.0</p> <ul style="list-style-type: none"> <li>Maximal inspiratory pressure (MIP), max. expiratory pressure (MEP)</li> <li>Dyspnea perception</li> </ul>	<p>Patients benefit from training with the combined insp. and exp. devices: Sign. improvement of MIP (81±4 at 12 months vs 57±7 as basal values expressed in cm H2O;</p>	<p>4 patients of the intervention group and 2 patients of the control group had an exacerbation during the study. No sample size calculation</p>	

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			All ex-smokers All with inhaled steroids	<ul style="list-style-type: none"> <li><b>Control:</b> sham training</li> </ul>		p<0.5) and MEP and of <b>dyspnea</b> grade on Borg Scala (97±2 at 12 months vs 62±4 as basal values; p<0.5) Patients with COPD GOLD III + IV sign. less than GOLD I + II.	> underpowered, no mention of ITT	
<b>Bosnac-Guclu, Resp Med 2011 [57]</b>	Prospective RCT Double blind	n=36, drop-out = 6  Intervention: n=16  control: n=14	<p>Pat. with <b>heart failure</b></p> <p><u>Inclusion criteria:</u></p> <ul style="list-style-type: none"> <li>Clinically stable</li> <li>LVEF&lt;40%</li> <li>NYHA II-III</li> <li>No change in medication over 3 monthskeine Änderung in der Medikation in den letzten 3 Monaten</li> <li>Patients with pacemaker if 6 weeks after implementation</li> </ul> <p><u>Exclusion criteria:</u></p> <ul style="list-style-type: none"> <li>Acute myocardial infarction</li> <li>Cognitive disorders</li> <li>Complex arrhythmias</li> <li>Uncontrolled hypertension</li> <li>Angina pectoris</li> <li>viral infection in the last 6 months</li> </ul>	<p>A one-week familiarization period and instruction about</p> <p>IMT= Inspiratory Muscle Training (20-30% of MIP) or sham IMT</p> <p><u>Intervention:</u></p> <ul style="list-style-type: none"> <li>Pat. received IMT at 40% of MIP (<i>pressure threshold device - POWER-breathe®</i>), 30 min per day for 6 weeks.</li> </ul> <p><u>Control:</u></p> <ul style="list-style-type: none"> <li>Pat. received sham IMT 30 min per day for 6 weeks.</li> <li>In total, 8 sessions were supervised, 2 calls a week, diary.</li> </ul>	<p>Pulmonary function tests, dyspnea, quality of life</p> <p><u>Outcome measure:</u></p> <ul style="list-style-type: none"> <li>Pulmonary function tests (spirometry with FEV1, FVC, PEF)</li> <li>Respiratory muscle strength (Max. inspiratory pressure (MIP) and max. expiratory pressure (MEP) with MicroRPM). Quadriceps femoris isometric strength (JTECH Power Track Commander II)</li> <li>Functional capacity (6MWT in combination with dyspnea (Borg))</li> <li>Balance (Berg Balance Scale)</li> <li>Fatigue (Turkish version of Fatigue Severity Scale with 9 Items)</li> <li>Depression (Turkish version of Montgomery Asberg Depression Rating Scale)</li> <li>Dyspnea severity (Medical Research Council dyspnoea scale, 0-4)</li> </ul>	<p><u>Sign. improvement with IMT for:</u></p> <ul style="list-style-type: none"> <li><b>Functional capacity</b> (418.59±123.32 to 478.56±131.58 m, p &lt; 0.001) and functional balance</li> <li>Respiratory (MIP=62.00±33.57 to 97.13±32.63 cmH2O, p &lt; 0.001) and periphery muscle strength (240.91±106.08 to 301.82±111.86 N, p &lt; 0.001)</li> <li><b>Dyspnea</b> (2.27±0.88 to 1.07±0.79, p &lt; 0.001)</li> <li>Depression (11.47±7.50 to 3.20±4.09, p &lt; 0.001),</li> </ul> <p>No sign. Improvement with IMT for:</p> <ul style="list-style-type: none"> <li><b>QoL</b> Fatigue</li> </ul>	<p>Patients without resp. muscle weakness improved too.</p> <p>Sample size calculation: n=15/group</p> <p>No mention of ITT</p> <p>Adequate randomization, no mention of concealment</p>	1+

Study, journal, year	Type of study/Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			<ul style="list-style-type: none"> <li>orthopedic problems</li> <li>rheumatologic disease</li> </ul>		<ul style="list-style-type: none"> <li>Quality of life (SF-36)</li> </ul> <p><u>Follow up</u></p> <ul style="list-style-type: none"> <li>Before and after interventions</li> </ul>			
<b>Ekman, Eur J Heart Fail 2011 [58]</b>	RCT	n= 72 (m=52, w=20), drop-out=7  Intervention: n=35, drop-out=5  Control: n=37, drop-out=2	<p>Patients with stable chronic heart failure (NYHA II-IV) with persistent symptoms of breathlessness despite optimal pharmacological treatment.</p> <p><u>Inclusion</u> of patients with Dyspnea <math>\geq 2/5</math> on Likert-scale</p> <p><u>Exclusion criteria:</u></p> <ul style="list-style-type: none"> <li>if performing Device-guided breathing (DGB) not possible (psychiatric illness, chemical dependency, unstable angina pectoris, or COPD)</li> <li>expected survival shorter than study</li> <li>poor communication skills or compliance</li> </ul>	<ul style="list-style-type: none"> <li><u>Intervention:</u> a 20 min, twice-daily session of DGB=Device Guided Breathing (with RESPer-ATE®) for 4 weeks. Goal of the respiratory modulation (RM) was to progressively slow the respiration rate to 10 breaths per min and to increase the exhalation time (Tex)</li> <li><u>Control :</u> a 20 min, twice-daily session with music using a CD player über einen CD-Player for 4 weeks</li> </ul>	<p>Dyspnea, changes in NYHA class, Fatigue</p> <p><u>Outcome measure:</u></p> <ul style="list-style-type: none"> <li>NT-proBNP</li> <li>Blood pressure</li> <li>Self-rated sleep quality</li> <li>Dysnea (5 point Likert-scale)</li> <li>Fatigue (5 point Likert-scale)</li> </ul> <p><i>In addition fort he DGB-group:</i> Respiratory rate, inspiration time (Tin), exhalation time (Tex), Tex/Tin ratio</p> <p><u>Follow-up:</u> Before start of the study and at the end</p> <p><i>In the intervention group:</i></p> <ul style="list-style-type: none"> <li>Before and after every session</li> </ul>	<p>No sign. Improvement of dyspnea and of NYHA-class by DGB.</p> <p>Some patients (responder, n=14) seem to respond to DGB. They show a symptom improvement and a significant change of NYHA-class (20.64+0.20, P , 0.01). The criteria of a responder are not further defined. With DGP, the responders raise their Tex/Tin ratio.</p>	<p>No ITT, no sample size calculation No description of randomization</p>	1-
<b>Faager, Clin Rehabil 2008 [59]</b>	RCT Open-label cross-over	n=32	<p><u>Moderate to severe COPD</u></p> <p><u>Inclusion criteria:</u></p>	<ul style="list-style-type: none"> <li>Pre-test: ISWT</li> <li><u>Intervention:</u> endurance shuttle walking test-ESWT: Walking speed 85%</li> </ul>	<p>Endurance by walking, O2 saturation and dyspnea</p> <p><u>Outcome measure:</u></p>	<p>Pursed lips breathing sign. increases <b>endurance</b> (patients walked for 37 seconds (16%) longer (p&lt;0.01) and reduces</p>	<p>During the test, 25 were responders and 7 non-responders (walking distance, O2 saturation)</p>	1-

Study, journal, year	Type of study/Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
			- clinically stability - physical performance limited by dyspnoea - oxygen desaturation to less than 95% at the end of the incremental shuttle walking test (ISWT)  <u>Exclusion criteria</u> - cardiac comorbidity - neurological or orthopaedic mobility impairments	of max. ISWT performance. Patients used spontaneously pursed lips breathing and became a nose clip.  • <u>Control:</u> patients received a mouthpiece during ESWT, to prevent them using pursed lips breathing, and a nose clip	<ul style="list-style-type: none"> <li>Heart rate</li> <li>O2 saturation</li> <li>Perceived dyspnea (Borg scale CR-10)</li> <li>Leg fatigue (Borg scale CR-10)</li> <li>Peak expiratory flow (Mini-peak Flow Meter)</li> </ul> <u>Follow up</u> Before, directly after, 5 and 10 min later	O2 desaturation.  No sign. change of <b>dyspnea</b> (nor of leg fatigue, heart rate or Peak expiratory flow).	Bei dem Test galten 25 als „Responder“ und 7 als „Non-Responder“ (Gehstrecke, Sauerstoffsättigung).  Discussion: Breathing through mouthpiece is uncomfortable and wearing. Non-responder had usually a lower FEV1, worse O2-saturation and a lower endurance.  One patient had a FEV1 > 80%.  Normal mouth or nose breathing through nose clip/mouthpiece not possible.  No sample size calculation > underpowered; no ITT No details to randomisation or concealment	
Kunik, Psychol Med 2008 [60]	RCT	n=238	<b>COPD</b>	Intervention: Treatment consisted of eight 1-h sessions of CBT: <ul style="list-style-type: none"> <li>education and awareness training</li> <li>relaxation training</li> </ul>	1.O: <ul style="list-style-type: none"> <li>COPD-specific QoL (Chronic Respiratory Questionnaire)</li> </ul> 2.O: <ul style="list-style-type: none"> <li>generic QoL (SF-36)</li> <li>depressive and anxiety symp-</li> </ul>	<ul style="list-style-type: none"> <li>Both treatments significantly improved QoL, anxiety and depression (p&lt;0.005) over 8 weeks; the rate of change did not differ between groups.</li> </ul>		1-

Study, journal, year	Type of study/Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				<ul style="list-style-type: none"> <li>increasing pleasurable activity and decreasing anxiety-related avoidance</li> <li>cognitive therapy</li> <li>problem-solving techniques</li> <li>sleep management skills</li> <li>skills review and planning for maintenance of gains</li> <li>additional home practice were assigned</li> </ul> Control: <ul style="list-style-type: none"> <li>Eight 1-hour sessions of COPD education</li> </ul>	toms <ul style="list-style-type: none"> <li>6-minute walking distance (6MWD)</li> <li>use of health services</li> </ul>	<ul style="list-style-type: none"> <li>Improvements were maintained with no significant change during follow-up.</li> </ul>		
Lidell, Physiotherapy 2010 [61]		n=30	COPD	Intervention I (n=15): <ul style="list-style-type: none"> <li>once-weekly group received one supervised rehabilitation session per week</li> </ul> Intervention II (n=15): <ul style="list-style-type: none"> <li>Twice-weekly group received two sessions per week</li> <li>Both for 8 weeks</li> <li>Together with a home exercise plan</li> </ul>	1.O: <ul style="list-style-type: none"> <li>Incremental Shuttle Walking Test (ISWT)</li> <li>Endurance Shuttle Walking Test (ESWT)</li> <li>St George's Respiratory Questionnaire (SGRQ)</li> </ul> Assessed at baseline and at completion of the supervised programme.           2.O: <ul style="list-style-type: none"> <li>home-exercise activity</li> <li>attendance levels</li> <li>patient satisfaction with the programme</li> </ul>	groups showed similar improvements in <ul style="list-style-type: none"> <li>exercise tolerance (median values: ISWT once-weekly 60 metres, twice-weekly 50 metres; ESWT once-weekly 226 seconds, twice-weekly 109 seconds)</li> <li>Patient satisfaction with both formats was high and almost identical between the groups.</li> </ul> Intervention I: <ul style="list-style-type: none"> <li>No improvement in QoL (SGRQ 0)</li> </ul> Intervention II: <ul style="list-style-type: none"> <li>Improvement in QoL (SGRQ 3.7).</li> </ul>		1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Magadle, Resp Med 2007 [62]</b>	Cross-sectional RCT Double blind, placebo controlled	n=34 (m=26,w=8) Drop-out Phase1=3 Drop-out Phase2=4	<u>Significant COPD</u> FEV1 <50%, FEV1/FVC <70% All were on regular long-acting bronchodilators and inhaled corticosteroid therapy. All new to a pulmonary rehabilitation program <u>Exclusion:</u> • Cardiac disease • Bad compliance • Patients with long-term supplemental O2	<u>Phase1:</u> All patients participated in a general exercise reconditioning (GER) for 12 weeks, then randomization. <u>Phase2:</u> • <u>Intervention:</u> inspiratory muscle training (pressure threshold device - POWERbreathe®) (IMT) three times a week for 12 weeks. • <u>Control:</u> sham IMR three times a week for 12 weeks.	Spirometry, insp. muscle strength, dyspnea, quality of life <u>Outcome measure:</u> • Spirometry (FVC and FEV1) • 6 min walking test (6 MWT) • Insp. Muscle strength (P <sub>lmax</sub> ) • Perception of dyspnea by breathing against resistance (BORG CR-10 Skala (POD)) • Quality of life by means of St George Respiratory Questionnaire Score (SGRQ) <u>Follow up</u> Before, 3, 6 and 9 months after intervention	Pat. benefit from IMT. <u>Phase1:</u> a small but non-significant decrease in the POD (from 22.870.6 to 20.670.5 total Borg score), SGRQ score (from 60.1±2.1 to 56.3±2.5 total SGRQ score) significant increase in the 6MWT (from mean±SEM 254can to 322±42 m, 26%, p<0.01), <u>Phase2:</u> Significant decrease in the POD in the training group (from 20.2±0.4 to 14.9±0.3 total Borg score, p<0.001), but not in the control group. The difference between the two groups was statistically significant. No change of <b>6 MWT</b>	No details to randomization or concealment No sample size calculation > underpowered; no ITT	1-
<b>Masanga, Respiriology 2011 [63]</b>	RCT	n=21 (11 IMT, 9 control)	moderate to severe COPD	Intervention (n=11): ▪ Education ▪ dietary instruction ▪ occupational therapy ▪ ± daily High-intensity Inspiratory Muscle Train-	▪ FEV1 ▪ PiMax ▪ 6MWT ▪ Dyspnea and QoL (CRDQ) ▪ Measured at baseline and end of the study	▪ sub-analyses: improvement after pulmonary rehabilitation - 6MWT (p<0.0001), CRDQ (p= 0,022), EV1 (p=0,9573) ▪ among the IMT group	▪ Small number of patients ▪ short duration of intervention ▪ No details about division between moderate	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				ing (IMT) Control (n=9): ▪ Education ▪ Dietary instructions ▪ Occupational therapy Duration 4 weeks	IMT - reached intensity level 40 -90cmHg (baseline 10 cmHg)	significant improvement PiMax p=0,0001 - but no additional improvement in exercise capacity, CRDQ and FEV1 ▪ Adverse effects were at all minimal and self-limited.	and severe COPD	
<b>Mota, Respir Med 2005 [64]</b>	RCT, placebo-controlled	n=18 (drop outs=2)	severe <b>COPD</b>	Intervention: ▪ expiratory muscle training Control: ▪ sham training group both completing: ▪ 4-weeks run-in ▪ 5-week program ▪ 3xweekly 30min breathing through an expiratory threshold valve -50% max. expirat.pressure vs. placebo	▪ lung function ▪ exercise tolerance (bic.ergomet. and walking test) ▪ clinical outcomes (dyspnea and QoL>SGRQ) ▪ Measurement timing at baseline and following training period	▪ Lung function unchanged ▪ Sign. improvement in exercise capacity, symptoms and <b>quality of life</b> (r=0.634, P<0.05).	▪ Small number of patients	1+
<b>Mularski, J Altern Com-plem Med 2009 [65]</b>	RCT	n=86 (drop outs=36)	advanced and symptomatic <b>COPD</b> GOLD stage ≥ II (64% severe, pre6MWTdistance 278m) Nonreversible airflow limitation Average age 67 years	Mindfulness-based breathing therapy (MBBT)- once-weekly-group meetings and daily self-administered MBBT practice (defin.strategy mindfulness-based stress reduction program with supplemental relaxation response training) improving dyspnoea and HRQoL • compared to support	▪ 6MWT ▪ modified BORG dyspnoea scale  other outcome measures: ▪ HRQoL(SGRQ) ▪ 6MWTdistance ▪ symptom scores ▪ exacerbation rates ▪ measures of stress and mindfulness 8-week program and evaluation	▪ No measurable improvement in <b>dyspnoea</b> or/and any other outcome measures	▪ No details about division between moderate and severe COPD ▪ High risk of bias ▪ High dropout rate	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control groups	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Nield, J Cardiopulm Reha 2007 [66]</b>	RCT	n=40 (drop outs=2(w4) and 12(w12))	Stable COPD 65±9y	Intervention I: <ul style="list-style-type: none"> <li>Pursed-Lips Breathing</li> </ul> Intervention II: <ul style="list-style-type: none"> <li>Expiratory Muscle Training</li> </ul> Control: <ul style="list-style-type: none"> <li>Daily practice sessions</li> <li>Logs to record practice times and potential adverse events</li> <li>4 weekly visits research laboratory</li> </ul> Intervention: Patients education handouts and audiovisual aids Control: education pamphlet and the same monitoring	Focus: voluntary prolongation of expiratory time  SF-36 physical function score - greatest improvement in the PSBgroup <ul style="list-style-type: none"> <li>Dyspnea: modified Borg after 6MWD and Shortness of Breath Questionnaire</li> <li>Functional performance: Human Activity Profile and physical fuction scale of Short Form 36-item Health Survey</li> </ul>	<ul style="list-style-type: none"> <li>No significant Group x Time difference was present for PEmax (P = 0.93).</li> <li>Significant reductions for the modified Borg scale after 6MWD (P = 0.05) and physical function (P = 0.02) from baseline to 12 weeks were only present for pursed-lips breathing.</li> <li>Positive effects on <b>self-care management</b> and self-efficacy.</li> </ul>	<ul style="list-style-type: none"> <li>Small groups of intervention</li> <li>short time</li> </ul>	1-
<b>Padula, Appl Nurs Res 2009 [67]</b>	RCT	n=32	Chronic stable HF 74,7(32-94)y 47% male  NYHA II 51,8 % NYHA III 48,3 %	Intervention: <ul style="list-style-type: none"> <li>3month nurse-coached IMT program and education</li> </ul> control: <ul style="list-style-type: none"> <li>education alone with standard educational protocol</li> </ul>	<ul style="list-style-type: none"> <li>PImax</li> <li>Borg scores</li> <li>Blood pressure</li> <li>Heart rate</li> <li>Respiratory rate a. o.</li> <li>Health-related QOL</li> </ul>	<ul style="list-style-type: none"> <li>No statistically differences</li> <li>Borg scores from baseline to Week 12 were significantly different as evaluated by repeated-measures analysis of variance (ANOVA), Wilk's k = 0.626, F(2,30)=17.36, p b .0001.</li> <li>Home-based IMT can be effective in improving <b>dyspnoea</b> and IM Strength</li> <li>Questionable improvement in <b>QoL</b> and self-efficacy for breathing</li> </ul>	<ul style="list-style-type: none"> <li>Sample size relatively small</li> </ul>	1+

Study, journal, year	Type of study/Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Pinto, Respir Man 2012 [68]</b>	RCT, delayed start study design	n=19 (drop outs=4)	<b>ALS</b> , 13 men 57,7±8,8y mean disease duration 13,2± 7,7mo ALS-FRS 25-38	randomized in two groups: G1- efficient load group G2-non-efficient load group ( after 4 month ( first 4 month work-out with lowest possible load, after 4 month exercise with efficient load	Evaluation 3 times- at entry and every 4 month: Functional amyotrophic lateral sclerosis rating score- ALSFRS FCV MIP MVV SNIP VAS for fatigue and dyspnoea Subj. respire.control feeling FSS Epworth`s scale FIM Euro-QoL 5D Hamilton`s scale	<ul style="list-style-type: none"> <li>ALSFRS (Mean difference 0.846 (SD 1.455)) and MVV higher decrease in G2 (first four month)</li> <li>VAS for dyspnea: Mean difference -0.231 (SD 0.715)</li> <li>No other differences</li> <li>All patients described a better voluntary control over respiratory dynamics</li> </ul>	<ul style="list-style-type: none"> <li>Small number of patients</li> </ul>	1-
<b>Acupressure/acupuncture</b>								
<b>Suzuki, J Altern Com-plem Med 2008 [69]</b>	prospective trial with matched-pair parallel groups of patients	n=30	<b>COPD</b>	<ul style="list-style-type: none"> <li>Intervention: Acupuncture 1 per week for 10 weeks and medication</li> <li>Control: medication only</li> </ul>	1.O: Breathlessness before and immediately after the 6-minute walk test (6MWT), using a modified 10-point Borg category scale. 2..O: SpO2, lung function, vent. Musclestrength /endurance, Fletcher Hugh-Jones categories	1.O: Improvement in Borg scale (p=0.000) 6MWT (p =0.0002) 2.O: Improvement in SpO2 (p= 0.0001)minimum and mean Fletcher Hugh-Jones categories significantly higher in intervention group	Japanese study: <ul style="list-style-type: none"> <li>Cultural influences?</li> <li>Transferability and generalization might be questionable?</li> </ul>	2++
<b>Whale, Acupuncture in Medicine 2009 [70]</b>	Prospective double blinded RCT	N=11 (drop outs=2)	<b>COPD</b> with acute exacerbation	<ul style="list-style-type: none"> <li>Intervention: real acupuncture device (n=4)</li> <li>Control: sham needle device (n=5)</li> <li>over three consecutive</li> </ul>	<ul style="list-style-type: none"> <li>Credibility of acupuncture (Borkovec and Nau Credibility Questionnaire)</li> <li>Dyspnea and anxiety (Modified borg scale)</li> </ul>	<ul style="list-style-type: none"> <li>Credibility of acupuncture was acknowledged</li> <li>Mean dyspnea and anxiety scores improved, no difference between intervention</li> </ul>		1-

Study, journal, year	Type of study/Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				days		and control group		
<b>Wu, J Altern Com-plem Med 2007 [71]</b>	randomized, block experi-mental design	n=44	<b>COPD</b>	<ul style="list-style-type: none"> <li>Intervention: true acu- pressure group received an acupressure program that used the acupoints of Great Hammer, Celes- tial Chimney, Lung Transport, Kidney Transport, Fish Border</li> <li>Control: sham acupoints used were Shang Hill, Su- preme White and Large Pile</li> <li>Both treatments extended over 4 weeks and con- sisted of 16-minute ses- sions given five times a week.</li> </ul>	1.O: <ul style="list-style-type: none"> <li>Geriatric Depression Scale (GDS)</li> <li>Dyspnea Visual Analogue Scale (DVAS)</li> <li>on baseline and post inter- vention</li> </ul> 2.O: <ul style="list-style-type: none"> <li>SpO2, blood pressure, respir- atory rate and pulse pre/post session</li> </ul>	<ul style="list-style-type: none"> <li>GDS scores (decreased in sham acupuncture group by 0.14 points), <b>DVAS scores</b> (<b>p&lt;0.01</b>), oxygen satura- tion, and physiological indi- cators significantly im- proved p=0.00</li> </ul>	Taiwanese study: <ul style="list-style-type: none"> <li>Cultural influences?</li> <li>Transferability and generalization might be questionable?</li> </ul>	2++
<b>Music</b>								
Singh, Chron resp Disease 2009 [72]	RCT	N=72 (drop-outs=8)	Patients who just recovered after an acute COPD exacerbation and are stable for at least seven days since then. COPD defined as FEV1 /FVC <70% und FEV1 <80% of predicted. "Self reported Shortness of breath (SOB)"	Arm A: <ul style="list-style-type: none"> <li>music (self selected, indian instrumental music with 60-80 beats per minute) for 2x30 Minutes in the morning and after-noon.</li> </ul> Arm B: <ul style="list-style-type: none"> <li>Progressive muscle re- laxation (PMR): Patient listened to instructions and performed the re-</li> </ul>	<ul style="list-style-type: none"> <li>Dyspnoea: 100mm VADS</li> <li>Anxiety now: Spielbergers state anxiety inventory (SSAI)</li> <li>General Anxiety: Spielber- ger´s trait anxiety inventory (STAI)</li> <li>Physiologic paramters: Blood pressure (BP), pulse (HR), and respiratory rate (RR)</li> </ul>	<ul style="list-style-type: none"> <li>SSAI 8.4 Points better after second session of music compared to baseline,</li> <li>SSAI 4.8 points better after PMR compared to baseline.</li> <li>STAI change was significant for interaction but not clini- cally significant.</li> <li><b>Dyspnoea</b> reduction was 23,1 mm on 100mm VAS in the music group and 12.9 mm in the PMR group.</li> </ul>	<ul style="list-style-type: none"> <li>Statistic is hard to understand.</li> <li>No information about cancer patients.</li> </ul>	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				laxation of 16 muscle groups.		<ul style="list-style-type: none"> <li>BP, RR and HR decreased after both interventions significantly.</li> <li>Music: Systolic BP pre: 136.88 to 127.8 post; diastolic BP 87 to 85; HR 89 to 81; RR 27 to 19.</li> <li>PMR: SPB 134 to 130; DBP 84 to 83; HR: 87 to 81 and RR 22 to 17.</li> </ul>		
<b>Relaxation</b>								
<b>Chan, Complement Ther Med 2011 [73]</b>	RCT single blind	n=206	<b>COPD</b>	Intervention: <ul style="list-style-type: none"> <li>3 months Tai Chi Qigong with two 60-min sessions each week, 1 hour daily self-practice</li> </ul> 1st control: <ul style="list-style-type: none"> <li>exercise group with pursed-lip breathing, diaphragmatic breathing and self-paced walking, 1 hour daily self-practice</li> </ul> 2nd control: <ul style="list-style-type: none"> <li>usual care</li> </ul>	<ul style="list-style-type: none"> <li>Lung functions</li> <li>Borg scale before and after 6-min walk test</li> <li>COPD exacerbation rate</li> <li>Timing of measurement: baseline, 6 weeks, 3 months</li> </ul>	Significant interaction effects between time and group in : <ul style="list-style-type: none"> <li>forced vital capacity (p = .002)</li> <li>forced expiratory volume in 1 s (p &lt; .001)</li> <li>walking distance (p &lt; .001)</li> <li>Exacerbation rate (p = .006) at 3 months.</li> <li>Improvements were noted in the TCQ group.</li> <li>No changes were observed in the exercise group, while a decline in lung functions was noticed in the control group.</li> <li>No significant differences in Borg scale</li> </ul>		1+
<b>Donesky-Cuenco, J Altern Com-</b>	Open label, randomised study	N=41 (no drop-outs)	Pts > 40 Years/ old ADL limited by dyspnoea	Intervention: <ul style="list-style-type: none"> <li>12-week Yoga training program (twice weekly)</li> </ul>	<ul style="list-style-type: none"> <li>Dyspnoea intensitiy (DI) and Dyspnoea related distress (DD) measured with a modi-</li> </ul>	<ul style="list-style-type: none"> <li>DI did not improve after intervention</li> <li>DD improved significantly</li> </ul>	<ul style="list-style-type: none"> <li>The population was not representative (recruitment via advertising)</li> </ul>	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
plem Med 2009 [74]			Stable COPD Pts were recruited by advertising!	with posture and breathing elements. Control: ▪ "Usual care", interventions and no. of visits not specified	fied Borg scale after a 6MWD and every minute within an ergometer test:Two Questions: "How short of breath are you right now?" for DI and "How bothersome or worrisome is your shortness of breath to you right now?" for DD. ▪ A 5-item dyspnoea subscale of the CRQ was used to measure dyspnoea during five patient-chosen ADL's, ▪ Secondary: Pulmonary Function, HRQL, physical performance on Ccke and 6MWD	in the intervention arm measured by 6MWD but not on ergometer. ▪ The 6MWD improved significantly after the intervention but not in the control arm. (+71.7 ± 21.8 feet versus -27.6 ± 36.2 feet; ES = 0.78, p = 0.04) ▪ No difference in the other secondary endpoints.	with more females than males. ▪ Primary endpoint was not precisely defined (DI or DD?) so levels of significance are questionable.	
Oh, Am J Chin Med 2008 [75]	RCT	N=30 (dropouts=12)	Cancer diagnosis any state, ECOG 0-3, expected survival length > 12 months	Intervention: ▪ in addition to usual medical care a MQ group intervention once or twice a week for eight weeks, daily self-practice one hour ▪ end of the program: all patients completed the follow-up QOL measure and blood test. Control: ▪ continued usual care	1.O: ▪ QoL and symptoms (EORTC QLQ-C30) 2.O: ▪ Inflammation (CRP)	▪ Individually reported better QoL and lower symptoms, lower inflammation ▪ Results were not statistically significant between treatment and the control groups.		1-
Yeh, Resp Care 2010 [76]	RCT	N=10	Pts with COPD FEV1 <65% predicted FEV1 /FVC<0,7 Age 45 or older	Intervention: ▪ 12 Weeks of tai chi classes biweekly plus usual COPD care	▪ "Exercise Capacity and functional status" (Ergometry and 6 MWD at baseline and 12 Weeks as well as "timed-up-	▪ Although there was a non-significant relief of Dyspnoea in both arms, the baseline value was signifi-	▪ Nearly more endpoints than patients.	1-

Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				Control: <ul style="list-style-type: none"> <li>Usual COPD Care alone (Defined as pharmacologic therapy + exercise advice per ACCP-Guidelines)</li> </ul>	<ul style="list-style-type: none"> <li>and-go" assessment)</li> <li>HRQL (CRQ),</li> <li>Dyspnoea (UCLA San Diego Shortness of Breath Questionnaire and Modified Medical Research Council Dyspnoea Scale and many more...)</li> <li>Pulmonary function (spirometry)</li> <li>Physical Activity ("Community Healthy Activities Model Program for Seniors (CHAMPS)")</li> </ul>	<ul style="list-style-type: none"> <li>cantly worse in the control group. (1.4 ± 1.1) vs. (-0.1 ± 0.4) (P = 0.03).</li> <li>Significant improvements were seen in the CRQ total score and CRQ emotion domain.</li> </ul>		

**Counseling, support and breathing**

Moullec, Clin Rehabil 2010 [77]	Prospective controlled trial	N=40	moderate to severe COPD	Intervention: (n =11) maintenance integrated health care programme for 12 months Control: (n =16) usual care for 12 months	1.O: <ul style="list-style-type: none"> <li>change in functional and emotional dimensions of quality of life (SGRQ), (Brief-WHOQOL) and six specific questions (VAS)</li> </ul> 2.O: <ul style="list-style-type: none"> <li>change in exercise tolerance measured by six-minute walking test and cycle exercise.</li> </ul>	1.O: <ul style="list-style-type: none"> <li>improvements in functional and emotional dimensions scores of <b>quality of life</b> and exercise tolerance in intervention group. ANCOVA revealed a significant interaction effect (time x group) for symptom (F(3,75)=5.11, P&lt; 0.01; β=0.80; n"P=0.18) and activity (F(3,75)=8.24, P&lt;0.001; b=0.95; n"P=0.26)</li> <li>In control group maintenance of functional dimension scores of <b>quality of life</b>, clinically relevant decline in emotional scores of quality of life and in six-minute walking distance.</li> </ul>		2+
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Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
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**Singing class**

<b>Bonhila, Int J COPD 2009 [78]</b>	RCT	N=43 (drop-outs=30)	<b>COPD</b>	Intervention: ▪ Singing group (weekly classes for 1 hour, 24 weeks) Control: ▪ Handcraft work (weekly classes for 1 hour, 24 weeks)	▪ Baseline Dyspnoea Index (BDI) ▪ Borg scale	▪ singing group: directly after singing small but significant increase in <b>dyspnoea</b> ▪ after 24 session no significant difference between groups		1+
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**Nutrition**

<b>Laviolette, J Med Food 2010 [79]</b>	Double-blind, randomized controlled pilot study	N=22 (no drop-outs)	<b>COPD</b>	Intervention: ▪ Active pressurized whey Control: ▪ Placebo (casein) dietary supplementation  ▪ Duration: 16 weeks ▪ Patients continued their usual activities for the first 8 weeks ▪ In the remaining 8 weeks they were subjected to an exercise training program	▪ cycle endurance test (CET) ▪ CRQ  Measurement timing: ▪ 8 weeks ▪ 16 weeks	week 8: ▪ no increase in both groups week 16: ▪ statistically significant increase in CET time in the whey only group (277.2±108.8 vs. 226.6±77.1 seconds for whey and casein, respectively; P=0.23) ▪ clinically significant improvement in the <b>Dyspnea scale</b> of the CRQ in both groups		1+
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**Laughing**

<b>Lebowitz, Heart Lung 2011 [80]</b>	RCT	N=46 (drop-outs=22)	<b>COPD</b>	Intervention: ▪ 30 min humorous video presentation Control: ▪ 30 min instructional videos on practical topics	▪ Dyspnoea NRS	▪ No effect on dyspnea		1+
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Study, journal, year	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				<ul style="list-style-type: none"> <li>Timing of measurement: before and during video presentation (after 15 min)</li> </ul>				

### 3.3.2. Intervention „körperliche Übungen (*exercise*)“

Die systematische Literatursuche ergab keine Systematic Reviews oder Primärstudien zu Interventionen mit körperlichen Übungen bei Patienten mit einer Krebserkrankung für die Linderung von Atemnot.

## 3.4. Sauerstoff

### 3.4.1.1. Systematic Reviews

Studie	Studientyp (SR=Systematic Review MA=Meta-analyse) Titel	Untersuchte Studien/ Materialien	Population	Welche Interventionen wurden geprüft	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Ergebnisse	Bemerkungen	LoE
Cranston, Cochrane Review 2008 [81]	SR, MA	8 RCT's, cross-over (incl. un-blinded)	Participants with <b>chronic terminal illness (excluding COPD)</b> and breathlessness at rest or on mild exertion: Cancer (97), CHF (35), Kyphoscoliosis (12), n=144	Oxygen (30%, 50% or 100%), control: medical air or compressed air or room air or placebo air	1.O: subjective measures of breathlessness: verbal categorical scales, VAS, NRS, modified BORG test or BORG test. Various physiological parameters were tested as well: SpO <sub>2</sub> , respiratory rate, heart rate, cardiac output, VO <sub>2</sub> max	No consistent beneficial effect of oxygen inhalation. Some cancer study participants appeared to feel better during oxygen inhalation. (oxygen inhalation at rest, Peto Odds Ratio (95% CI); 4.94 (1.48 to 16.43) and during exercise, Peto Odds Ratio (95% CI); 2.62 (1.00 to 6.85)	Low volume of research studies, small sample sizes of the studies, variations in study methodologies.	1++
Uronis, Brit J Cancer 2008 [82]	SR, MA	5 studies (n=134)	Participants with <b>cancer</b> and dyspnoea	Oxygen versus medical air	1.O: dyspnea (oxygen at rest or 6MWD - standard mean difference (SMD) were used to combine scores)	Oxygen failed to improve dyspnea in mildly- or non-hypoxaemic cancer patients (SMD=-0.09, 95%CI: -0.22-0.04; P=0.16) In this small meta-analysis, oxygen did not provide symptomatic benefit for cancer patients with refractory dyspnoea, who would normally qualify for home oxygen therapy.	Further study of the use of oxygen in this population is warranted given its widespread use.	1+
Uronis, Cochrane Review 2011 [83]	SR, MA	SR: 28 RCT's, n=702 (of which MA: 18 RCT's, n=431)	Mildly or non-hypoxaemic people with <b>COPD</b> , who would not qualify for home oxygen therapy	Oxygen versus medical air	1.O: VAS, modified BORG, NRS or any other validated scale for measuring dyspnoea. For those studies measuring dyspnea during exercise, isotime scores were used when available.	Oxygen was effective reducing dyspnoea in mildly and non-hypoxaemic people with COPD who would not otherwise qualify for home oxygen therapy, with a standardised	Small sample sizes and heterogeneity amongst studies included in this review make it difficult to provide general recommendations.	1++

Studie	Studientyp (SR=Systematic Review MA=Meta-analyse) Titel	Untersuchte Studien/ Materialien	Population	Welche Interventionen wurden geprüft	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Ergebnisse	Bemerkungen	LoE
						mean difference (SMD) of -0.37 (95% CI -0.50 to -0.24, P < 0.00001) translating into a reduction of 0.78 cm on a 10 cm visual analogue scale (VAS) and a reduction of 0.9 points on a 0 to 10 numerical rating scale (NRS). . Impact on QoL cannot be determined from currently available data.		

### 3.4.1.2. Primärstudien

Studie	Studientyp/ Design	Anzahl der Patienten/ Drop-out	Patienten-merkmale	Intervention/Kontrolle	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Ergebnisse	Bemerkungen	LoE
<b>Abernethy, Lancet 2010 [84]</b>	RCT, double-blind	Oxygen (n=120, drop out=8), room air (n=119, drop out=20)	239 adults from outpatient clinics with life-limiting illness, refractory dyspnoea, and partial pressure of oxygen in arterial blood (paO2) more than 7-3 kPa from Australia, USA and the UK. <b>COPD</b> 64 %, Primary and secondary <b>cancer</b> 16%.	1 <sup>st</sup> arm: oxygen 2 <sup>nd</sup> arm: room air for 7 days.	1.O: „breathlessness right now“ with NRS (0=not breathless at all, 10=breathlessness as bad as you can imagine), twice daily.  2.O: average dyspnoea in the previous 24h, worst breathlessness in previous 24h, relief of dyspnoea during the previous 24h (0-10 NRS), and ordered categorical scales for functional impact, sleep, disturbance, drowsiness, anxiety, nasal	No additional symptomatic benefit of O2 for relief of refractory dyspnoea in patients with life-limiting illness compared with room air:  Over the 7-day period, <b>dyspnoea</b> decreased by -0.8 (95% confidence interval [CI]: -1.1, -0.5) and -0.4 (CI: -0.7, 0.1), respectively (p<0.001), regardless of intervention. Baseline dyspnea predicted improvement with medical	<ul style="list-style-type: none"> <li>ITT analysis</li> <li>Full-powered study</li> <li>Adequate randomisation, concealment and blinding</li> <li>It is possible that palliative oxygen is more beneficial than medical air for some subgroups (e.g., COPD patients vs. cancer patients), and that our study was not ade-</li> </ul>	1++

Studie	Studientyp/ Design	Anzahl der Pa- tienten/ Drop-out	Patienten-merkmale	Intervention/Kontrolle	<ul style="list-style-type: none"> <li>Outcomes (1.O=primary outcome; 2.O= secondary outcome)</li> <li>Outcome measure</li> <li>Follow up</li> </ul>	Ergebnisse	Bemerkungen	LoE
			Restrictive lung disease 5,9% Bronchiectasis 2,9% Primary pulmonary hypertension 1,3% End-stage cardiomyopathy 2,9% Other 7,5%		<ul style="list-style-type: none"> <li>irritation and nose bleeds, QoL (MQoLQ), functional changes (MRC)</li> </ul>	gas; participants with moderate (4-6 NRS) and severe (7-10 NRS) baseline dyspnea had average decreases in morning dyspnea of -0.7 (CI: -1.1, -0.4) and -2.4 (CI: -3.0, -1.8), respectively. There was no clinically meaningful difference between interventions in <b>side effects</b> , and few adverse effects.	quately powered to identify these patients	

## 4. Tumorschmerz

### 4.1. Systematic Reviews der EAPC/Caraceni 2012-Guideline

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>Bennett, Pall Med 2011 [85]</b>	SR (MA not possible)  Aim: to determine the effectiveness of antiepileptics when added to opioids, compared to opioids alone, for the management of pain caused directly by cancer	8 studies <ul style="list-style-type: none"> <li>5 RCTs</li> <li>3 BAs (Observational Before-After Studies)</li> </ul>	In total 465 adult <b>cancer patients</b> with chronic <b>moderate to severe (neuropathic) pain</b> , 370 (79.5%) completed the study period (almost non naive)  RCTs included 354 patient (of whom over 80% completed the study period)	Opioid + antiepileptic or antidepressant adjuvants (Gabapentin, Imipramine, Phenytoin)  5 RCT Opioid + adjuvant vs. Opioid alone (2 RCTs) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: Opioid + Gabapentin (1), Imipramine (1)</li> <li>2<sup>nd</sup> Arm: Opioid alone</li> </ul> Opioid + adjuvant vs. Opioid + placebo (2 RCTs) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: Opioid + Gabapentin (1), Amitriptyline (1)</li> <li>2<sup>nd</sup> Arm: Opioid + Placebo</li> </ul> Opioid + adjuvant vs. Adjuvant alone vs. Opioid alone (1 RCT) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: Opioid + Phenytoin</li> <li>2<sup>nd</sup> Arm: Phenytoin alone</li> <li>3<sup>rd</sup> Arm: Opioid alone</li> </ul> 3 BAs	Mainly 1.O: <ul style="list-style-type: none"> <li><b>Pain modification/relief</b> (effectiveness) (5 studies)</li> </ul> 2.O: <ul style="list-style-type: none"> <li><b>Adverse events /Side effects</b> (4 Studies)</li> </ul> 3 Studies 1.O: <ul style="list-style-type: none"> <li><b>Adverse events /Side effects</b></li> </ul> (In 3 RCTs pain relief and in 1 RCT adverse events not reported)	<b>Pain modification/relief</b> <ul style="list-style-type: none"> <li>adjuvants improve pain control within 4-8 days when added to opioids for cancer pain (strongest evidence for gabapentin)</li> <li>overall, the effect size was much less than reported for patients with non-cancer neuropathic pain (unlikely reduction in pain intensity of greater than 1 point on a 0-10/NRS)</li> </ul> <b>Adverse events:</b> increase likely	MA not possible, due to clinical and methodological heterogeneity  Methodological limitation of included studies: <ul style="list-style-type: none"> <li>bias/confounding factors, i.e. loss to follow up, opioid dose variation between and within studies, study duration</li> <li>in 3 RCTs pain intensity/relief and in 1 RCT adverse events not reported</li> <li>studies on various adjuvants commonly used in non-cancer neuropathic pain are missing (i.e. pregabalin, nortriptyline, duloxetine)</li> </ul> No info. on search strategy or on funding of the included studies; no quality assessment re-	1+  Body of evidence SIGN: 1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				<ul style="list-style-type: none"> <li>▪ Opioid + Gabapentin (2)</li> <li>▪ Opioid + Sodium valproate (1)</li> </ul>			ported	
<b>Candy, Cochrane Library 2011 [86]</b>	SR (MA not possible) Cochrane Review up date 2010 ( first version 2006)  Aim: to determine (1) the effectiveness of laxatives and methylnaltrexone for the management of constipation in PC patients and (2) the differential efficacy of laxatives used to manage constipation	7 studies (n=616) 7 RTCs, among them 2 crossover design	<b>palliative care / hospice patients</b> (most with advanced cancer and (anticipated) <b>opioid induced constipation</b> )	Methylnaltrexone (MN) and/or conventional laxatives -4 RCTs: senna (+ lactulose) vs various other laxatives -1 RCT (n=91 /75) ▪ 1 <sup>st</sup> Arm: starting dose daily of 15 ml (10 g) lactulose, up to max. 60ml (40 g) ▪ 2 <sup>nd</sup> Arm: starting dose daily of 0.4 ml (12 mg) senna, dose increase up to max. 1.6ml -1 RCT (n=36) ▪ 1 <sup>st</sup> Arm: misrakasneham (starting dose 2.5 ml) ▪ 2 <sup>nd</sup> Arm: senna (starting dose 24 mg) -1 RCT (crossover) (n=118): ▪ 1 <sup>st</sup> Arm: magnesium hydroxide + liquid paraffin 2 <sup>nd</sup> Arm: senna + lactulose -1 RCT (crossover) (n=51): ▪ 1 <sup>st</sup> Arm: senna + lactulose ▪ 2 <sup>nd</sup> Arm: co-danthramer  MN dose ranging: 1 RCT: sc	1.O: ▪ <b>Constipation management</b> (relief)  2.O: ▪ <b>Adverse effects</b> ▪ opioid withdrawal ▪ quality of life (1 study)	<b>Constipation management:</b> subcutaneous methylnaltrexone seems to be effective in opioid-induced constipation and where conventional laxatives have failed (odds ratio 6.95; 95% confidence interval 3.83 to 12.61)  <b>Adverse effects:</b> in total no difference in the occurrence of side effects (although higher proportion of flatulence and dizziness under methylnaltrexone) but drug safety of methylnaltrexone not yet fully evaluated (serious adverse events possible, i.e. severe diarrhoea, subsequent dehydration and cardiovascular collapse)  <b>Opioid withdrawal:</b> evidence of opioid withdrawal was found  <b>Quality of life</b> results not reported	MA not possible, due to clinical and methodological heterogeneity and study limitations  ▪ evidence remains limited due to insufficient RCTs ▪ All RCTs under-reported key design features (randomisation, allocation, incomplete outcome data) > unclear risk of bias ▪ further rigorous, independent trials needed (6 of 7 studies were funded by pharmaceutical companies)  broad search strategy, summary and discussion of study limitations  information on funding of included studies	1++  Body of evidence SIGN: 1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				MN (n=33, out of them 29 on conventional laxatives) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: sc MN 1 mg</li> <li>2<sup>nd</sup> Arm: sc MN 5 mg</li> <li>3<sup>rd</sup> Arm: sc MN 12.5 mg</li> </ul> 2 RCTs: sc MN vs.placebo 1 RCT: dose variation (n=154) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: single sc injection MN (0.15 mg/kg)</li> <li>2<sup>nd</sup> Arm: single sc injection MN (0.3 mg/kg)</li> <li>3<sup>rd</sup> Arm: placebo</li> </ul> 1 RCT: (n=133) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: sc MN (0.15 mg/kg)</li> <li>2<sup>nd</sup> Arm: placebo</li> </ul>				
<b>Caraceni, Pall Med 2011 [87]</b>	SR + MA (Cochrane review up-date 2010, first version 2007)  Aim: To address the question: In adult patients with moderate to severe pain directly due to cancer and never treated	21 studies (n=2478) <ul style="list-style-type: none"> <li>17 RCTs (n=2053)</li> <li>1 Meta-analysis (4 RTCs, n=425)</li> </ul>	<b>Patients with chronic cancer pain</b> (most not opioid naïve) <ul style="list-style-type: none"> <li>17 RCTs with 2053 patients in total</li> <li>The Meta-analysis included 4 RCTs with 425 patients in total</li> </ul>	<b>oral morphine vs other orally or transdermal administered opioids</b>  oral Morphine vs. other orally administered opioids (8 RCTs) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: Morphine</li> <li>2<sup>nd</sup> Arm: Oxycodone (4 RCTs) . Hydromorphone (3 RCTs), Methadone (1 RCT)</li> </ul> oral IR Morphine vs. other orally administered opioids (4 RCTs)	1.O: <ul style="list-style-type: none"> <li>Pain modification (efficacy)</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Adverse events /Side effects</li> </ul> Meta-analysis 1.O <ul style="list-style-type: none"> <li>Adverse events /Side effects *</li> </ul>	Studies published in between 2007/2009 did do not add significant information to the previous Cochrane review  <b>Pain modification</b> <ul style="list-style-type: none"> <li>oral morphine, oxycodone and hydromorphone seem to have similar <b>efficacy</b>.</li> </ul> <b>Adverse events/side effects</b> <ul style="list-style-type: none"> <li>oral morphine, oxycodone and hydromorphone seem to have have similar <b>toxicity</b></li> </ul>	Except the given MA of 4 RCTs, MA not possible due to clinical and methodological heterogeneity and limitations of the identified 17 RCTs  The available evidence suggests that oral mo, hydromorphone, oxycodone and methadone offer similar pain relief in this patient population with a similar pattern of side effects.	1++  Body of evidence (SIGN): 1-

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	with strong opioids, which is the evidence that oral morphine is better than placebo, or other oral/transdermal opioids in the management of pain?			<ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: IR Morphine</li> <li>2<sup>nd</sup> Arm: Brompton Cocktail (1 RCT), Methadone (1 RCT), Oxycodone (1 RCT)</li> </ul> <p>oral Morphine vs. transdermal administered opioids (5 RCTs)</p> <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: Morphine</li> <li>2<sup>nd</sup> Arm: Buprenorphine TTS (1 RCT), Fentanyl TTS (3 RCTs), Fentanyl TTS + Methadone (1 RCT)</li> </ul> <p>Meta-analysis (4 RCTs)</p> <ul style="list-style-type: none"> <li>Oral Morphine vs. transdermal administered opioids (Fentanyl/ Buprenorphine TTS)</li> </ul>			<p>On the other hand, limitation of efficacy and tolerability data on opioid-naive and non-selected populations of cancer patients treated with morphine:</p> <ul style="list-style-type: none"> <li>Population mostly non-naive</li> <li>Risk of bias in most of the studies (above all lost of follow-up)</li> </ul> <p>8 studies were (partly) sponsored by pharmaceutical companies (for 8 other studies no funding details given)</p>	
<b>Cherny, Pall Med 2011 [88]</b>	SR (MA not possible)  Aim: To address the question: is oral methadone better than placebo, or other oral/transdermal opioids in the	5 studies (RCTs) ( n=301 patients, group size 18-108)	most adult <b>cancer patients with moderate to severe cancer</b> related pain; 1 study: patients with <b>neuropathic pain</b> (variety of disease)	oral methadone vs. other oral/transdermal opioids  4 RTCs :methadone vs. oral/transdermal Opioids, among them 2 RCT oral morphine vs. oral methadone treatment. <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: oral morphine</li> <li>2<sup>nd</sup> Arm: oral methadone and</li> </ul> 1 RCT: intravenous (IV)	1.O: <ul style="list-style-type: none"> <li><b>Pain modification</b> (efficacy)</li> </ul> 2.O: <ul style="list-style-type: none"> <li><b>Adverse events</b> /Side effects (1 RCT)</li> </ul>	<b>Pain modification</b> <ul style="list-style-type: none"> <li>no evidence that methadone provides more effective analgesia than oral morphine, or transdermal fentanyl</li> <li>comparable, but not superior, analgesia achieved</li> </ul> <p>Over all the RCTs indicate <b>comparable adverse effects</b></p>	No MA due to clinical and methodological heterogeneity/limitations possible  Authors state that no studies comparing methadone to placebo for cancer pain were identified.  But: The application of placebo seems to be more than ethically question-	1-  Body of evidence SIGN: 1-

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	management of cancer pain?			followed by oral application of methadone/morphine <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: IV methadone, followed by oral methadone</li> <li>2<sup>nd</sup> Arm: IV morphine followed by oral morphine</li> </ul> 1 RCT oral methadone vs. oral/transdermal morphine (with access to immediate release oral morphine for each patient) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: oral morphine</li> <li>2<sup>nd</sup> Arm: transdermal fentanyl</li> <li>3<sup>rd</sup> Arm: oral methadone</li> </ul>			able in moderate to severe cancer pain.  search strategy limited to MEDLINE + CANCELIT, 1966-2009; low sensitivity; no information on funding of included studies	
<b>Dale, Pall Med 2011 [89]</b>	SR / no MA (Cochrane review up-to-date 2004-2010, first Version 2004)  Aim: to address the question: what is the evidence of opioid switching resulting in improved	11 studies (MA not possible) uncontrolled prospective observational studies (n=280 patients, (group size 10-32).	mostly <b>adult cancer patients</b> with inadequate relief of <b>moderate to severe pain</b> and/or intolerable opioide associated adverse/side effects	Opioid switch (variety of opioids, routes and switching strategies) <ul style="list-style-type: none"> <li>transdermal Buphrenephine → transdermal Fentanyl (vice versa)</li> <li>transdermal Fentanyl → Methadone</li> <li>Morphine → transdermal Fentanyl</li> <li>Morphine → Methadone</li> <li>Methadone → transdermal Fentanyl</li> </ul>	1.O: <b>Pain modification</b> (efficacy)  2.O: <b>Adverse events</b> /Side effects (reduction)	<ul style="list-style-type: none"> <li><b>Pain modification:</b> significant <b>reduction of pain intensity</b> in the majority of studies</li> <li><b>Adverse events:</b> significant <b>reduction of serious adverse events/side effects</b> in the majority of studies</li> </ul>	All in all still low level of evidence due to methodological study limitations: open uncontrolled studies with bias risk and data imprecision (GRADE D)  Quantitative review (and MA) not possible due to lack of RCTs  Search and assessment strategy described	2++  Body of evidence SIGN: 3

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	analgesia or reduced adverse effects in adult patients suffering from cancer pain?			<ul style="list-style-type: none"> <li>transdermal Fentanyl → Methadone</li> <li>transdermal Fentanyl → Methadone or Morphine and Morphine → Methadone</li> <li>Morphine → transdermal and parenteral Fentanyl</li> <li>transdermal Fentanyl/ Morphine or Hydromorphone → Methadone</li> <li>Morphine → Oxycodone</li> <li>Morphine →transdermal Fentanyl</li> </ul>			no information on funding of included studies	
<b>King, Pall Med 2011a [90]</b>	SR (incl. 1 MA was possible)  Aim: to identify and assess the quality of evidence for the use of oxycodone for cancer pain in adults	29 Studies <ul style="list-style-type: none"> <li>1 MA (including 4 RCTS, n=160 patients)</li> <li>14 RCTS.</li> <li>14 CTs (observational studies:10 prospective, 4 retrospective)</li> </ul>	Adult cancer patients with moderate to severe cancer related pain	<b>Oxycodone (Ox)</b> in cancer pain treatment (different release and routes) MA (4 RTCS): (n=160) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: oxycodone</li> <li>2<sup>nd</sup> Arm: morphine (3 RCTS), hydromorphone (1 RCT)</li> </ul> 14 RCTS: (n=34/28) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: oxycodone</li> <li>2<sup>nd</sup> Arm: morphine</li> <li>3<sup>rd</sup> Arm: codeine</li> </ul> Controlled release (CR) (n=32/23) Mo vs. Ox CR (n=44/31) Ox vs HydroMo CR (n=45/27) Ox vs HydroMo	1.O: <ul style="list-style-type: none"> <li><b>Pain modification</b> (efficacy)</li> </ul> 2.O: <b>Adverse events</b> /Side effects	<b>Pain modification</b> no significant difference in analgesia or adverse effects of oxycodone compared to other opioids (data from one MA: pooled standardized mean difference, 0.04; 95% CI -0.29 to 0.36, p=0.8, I2=62%)  <b>Adverse events:</b> no significant difference in adverse effects of oxycodone compared to other opioids - Oxycodone <ul style="list-style-type: none"> <li>seems to be effective for first-line opioid therapy</li> <li>possibly less expensive</li> <li>close monitoring and conservative dose selection in-</li> </ul>	MA for 4 RCTS, well conducted and unlikely to have been significantly biased in its conclusions  RCTs found in addition to the MA: significant limitations; therefore, lower quality evidence and MA not possible. However, consistency of the results.  considerable number of studies were (partly) funded by pharmaceutical companies  broad systematic search	1++  Body of evidence: 1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				Titration with patient controlled IV analgesia (n=20/19): <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: IV morphine</li> <li>2<sup>nd</sup> Arm: IV oxycodone</li> </ul> CR (n=101 /79) Ox vs. Mo IM vs. oral Ox (n=17/13) CR Ox vs MR Ox (n=45) Immediate release (IR) vs CR Ox (n=180) CR Ox vs. CR Mo (n=26) IV vs. rectal oxycodone (n=12) CR vs. immediate release (IR) oxycodone (n=111) CR vs. IR oxycodone (n=40) CR vs. IR Ox (n=50) 14 CTs (10 prospective, 4 retrospective)		evitable due to propensity to sedation and dose accumulation inevitable  oxycodone might be an alternative treatment option to morphine or hydromorphone for cancer-related pain	strategy, incl. reference screening and hand search  GRADE approach to assess study quality  information on funding of included studies	
<b>King, Pall Med, 2011b [2]</b>	SR (MA not possible)  Aim: to identify and assess the quality of evidence for the safe and effective use of opioids for the relief of cancer pain in patients with	15 CTs, among them <ul style="list-style-type: none"> <li>8 prospective CTs</li> <li>7 retrospective CTs</li> </ul>	adult/older <b>cancer pain patients</b> ( with moderate to severe pain) with <b>renal impairment and/or advanced cancer</b>	Opioid treatment in renal impairment (various opioids + routes) 8 prospective CTs <ul style="list-style-type: none"> <li>oral or sc mo treatment (n=18 hospice inpatients)</li> <li>oral or continuous sc infusion (CSCI) mo (n=36 hospice pts)</li> <li>oral or parenteral mo (n=109 cancer pain service patients)</li> <li>oral mo (n=11 cancer</li> </ul>	<b>1.O</b> <b>adverse events</b> /side effects (incl. renal and cognitive functioning/impairment)	<b>Adverse events</b> <ul style="list-style-type: none"> <li>fentanyl, alfentanil and methadone seem to be the least likely to cause harm in patients with renal impairment</li> <li>morphine may be associated with toxicity</li> </ul> cancer pain treatment with opioids in renal impairment primarily relies on pharmacokinetic data, extrapolation from non-cancer pain studies	Very low empirical evidence (GRADE) relating to the use of morphine, alfentanil, pethidine, fentanyl, sulfentanil, oxycodone, hydromorphone (no RCTs available/MA not possible)  study quality is limited due to high risk of methodological and publication bias	2++  Body of evidence SIGN: 3

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	renal impairment and to produce guidelines.			pain patients) <ul style="list-style-type: none"> <li>▪ mo (n=300 chronic pain patients with cancer)</li> <li>▪ mo (n=186 patients)</li> <li>▪ pethidine (n=64 patients with neurological symptoms, 19 cancer pain patients)</li> <li>▪ mo → oxycodone (n=27 patients, 9 with renal impairment)</li> </ul> 7 retrospective CTs <ul style="list-style-type: none"> <li>▪ mo (n= 177 pts non-responsive to mo or with intolerable side effects)</li> <li>▪ afentanil (n=4 patients diamorphine intolerance)</li> <li>▪ afentanil (n=48 hospital patients)</li> <li>▪ fentanyl (n=53 hospital palliative care patients)</li> <li>▪ sufentanil (n= 48 hospital palliative care patient)</li> <li>▪ hydromo (n=45 pain patients, 26 with renal impairment)</li> <li>▪ codeine, mo, diamorphone, oxy or combination of opioids (n=40 patients with chronic kidney disease CKD, among them 34 cancer patients)</li> </ul>		and clinical experience  no CTs on treatment with diamorphine, codeine, dihydrocodeine, buprenorphine, tramadol, dextropropoxyphene, methadone in the respective data bases .	Broad systematic review according to the Cochrane protocol  GRADE approach to assess study quality  No information on funding of included studies.	

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Klepstad, Pall Med 2011 [91]	Narrative SR / no MA (papers published until the end of 2009)  Aim: to analyse the evidence regarding the start of treatment with opioids and dose titration in adults pts with moderate to severe cancer pain	14 studies <ul style="list-style-type: none"> <li>2 RCTs (n=102)</li> <li>12 clinical/observational studies</li> </ul> (1 additional paper reported results of an extended analysis of a CT included in the review)	<b>adult cancer patients with moderate to severe pain</b>	Starting Step III opioids (dose titration)  2 RCTs comparing titration strategies with different routes/releases of morphine  oral vs. intravenous morphine (1RCT) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: titration with intravenous (IV) morphine</li> <li>2<sup>nd</sup> Arm: titration with immediate release (IR) oral morphine</li> </ul> Oral IR morphine vs. sustained release oral morphine (1 RCT) <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: oral IR morphine</li> <li>2<sup>nd</sup> Arm: sustained release (SR) oral morphine</li> </ul> 12 CTs opioid on titration with <ul style="list-style-type: none"> <li>oral morphine (6 studies)</li> <li>intravenous morphine (2 studies)</li> <li>transdermal fentanyl (4 studies).</li> </ul>	1.O: <ul style="list-style-type: none"> <li><b>Pain modification/</b> control (efficacy)</li> </ul> 2.O: <ul style="list-style-type: none"> <li><b>Adverse events /</b>Side effects</li> </ul>	<b>Pain modification</b> RCTs indicate <ul style="list-style-type: none"> <li>faster onset of pain relief with IV morphine compared to oral morphine – but similar pain relief after 24 hours,</li> <li>no difference in onset pain relief or adverse effects in titration with oral IR morphine compared to oral sustained release (SR) morphine</li> </ul> According to the CTs all treatment strategies resulted in acceptable pain control  <b>Adverse events /Side effects</b> RCTs indicate <ul style="list-style-type: none"> <li>apart from drowsiness after IV titration no serious adverse effects reported</li> <li>no difference in adverse effects in titration with oral IR morphine compared to oral sustained release (SR) morphine apparent</li> </ul> CTs indicate that all treatment strategies were well tolerated.	empirical evidence low  2 RCTs published until the End of 2009 only, MA not possible due to the diversity of methods and serious study limitations of 1 RCT (not blinded, no sample estimation)  With the exception of the 2 RCTs research mostly focuses on descriptive studies (CTs of different quality)  broad search strategy but limited to Medline  GRADE approach to assess study quality  Study limitations discussed  No information on funding of included studies.	2++  Body of evidence SIGN: 1-

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>Kurita, Pall Med, 2011 [92]</b>	SR / no MA  Aim: to analyse analgesic efficacy and side effects of spinal opioids in adult cancer patients previously treated with systemic opioids.	44 studies: (n= 2126): ▪ 9 RCTs (n = 639) ▪ 28 uncontrolled prospective studies (n = 1378) ▪ 2 non-randomised cohort studies (n= 24) ▪ 5 CS (n = 85)	Adults patients with <b>severe cancer pain</b> (mostly patient have been pretreated with opioids)	Morphine by the spinal route:  – implantable pump system in 5 of 9 in RCTs. – implantable pump system in 16 of 28 uncontrolled prospective studies – implantable pump system in 4 of the non-randomized cohort studies and CS In the remaining studies morphine has been delivered by epidural route via spinal tap.	1.O: ▪ Pain modification (efficacy) 2.O: ▪ Side effects	▪ <b>Pain modification:</b> weak recommendation for the use of spinal opioids, in the RCT 6 did not show a significant difference between oral or epidural application. ▪ The comparison of <b>side effects</b> showed minor differences with an advantage of the spinal route.	▪ Methodological limitations of most of the studies (bias, missing data), resulting in a low quality ▪ No MA due to heterogeneity ▪ Most non-naive patients	1+  Body of evidence SIGN: 1–
<b>Laugsand, Pall Med, 2011 [93]</b>	SR / no MA  Aim: to review the existing literature on management of opioid-induced nausea and vomiting in cancer patients and summarize the findings into evidence-based	55 studies (n = 5741) ▪ 19 RCT (n = not given) ▪ 13 case reports or case series (n = not given) ▪ 18 studies with nausea as primary outcome (with 8/18 studies opioid-induced nausea) ▪ 37 studies with nausea not primary outcome	Adult patients with cancer pain receiving opioids for cancer pain and vomiting either as a primary or secondary outcome	• use of analgetics for opioid sparing • change of opioid • change of route • other	1.O: ▪ Nausea and vomiting (opioid induced emesis) 2.O: ▪ Nausea and vomiting 3.O: ▪ Nausea and vomiting	▪ <b>Nausea and vomiting:</b> weak recommendation for changing the opioid or the opioid administration route. ▪ Too less evidence for a prioritization between symptomatic treatment and adjustment of opioid treatment	▪ Methodological limitations of most of the studies (bias, missing data), resulting in a low to very low quality (C–D) ▪ No MA due to heterogeneity ▪ Most non-naive patients ▪ Lack of consistency	1++  Body of evidence SIGN: 1–

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>Mercadante, Pall Med, 2011 [94]</b>	SR / no MA Aim: to describe the results of a systematic search of the literature on conversion ratios during opioid switching	31 studies (n = ) ▪ 26 uncontrolled, non-randomized, prospective (n = 1505) ▪ 2 non-randomized crossover (n = 33) ▪ 6 RCT (n = 267)	Adult patients with chronic <b>cancer pain</b> with opioid treatment	Efficacy and reliability of conversion rates of <b>opioid switching</b> during opioid treatment	1.O: Efficacy and reliability of opioid switching rates in treatment of pain	<ul style="list-style-type: none"> <li>▪ <b>Switching an opioid:</b> no specific generalized recommendation can be made. Use of established available evidence of conversion ratios.</li> <li>▪ Opioid switching to methadone should needs more experience</li> </ul>	<ul style="list-style-type: none"> <li>▪ Methodological limitations of most of the studies (bias, missing data), resulting in a low quality</li> <li>▪ Low statistical power</li> <li>▪ Various opioid administration route</li> </ul>	1+ Body of evidence SIGN: ORmo/ TDfe to 3;  ORmo to ORhy: 3;  ORox to ORhy: 1++ (only 1 RCT, but high quality);  ORmo to TDfe: 2-;  ORmo to ORox: 1+
<b>Nabal, Pall Med, 2011 [95]</b>	SR / no MA due to differences in NSAIDs molecules employed, paracetamol dosages (3-5 g/day), and the different fol-	7 studies for NSAID (n = 200) ▪ 9 double-blind cross over (n = 150) ▪ Open parallel study (n = 50) 5 studies for	Adult patients with moderate to severe pain <b>cancer pain</b>	Efficacy and safety of NSAID and paracetamol added to step III WHO opioid treatment for cancer pain	1.O: Efficacy of pain modification 2.O: Safety	<ul style="list-style-type: none"> <li>▪ <b>Pain modification:</b> weak recommendation for the use of NSAID in addition to opioids in WHO ladder step III regimen.</li> <li>▪ No evidence for the use of paracetamol.</li> <li>▪ The risk / benefit ratio was considered low.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Methodological limitations of most of the studies (bias, missing data), resulting in a low quality</li> <li>▪ Low statistical power</li> <li>▪ Opioid-naive and non-naive patients were evaluated</li> </ul>	1+ Body of evidence SIGN: 1-

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	low-up periods Aim: To perform a systematic literature review of the evidence of the efficacy and toxicity of NSAIDs or paracetamol added to WHO Step III opioid treatment for cancer pain.	paracetamol (n = 200) ▪ 3 double-blind cross over (n = 107) ▪ 2 double-blind (n = 93)						
<b>Pigni, Pall Med 2011 [96]</b>	SR (MA not possible) Aim: to evaluate the scientific evidence for the efficacy and side effects of hydromorphone in the management of moderate to severe cancer pain.	13 studies (n=1208): ▪ 9 RCTs ▪ 2 CCTs ▪ 2 observational studies (OS)	Adults patients with chronic <b>moderate to severe cancer pain</b> (most non-naïve)	<b>Hydromorphone</b> (HM) by any route: -7 RCTs/CCTs: HM vs. other drug ▪ 1 <sup>st</sup> Arm: HM ▪ 2 <sup>nd</sup> Arm: Mo (5), Oxycodone (1), Fentanyl/Buprenorphine (2), -4 RCTs comparing various routes (sc, iv, po, im) or release forms (slow/intermediate) -2 OS: administration of HM	1.O: ▪ Pain modification (efficacy) 2.O: ▪ Side effects	▪ <b>Pain modification:</b> similar analgesic results showed by RCTs comparing HM with morphine and oxycodone > evidence that HM can be used as an alternative to mo. ▪ The comparison of <b>side effects</b> showed minor differences, not consistent across studies.	▪ Methodological limitations of most of the studies (bias, missing data), resulting in a low quality ▪ No MA due to heterogeneity ▪ Most non-naïve patients	1+ (no details to study assessment)  Body of evidence SIGN: 1-
<b>Radbruch, Pall Med, 2011 [97]</b>	SR / no MA planned because of differences in the	72 studies; 18 included a total of n = 674 patients ▪ 3 SR (n = 916)	Adult patients with moderate to severe pain <b>cancer pain</b> who are unable to take	Efficacy and safety of alternative routes of opioid application	1.O: ▪ Efficacy of pain modification 2.O: ▪ Safety	▪ <b>Pain modification:</b> good evidence for subcutaneous administration of morphine. ▪ The risk/benefit ratio was	▪ Methodological limitations of most of the studies (missing data), resulting in a low qual-	1++  Body of

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	outcome indicators  Aim: to update the EAPC recommendations on opioids in cancer pain management.	<ul style="list-style-type: none"> <li>11 CCS (n = 537)</li> <li>2 crossover non-randomized study (n = 58)</li> <li>2 crossover RCTs (n= 38)</li> <li>7 CS (n = 230)</li> <li>1 CR (n =1)</li> <li>1 crossover randomized trial (n = 23)</li> <li>2 sequential cohort series (n =70)</li> </ul>	oral opioids			considered low.	<ul style="list-style-type: none"> <li>Low statistical power</li> <li>Various medications compared</li> </ul>	evidence SIGN:  sc route, iv titration: 1+;  switch from iv or oral to other route: 3
<b>Stone, Pall Med, 2010 [98]</b>	SR / no MA because of low-quality studies with multiple outcomes)  Aim: to examine the management of opioid-induced central side effects.	26 studies (n = 432) <ul style="list-style-type: none"> <li>9 RCT</li> <li>20 case series</li> <li>3 case reports</li> <li>2 uncontrolled prospective trials</li> <li>3 retrospective case reviews</li> <li>1 uncontrolled pilot study</li> </ul>	Adult patients with chronic <b>cancer pain</b> and reported side effects	Efficacy of pharmacological treatment of opioid induced side effects.	1.O: <ul style="list-style-type: none"> <li>Management of side effects of opioid use: sedation, cognitive impairment, myoclonus, hyperalgesia, insomnia</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Safety</li> </ul>	<ul style="list-style-type: none"> <li><b>Management of side effects:</b> no recommendation for the use of any of the pharmacological interventions.</li> <li>The risk / benefit ratio was not reported</li> </ul>	<ul style="list-style-type: none"> <li>Methodological limitations of most of the studies (missing data), resulting in a low quality</li> <li>Low statistical power</li> <li>Endpoints have not been well defined, sometimes two endpoints</li> <li>One study Included also non-adolescents</li> </ul>	1+  Body of evidence SIGN: 1-
<b>Tassinari, Pall Med, 2011a [99]</b>	SR / no MA  Aim: To analyse the evidence supporting the	18 studies (n = 2974) <ul style="list-style-type: none"> <li>11 RCT (n = not given)</li> <li>7 CT (n = not</li> </ul>	Adult patients with <b>mild to moderate cancer pain</b> resistant to NSAID ± adjuvants and intervention with	1. Efficacy of 3 <sup>rd</sup> -step opioids vs. 2 <sup>nd</sup> followed by 3 <sup>rd</sup> -step opioids 2. Efficacy of oral tramadol in patients pretreated with	1.O: <ul style="list-style-type: none"> <li>Pain modification (efficacy)</li> </ul> 2.O: <ul style="list-style-type: none"> <li>Safety</li> </ul>	<ul style="list-style-type: none"> <li><b>Pain modification:</b> weak negative recommendation for the use of modified analgesic ladder or the use of oral tramadol in the sec-</li> </ul>	<ul style="list-style-type: none"> <li>Methodological limitations of most of the studies (bias, missing data), resulting in a low quality of evidence</li> </ul>	1+  Body of evidence

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	widespread use of modified analgesic ladders or oral tramadol as alternatives to codeine/paracetamol for mild to moderate cancer pain.	given)	oral tramadol	oral NSAIDs and not previously treated with opioids vs. placebo or codeine/paracetamol		ond step. ▪ The risk / benefit ratio was considered uncertain.	▪ Low statistical power ▪ Endpoints have not been well defined	SIGN: 1- (most results based on low quality RCTs)
<b>Tassinari, Pall Med, 2011b [100]</b>	SR / no MA  Aim: To assess the role of transdermal opioids as a front-line approach to moderate to severe cancer pain.	13 studies (total n not provided) ▪ 11 Randomized clinical trials ▪ 2 Metaanalyses	Adult patients with moderate to severe cancer pain requiring stable doses of strong opioids	Efficacy of <b>transdermal</b> opioids (fentanyl and buprenorphine) in comparison with oral morphine.	1.O: ▪ Pain modification (efficacy) 2.O: ▪ Safety	▪ <b>Pain modification:</b> weak negative recommendation for the use of transdermal fentanyl and strong negative for transdermal buprenorphine. ▪ <b>The risk / benefit ration</b> was considered uncertain. Weak data report on less side effects with the use of transdermal opioids (constipation, diarrhoe, nausea, urinary retention).	▪ Methodological limitations of most of the studies (bias, missing data), resulting in a low quality ▪ Low statistical power ▪ Most non-naïve patients	1-  Body of evidence SIGN: 1-
<b>Zeppetella, Pall Med 2011 [101]</b>	SR (MA for transmucosal fentanyl)  Aim: to determine the evidence for the utility of opioids in the management of	8 RCTs	adult patients with <b>cancer and breakthrough pain</b> in any setting	Oral transmucosal fentanyl citrate (OTFC): ▪ 2 RCTs: Dose titration ▪ 3 RCTs: OTFC vs placebo (1), normal release Mo (1) or Mo iv (1)  Fentanyl buccal tablet (FBT): ▪ 2 RCTs: FBT vs placebo and dose titration	▪ Reduction in pain intensity ▪ Adverse effects (AEs) ▪ Patient's satisfaction	▪ <b>Reduction in pain intensity:</b> Most studies reported the utility of transmucosal fentanyl products and confirmed their efficacy, safety, and tolerability provided that they are first titrated to a successful dose in the individual patients already using opioids as ATC medi-	Good quality of the included studies.  Most industry sponsored	1+ (no details to study quality assessment)   Body of

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	breakthrough pain in patients with cancer.			Intranasal fentanyl spray (INFS): <ul style="list-style-type: none"> <li>▪ 1 RCT: INFS vs placebo and dose titration</li> </ul>		cation. One study demonstrated the utility of parenteral morphine and its faster onset of action compared with transmucosal fentanyl. <ul style="list-style-type: none"> <li>▪ <b>Meta-analysis</b> (Weighted mean difference=WMD (95%CI) in pain intensity): 1) at 10 min. following transmucosal fentanyl or comparator: WMD =0,51 (0,91 to 1,65); 2) at 15 min following transmucosal fentanyl or comparator: WMD =0,52 (0,33 to 0,70); 3) at 15 min following OTFC or Mo iv: WMD=0,80 (0,64 to 0,96)</li> <li>▪ <b>AEs:</b> generally mild and tolerable. Serious adverse events were commonly considered to be related to underlying conditions. All patients were also taking concomitant ATC opioids, thus it was not possible to definitively separate the effects of transmucosal opioids alone.</li> </ul>		evidence SIGN: 1+; for timing: 1-

## 4.2. Update der EAPC/Caraceni 2012-Guideline

### 4.2.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>Zeppetella, Cochrane 2013 [102]</b>	SR and MA  Aim: update of a Cochrane Review (Issue 1, 2006) To determine the efficacy of opioid analgesics given by any route, used for the management of breakthrough pain in patients with cancer, and to identify and quantify, if data permitted, any adverse effects of this treatment	15 trials (1699 participants)	1699 cancer patients and BTP in any setting. Patients (both male and female) of all ages who were treated with opioids for cancer pain.	Opioid analgesics versus placebo or other opioid analgesics, or both, or other active controls regardless of the dose (single or multiple doses) or mode of administration for the relief of BTP. All studies reported on the utility of seven different transmucosal fentanyl formulations, 5 of which were administered orally and 2 nasally. 8 studies compared the transmucosal fentanyl formulations versus placebo, 4 studies compared them with another opioid, 1 study was a comparison of different doses of the same formulation and two were randomised titration studies.	1. O: • Patient-reported pain • AE 2. O: • rescue analgesia • patient preference in the analysis	Oral and nasal transmucosal fentanyl formulations were an effective treatment for breakthrough pain.  When compared with placebo (6 studies: Pain Intensity Difference (PID): 0.39 [0.27, 0.52] or oral morphine (2 studies: PID: 0.37 [0.00, 0.73]), participants gave lower pain intensity and higher pain relief scores for transmucosal fentanyl formulations at all time points.  Global assessment scores also favoured transmucosal fentanyl preparations.  One study compared intravenous with the transmucosal route and both were effective.	No change to conclusions in this update; 11 new studies were identified through the updated search with 1306 participants.  The RCT literature for the management of breakthrough pain is relatively small.  Most identified studies were industry sponsored and undertaken for registration of either oral or nasal transmucosal opioids specifically developed for the management of BTP. Two studies were judged at a high risk of bias because of a small size.	1++

## 4.2.1.2. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel)	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
<b>Ahmedzai, Palliative Medicine 2012 [103]</b>	RCT, double blind  Aim: to exam- ine whether oxy- codone/naloxo- ne prolonged- release tablets (OXN PR) can improve consti- pation and maintain analgesia, compared with oxycodone prolonged- release tablets (OxyPR) in patients with moderate/ severe cancer pain.	n=184  Dropouts: n=51  Patients who needed to titrate up to oxycodone PR 120 mg/day and who regularly required two or more rescue doses of OxyIR were withdrawn from the study.	aged 18 years or older, with a diagno- sis of <b>cancer</b> and a documented history of moderate/ severe, chronic cancer pain, requiring round-the-clock opioid therapy (equivalent to OxyPR 20-80 mg/day at the start of the trial).	120 mg/day of OXN PR or OxyPR over 4 weeks  Open-label oxycodone immediate-release capsules (OxyIR) were available to patients as rescue medica- tion, up to a maximum of six doses per 24 h.	<b>1.O:</b> Efficacy assessments: <ul style="list-style-type: none"> <li>Bowel Function Index (BFI)</li> <li>Brief Pain Inventory Short- Form (BPI-SF)</li> </ul> <b>2.O:</b> <ul style="list-style-type: none"> <li>laxative use</li> <li>rescue medication use.</li> <li>Quality of life (QoL)</li> <li>safety</li> </ul>	<b>Efficacy:</b> Mean BFI score was significantly lower with OXN PR [ $\Delta$ BFI= -11.14; 95% confi- dence interval [CI]: -19.03 to -3.24; $p < 0.01$ ]; Mean BPI-SF scores were similar for both treatments.  Mean total <b>laxative intake</b> was 20% lower with OXN PR [(26.10 [27.60] vs. 32.69 [31.26] mg, respectively), ( $p = 0.17$ )]. The average rate of analgesic <b>rescue medication</b> use was low and comparable. <b>QoL</b> assessments were stable and comparable with greater improvements in constipation specific QoL assessments with OXN PR.  Overall, rates of <b>adverse drug reactions</b> were similar.	computerized randomisa- 1 + tion  power: 80%  double-blind  primary analysis (superi- ority testing) of BFI was performed in an inten- tion-to-treat manner on the full analysis II popula- tion.  dropout-rate: 27%	
<b>Lauretti, BJC 2013 [104]</b>	RCT, double- blind  Power of 80%  Aim: to evaluate the role of	n=72 (n=12/group) Drop-out=14	Aged 32 - 67 years; with a diagnosis of <b>cancer</b> , documented history of moder- ate/severe chronic cancer pain, classified as Tumour-Node-	<b>Regular medication:</b> oral morphine and oral amitrip- tyline (Oral mo regimen individually adjusted to a maximal oral dose of 80-90 mg per day, in order to keep the VAS score $< 4/10$ ; oral	Daily: <ul style="list-style-type: none"> <li>Analgesia (Pain average - VAS)</li> <li>Morphine consumption</li> </ul> Weekly evaluation (yes/no) of side effects:	<b>Analgesia:</b> overall daily VAS scores $< 4$ cm in all groups <b>Morphine consumption:</b> <ul style="list-style-type: none"> <li>CG, DG and 2.5MetG: grad- ual increase in mo intake, without sign. difference be- tween groups</li> </ul>	Randomisation not clear described  19,4% drop-outs; no ITT- analysis described  Study powered	1 +

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
	epidural methadone-lidocaine in cancer pain combined or not to epidural dexamethasone.		Metastasis stage III or IV, requiring round-the-clock opioid Exclusion criteria: Clinically unstable; clinically significant gastro-intestinal disease, cyclic chemotherapy within 3 weeks before visit or planned during the core study; radiotherapy that would influence bowel function or pain, refusal, allergy to any of the drugs used or inability to ingest the oral rescue analgesic morphine	amitriptyline 25 mg at bedtime) Patients randomised to one of 6 arms if they complained of pain (VAS >=4/10): • <u>Control Group (CG):</u> Epidural 40 mg lidocaine diluted to 10 ml volume with saline. • <u>Dexamethasone group (DG):</u> 40 mg lidocaine + 10 mg dexamethasone • <u>2.5 MetG:</u> 2,5 mg epidural methadone + 40 mg lidocaine • <u>5MetG:</u> 5 mg epidural methadone + 40 mg lidocaine • <u>7.5MetG:</u> 7.5 mg epidural methadone + 40 mg lidocaine • <u>7.5Met-DG:</u> 7.5 mg epidural methadone + 40 mg lidocaine + 10 mg dexamethasone	(1) daily somnolence (2) nocturnal insomnia (3) nausea (4) occurrence of vomiting (5) constipation (6) diminished appetite (7) fatigue (8) sadness  Follow-up during 21 days	<ul style="list-style-type: none"> <li>5MetG and 7.5MetG: patients took 3±1 and 5±1 days, respectively, to restart oral morphine.</li> <li>7.5MetDG: patients took 14±2 to restart oral morphine (P&lt;0.001).</li> </ul> > shows dose-dependent effect of methadone and enhancement with dexamethasone  <b>Adverse effects:</b> Daily somnolence and appetite improved in the 7.5MetDG during 2-week evaluation (P<0.005). Fatigue improved for both DG and 7.5MetDG during 2-week evaluation (P<0.005). By the third week of evaluation, all patients were similar.	The groups showed no differences regarding gender, weight, age and height, distribution of the primary site of the cancer pathology and incidence of metastasis	
<b>Leppert, Int J Clin Pract 2010 [105]</b>	RCT, cross-over Aim: to assess the impact of tramadol and	n=40 Drop outs=10 (n=5 in tramadol group and n=2 in DHC group dis-	opioid-naïve adult patients with nociceptive <b>cancer pain</b> , VAS>40 during non-opioids therapy	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: Controlled release tramadol=TR (n=15) (starting dose: 100 mg b.i.d - max. dose: 600 mg/d)</li> </ul>	<ul style="list-style-type: none"> <li>Analgesia (VAS), assessed daily</li> <li>QoL (EORTC QLQ C 30), assessed weekly</li> <li>Performance status (PS ECOG,</li> </ul>	Mean daily doses on the 7th and on the 14th day: TR= 286.67 ± 157.35 mg; 256.20 ± 109.33 mg; DHC=138.87 ± 40.77 mg; 172.53 ± 95.19	No ITT-analysis No sample size calculation No description of concealment or randomisation	1-

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
	DHC treatment on quality of life (QL) and performance status (PS) of patients with cancer pain.	continued the study because of insufficient analgesia)	(NSAIDs, paracetamol, metamizol); mean age: 70.47 ± 8.97; 19 women and 11 men.	versus <ul style="list-style-type: none"> <li>2<sup>nd</sup> arm: Controlled release dihydrocodeine=DHC (n=15) (starting dose: 60 mg b.i.d - max. dose: 360 mg/d)</li> </ul> for 7 days, then cross-over	Karnofsky), assessed weekly <ul style="list-style-type: none"> <li>Adverse events (EAs) reported in another study</li> <li>Patients' preferences</li> </ul>	mg. <ul style="list-style-type: none"> <li><b>Analgesia:</b> During all but 2 days, DHC analgesic effect sign. superior to TR. More patients in the tramadol group (12) than in the DHC group (8) used rescue analgesics.</li> <li><b>Preferences:</b> 19 patients preferred DHC treatment, 4 TR; 7 indifferent</li> <li><b>QoL: Functional scale:</b> TR: better emotional functioning; DHC: better global QL and cognitive functioning.</li> <li><b>Symptom scale:</b> DHC: less fatigue, pain and sleep disturbances, less nausea and vomiting, better appetite. TR: less constipation, less financial problems</li> <li><b>Performance status:</b> ECOG and Karnofsky PS low in both groups</li> <li><b>AEs:</b> no serious adverse events reported.</li> </ul>	No wash-out	
<b>Mercadante, Clin J Pain 2010 [106]</b>	RCT, Aim: According to experimental findings, oxycodone (OX) could have	n=60 Drop outs=21 (MO n=20; OX n=19)	<b>Pancreatic cancer</b> patients with a pain intensity of 4/10 requiring opioids	<ul style="list-style-type: none"> <li>30 mg/d sustained release oral morphine (MO) versus</li> <li>20 mg/d sustained release oral oxycodone (OX)</li> </ul> Opioids increased according to the clinical needs	<ul style="list-style-type: none"> <li>daily doses of opioids</li> <li>pain intensity</li> <li>symptom intensity recorded at admission (T0) and at weekly intervals for the subsequent 4 weeks (T1, T2, T3, and T4), with an extension at 8</li> </ul>	<b>Pain and symptom intensity:</b> no sign. difference  <b>OEI</b> at T4 and T8: no sign. difference	The experimental hypothesis that OX would be superior to MO in the clinical model of pancreatic cancer pain was not confirmed.	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
	some advantages over morphine (MO) in clinical models of visceral pain. It was hypothesized that OX could have some advantages over MO in terms of efficacy and dose escalation in pancreatic cancer pain.				weeks (T8). • Opioid escalation index (OEI) as percentage (OEI %) and in mg (OEI mg)		Power Analysis: Sample Size Analysis: min 25 patients. Sample power dropped to 65% at the end of the study (4wk), limiting the statistical validity  Blinding not possible  Drop Outs: 35%; not clear if ITT-analysis. A certain number of patients developed bowel obstructions and could not continue to take the study drugs orally	
<b>Mishra, Am J Hosp Palliat Med 2011 [107]</b>	Double-blind, placebo-controlled RCT  Aim: to evaluate comparative clinical efficacy of pregabalin with amitriptyline and pregabalin in neuropathic cancer pain	n=120	Patients with cancer and severe <b>neuropathic cancer pain</b>	<ul style="list-style-type: none"> <li>1<sup>st</sup> arm: amitriptyline (AT) – 50mg/d (1st week), 75 mg/d (2nd week), 100mg/d (3rd week)</li> <li>2<sup>nd</sup> arm: gabapentine (GB) – 900 mg/d ), 1200 mg/d (2nd week), 1800 mg/d (3rd week)</li> <li>3<sup>rd</sup> arm: pregabalin (PG) – 150 mg/d ), 300 mg/d (2nd week), 600 mg/d (3rd week)</li> <li>4<sup>th</sup> arm: placebo (PL)</li> </ul>	<p>1.O.: Level of pain with Visual Analogue Scale (VAS 0–100) daily (ratings averaged over 7 days, week over 4 weeks)</p> <p>2.O.:</p> <ul style="list-style-type: none"> <li>Intensity of lancinating, dysesthesia, burning (NRS 0–10)</li> <li>Global Satisfaction Scores (GSS)</li> <li>Functional capacity (ECOG)</li> <li>Adverse effects (AEs) (mild, moderate, severe)</li> <li>morphine-sparing effect (%)</li> </ul>	<p><b>Pain intensity:</b></p> <ul style="list-style-type: none"> <li>Sign. decrease in mean VAS value in all 4 groups as compared to baseline. In all 4 groups, VAS sign. less in every visit as compared to previous visit.</li> <li>PG: visit 3: mean VAS in group PG sign. less than in group AT (p=.003) and group PL (p=.024). Visit 4: mean VAS in group PG sign. less than in GB (p=.042).</li> </ul> <p><b>Mo-sparing effect:</b></p> <ul style="list-style-type: none"> <li>PL: 100% of pts requiring mo in visits 2–4</li> </ul>	No drop outs (or not described?)  No sample size calculation  Mo-sparing effect not described in 4th visit for PG. Data unclear. Nevertheless, the authors conclude that morphine-sparing effect is statistically and clinically significant with PG	1–

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
				<ul style="list-style-type: none"> <li>Oral morphine was used for rescue analgesic for continued pain</li> <li>4 weeks study period (4 visits)</li> </ul>	patients requiring rescue morphine) – not described in protocole as outcome but measured	<ul style="list-style-type: none"> <li>Visit 3: AT 46,7%; GB 23,3%; PG 16,7%; PL 100% &gt; all study drugs have mo-sparing effect</li> <li>Mo. needs increased in AT and GB between visit 2 and visit 4.</li> <li>PG: mo increment was minimum between visit 2 and visit 3. Mo needs in visit 4 not described.</li> </ul> <p><b>Burning, lancinating pain, dysesthesia:</b></p> <p>PL: Sign. higher reduction in burning, lancinating pain, and dysesthesia than in GB, AT and PL</p> <p><b>ECOG-GSS:</b></p> <p>max. improvement in PG group</p>		
<b>Moksnes, Eur J Cancer 2011 [108]</b>	RCT, phase II trial, parallel groups, multi-centre  Aim: We investigated whether patients switched to methadone by the stop and go (SAG) strategy have lower	n=42 Drop outs=7 (n=2 in 3DS group; n=5 in SAG group)	<b>Cancer</b> patients >18y, treated with morphine or oxycodone >1 week and having increasing pain considered to be untreatable with further opioid titration and/or having opioid related adverse effects	Switch strategy from morphine or oxycodone to methadone: <ul style="list-style-type: none"> <li>Stop and Go (SAG) versus</li> <li>switch over 3 days (3DS)</li> </ul> The methadone dose was calculated using a dose-dependent ratio. Rescue dose: 1/6 of the baseline	1.O: Average pain intensity (PI) on day 3 (BPI)	Mean preswitch morphine doses: 900mg/d in SAG; 1330mg/d in 3DS; The two study groups had similar patients' characteristics except time on WHO step 3 opioids (SAG mean 9.1 months and 3DS 23.6 months, mean difference 14.4 (CI ) 26.6 to )2.3)).  <b>Average PI day 3/PI now:</b> no sign. difference, but trend of	The SAG group had sign. more dropouts and three SAEs (two deaths and one severe sedation). The SAG strategy should not replace the 3DS when switching from high doses of morphine or oxycodone to methadone  Sample size calculation, concealment and randomisation described.	1+

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/control	Outcomes (1.O=primary out- come; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
	pain intensity than the patients switched over three days (3DS), and whether the SAG strategy is as safe as the 3DS			opioid dose.		more pain in the SAG group  <b>Mean AEs:</b> no sign. difference between groups  <b>SAEs:</b> 3 in SAG (2 deaths, 1 severe sedation)	ITT-analysis?	

## 4.3. Metamizol

### 4.3.1.1. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O=secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Duarte Souza, Support Care Cancer 2007 [109]	RCT Double-blinded Cross-over Placebo controlled	34 Intention to treat 1 patient ta-king paraceta- mol+codeine during the study was not excluded	<b>Ambulatory cancer pts.</b> Presence of cancer pain for which anal- gesia with morphine was indicated. Exclusion criteria: Neuropathic pain, renal, hepatic failure, jaundice, additional analgesic co- medication	1.Morphine 6x10 mg p.o. + placebo 2.Morphine 6x10 mg p.o. + dipyrone 4x500 mg Crossover after 48 hrs Telephone interview at 48 hrs and 96 hrs.	1.O: Pain scores (VAS 0-10) at entry, 48 and 96 hrs. 2.O: • Preference of dipyrone versus placebo versus indifferent • Toxicities (not mentioned in the methods)	<ul style="list-style-type: none"> <li>• <b>Pain scores</b> at baseline Mo+placebo: 7.31±0.29 Mo+ dipyrone: 6.88±0.28 (p=0.03) 48 hrs Mo+placebo: 7.06±0.32 Mo+ dipyrone:5.5±0.31 (p=0.001) 96 hrs Mo+placebo: 3.18±0.39 Mo+dipyrone: 1.94±0.37 (p=0.03) Dipyrone significantly adds to the analgesic effect of mor- phine. Pain control was still improved after 96 hrs after switch from dipy. to placebo.</li> <li>• <b>Preference</b> Dipyrone 28 pts. (85%) Placebo 4 pts. No preference 2 pts. (p&lt;0.001)</li> <li>• <b>Toxicities</b> 48 hrs: n (%) Mo+placebo: 9 (56.2%) Mo+dipyrone: 7 (38.9%) 96 hrs: n (%) Mo+placebo: 15 (93.7%) Mo+dipyrone: 16 (88.9%)</li> </ul>	<p>The only study adminis- trating dipyrone as co- medication to morphine. The co-medication to an opioid is the standard situation in clinical pallia- tive care practice</p> <p>Randomisation: how?</p> <p>Power analysis?</p> <p>The significant results were only possible due to the low SD.</p> <p>Evaluation only by tele- phone interview</p> <p>Imbalance in pts. Charac- teristics Mo+placebo: higher proportion of visceral pain (p=0.02) Mo+dipyrone: higher proportion of bone pain (p=0.02) Higher proportion of pts. who had not yet received</p>	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O=secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
						No agranulocytosis	oncological treatment (p=0.04)	
Rodriguez, Eur J Cancer 1994 [110]	RCT double-blinded parallel multi-center	149 pts. eligible, 121 analyzed Dropouts not mentioned, maybe these were 7 pts	<b>Pts. suffering from cancer pain</b> VAS ≥70 mm Karnofsky performance index >30% Exclusion criteria: Brain -, liver metastasis Gastric disorders, insufficient mental status, adjuvant therapy at the time of entering the study, radiotherapy or chemotherapy within 15 days prior to study	1. Dipyron 3x1g oral + 3x placebo 2. Dipyron 3x2 g oral + 3x placebo 3. Morphine 6x10 mg oral for 7 days dose escalation possible on day 4  rescue medication paracetamol+codeine	1.O: Degree of pain relief on VAS 0-100  2.O: • Number of pts. who decided to increase the dose on day • Grading of "tolerance" as excellent/ good on day 7 by pts. and observers • Side effects not mentioned in the methods but described in the results	1.O: all groups had significant improvement in <b>cancer pain</b> But less pain relieve in dipyron 1g compared to dipyron 2g (p<0.05) + morphine (0.01)  2.O: • No difference in number of pts. who decided to increase the dose Dipyron 1g: 17/31 (55%) Dipyron 2g: 11/27 (41%) Morphine: 12/35 (35%)  • Excellent / good tolerance graded by pts. / observers Dipyron 1g: 77% / 77% Dipyron 2g: 46% / 47% Morphine 62% / 62%  • Side effects Dipyron 1g: 52 side effects in 27 pts. Dipyron 2 g: 63 in 25 pts. Morphine: 92 in 34 pts. n.s. more severe side effects in the morphine group (21) than in dipyron 1g (7) or dipyron 2	Participating centers not mentioned, probably the institutions where the authors come from. Power analysis. No information about blinding procedure / appearance of medication. Seems to be liquid. No information on placebo. The taste of drugs allows unblinding. Dugs prepared by whom? Physicians are not explicitly mentioned as blinded. Who were the "observers"? = physicians? Or other persons, who were blinded? Definition of tolerance? In the results a lot of further comparisons between groups are preformed (e.g. grading of efficacy by pts. and observers) which have not been introduced in the method section. Statistics: Correction for multiple testing not mentioned. Investigation of 3 g	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O=secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
						g (14)	dipyrone /d does not make much sense (underdosing). It is clear that this cannot be equianalgesic to 60 mg morphine/ day.	
<b>Yalçin, Acta Oncologica 1997 [111]</b>	Cohort study Not randomised Not blinded Not controlled	50 pts. 25 per group No dropouts	<b>Cancer patients experiencing severe pain.</b> Inclusion criteria: no regular analgesic treatment before Exclusion criteria: significant impairment of brain, liver, kidney lung	1. 4x10 mg Ketorolac oral 2. 3 x 500 mg dipyrone oral	Not explicitly mentioned; according to the methods: 1.O: decrease in pain scores after 2 days compared to worst pain score for 24 hours before start of the study  2.O: number of patients with complete pain relief, incomplete relief and no benefit	1.O: Significant decrease in VAS scores in both groups with no difference between groups. (p<0.05)  2.O: <b>Complete pain relief</b> ketorolac n=13, dipyrone n=4 (p<0.05). <b>Partial</b> relief ketorolac n=7, dipyrone n=17. <b>No</b> relief ketorolac n=5, dipyrone n=4	No ethics approval mentioned, No (written) informed consent mentioned No blinding, no randomisation, No statement whether it was a prospective study No power analysis Ketorolac not available in Germany (due to severe side effects). Metamizol dose only 1.5 g/d No differentiation pain at rest / movement	2-
<b>Yalçin, Am J Clin Oncol 1998 [112]</b>	RCT not blinded cross-over	50 pts. included 3 dropouts (1 died, 2 lost to follow-up)	<b>14 different kind of cancer</b> , e.g. breast, lung, colorectal, stomach ca;  Inclusion criteria: VAS score >5 - No history of long-term analgesic use - ECOG 0,1 or 2	1. Dipyrone 3 x 500 mg oral 2. Diflunisal 2 x 500 mg oral  Both for 1 week followed by 1 day washout, then cross-over to the other drug for 1 week.	Not explicitly mentioned; 1.0 Decrease in pain scores after 7 days of treatment in the whole group and in subgroups with no metastasis, metastasis and bone metastasis  2.0 Side effects	1.O: <b>Reduction in VAS</b> scores: Diflunisal by a mean of 4.65 ± 3.10 dipyrone by a mean of 3.25 ± 2.85 (p < 0.001) VAS scores in subgroups Pts. with no metastasis no difference, pts. with metastasis no difference, patients with bone metastasis diflunisal: VAS after treatment 5.0±3.9, dipyrone 6.2±3.3;	No ethics approval mentioned, No (written) informed consent mentioned No information on randomisation No power analysis No correction for multiple testing Only localization of pain described (extremities,	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O=secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
			Exclusion criteria: renal or liver impairment, GI malabsorption, hemorrhagic diathesis, intracranial metastasis, active peptic ulcer			p=0.045  2.O: <b>Adverse events</b> Dipyron 14.8% Diflunisal 17.02% n.s. In no pat. drug withdrawal necessary.	abdomen, face etc.) no characterization of pain (e.g. visceral, neuropathic, bone) Diflunisal not available in Germany Metamizol dose only 1.5 g/d No differentiation pain at rest - movement/ breakthrough pain	

# 5. Obstipation

## 5.1. Medikamentöse Therapie

### 5.1.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN (justification)
<b>Bader, Schmerz 2012 [113]</b>	SR (MA not possible)	10 studies (n=1136): 4 RCTs 6 controlled trials	Patients in end-of-life situations (most patients in these studies had cancer; n=994)	4 RCTs: 3 x methylnaltrexone vs. placebo 1 x naloxone/ oxycodone vs. placebo/ oxycodone  6 controlled trials: 1 x senna vs. lactulose 1 x Ayurvedic preparation (Misrakasneham) vs. senna 1 x Codanthramer vs. lactulose with senna 1 x senna vs. senna/ docusate 1 x naloxone 1 x polyethylene glycol (PEG), sodiumpicosulfate, lactulose	QoL reduction of symptoms frequency of defecation	Only for methylnaltrexone and naloxone evidence exists for opioid-induced constipation in patients with no risk of bowel perforation, which confirms the efficacy and safety of patients in palliative care settings. The studies on conventional laxatives approved the tolerance of lactulose, PEG, senna, sodiumpicosulfate and docusate in this population, but results of the included studies suggest, there is no evidence for the efficacy of one of these agents.	Evidence on medical treatment of constipation in palliative care is sparse and guidelines have to refer to evidence from outside of the palliative care setting and to expert opinions. Results from other studies with other patient groups can only be transferred with limitations to very ill patients at the end of life who might have a higher risk for potential side effects such as gastrointestinal perforation in case of abdominal tumour manifestation.	1+
<b>Becker, Lancet 2009 [114]</b>	SR; MA of McNicol included [115]	7 studies (with methylnaltrexone; n=269): 5 RCTs 2 controlled trials	Studies with methylnaltrexone: Patients with incurable cancer or other end-stage disease n=133	Studies with methylnaltrexone; 5 RCTs: Placebo vs. morphine+placebo vs. morphine+methylnaltrexone	Effectiveness and safety of methylnaltrexone and alvimopan: Transit time Time to bowel movement Proportion of patients that laxated within 4 h of first dose	<b>Methylnaltrexone</b> and alvimopan are better than placebo for reversal of opioid-mediated increase of gastrointestinal transit time and constipation.	<ul style="list-style-type: none"> <li>Alvimopan seems to have higher pharmacological potency than methylnaltrexone, but methylnaltrexone can be given via different</li> </ul>	1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN (justification)
		12 studies (with <b>alvimopan</b> ; n=4574) 12 RCTs	Healthy volunteers n=37 Patients with chronic methadone-induced constipation n=34 Patients with postoperative ileus n=65  Studies with <b>alvimopan</b> Healthy volunteers n=70 Patients with chronic methadone-induced constipation or opioid-induced bowel dysfunction n=765 Patients with postoperative ileus n=3739	Placebo vs. morphine vs. morphine+methylnaltrexone 3xPlacebo vs. methyl-naltrexone  2 controlled trials: methyl-naltrexone in different doses: 0.64mg/kg vs. 6.4mg/kg vs. 19.2mg/kg) 0.3mg/kg vs. 1 mg/kg vs. 3mg/kg  Studies with <b>alvimopan</b> Placebo vs. morphine vs. alvimopan Alvimopan+morphine vs. placebo+morphine vs. placebo Morphine+placebo vs. morphine+alvimopan 10 x placebo vs. alvimopan in different doses	Colonic motility Time to recovery of gastrointestinal functions	Based on included MA of McNicol [115] gastrointestinal transit time in patients given methylnaltrexone was reduced by 52 min (95% CI) in patients at the end of the study. Placebo - Methylnaltrexone reduced the mean transit time to 93min (95% CI) vs. 145min (95% CI). <b>Methylnaltrexone</b> (intravenous doses of 0.3–0.45 mg/kg and oral doses up to 19 mg/kg) is well tolerated and able to relieve constipation in methadone dependent individuals and patients with advanced illnesses who need high doses of opioids. <b>Methylnaltrexone</b> should be used in patients with opioid-induced bowel dysfunction who do not have a response to a reasonable laxative regimen, in combination with the laxative regimen. Recommended dose: 8 mg (38–61 kg); 12 mg (62–114 kg) every 2 days. Outside these weight ranges, 0.15 mg/kg. Defaecation can be expected within 4 h after the first dose	routes, which might be beneficial for early postoperative or terminally ill patients, whereas alvimopan is available only orally. • External validity of the studies to the general population of patients is low.	

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN (justification)	
<b>Candy, Cochrane 2011 [86]</b>	SR; MA	7 RCTs (n=616)	<ul style="list-style-type: none"> <li>Participants at an advanced stage of disease (most participants had a cancer diagnosis).</li> <li>Most common primary cancer site was the lungs. Participants with other diagnoses included advanced cardiovascular disease, AIDS and dementia.</li> <li>Average age 61 to 72 years.</li> </ul>	4 studies: laxatives lactulose, senna, co-danthramer, misrakasneham, magnesium hydroxide with liquid paraffin 3 studies: methylnaltrexone	Change in frequency of defecation Ease of defecation Relief of systemic and abdominal symptoms related to constipation Change in quality of life Use of rescue laxatives	in about 50% of patients.  <b>Alvimopan</b> is effective in patients with postoperative ileus at doses of 6 mg or 12 mg daily.	No differences in effectiveness were demonstrated between <b>lactulose</b> and <b>senna</b> , <b>lactulose</b> with <b>senna</b> compared to <b>magnesium hydroxide and liquid paraffin</b> , or between <b>misrakasneham and senna</b> . Between <b>lactulose and senna</b> versus <b>co-danthramer</b> was a significant difference, favouring the group who took lactulose and senna, in stool frequency. No significant difference between <b>lactulose and senna</b> compared with <b>co-danthramer</b> in participants' assessment of bowel function. All studies that compared different laxatives (one to three) participants suffered side effects. Most commonly reported events: nausea, vomiting, diarrhoea and abdominal pain. Subcutaneous <b>methylnaltrexone</b>	In studies comparing the different laxatives evidence was inconclusive. Evidence on subcutaneous methylnaltrexone was clearer Safety of subcutaneous methylnaltrexone is not fully evaluated. Large, rigorous, independent trials are needed. The study comparing lactulose and senna with magnesium hydroxide and liquid paraffin emulsion a participant from each group withdrew because of intolerable nausea and gripping abdominal pain. Participant preferences were only reported in two studies; one showed a preference for lactulose plus senna over magnesium hydroxide combined with liquid paraffin. The	1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN (Justification)
						<p><b>one</b> is effective in inducing laxation after 4 hours in palliative care patients with opioid-induced constipation and where conventional laxatives have failed compared to placebo. Rescue free laxation within 4 hours: OR 6.95 (95% CI: 3.83 to 12.6). Rescue free laxation within 24 hours: OR 5.42 (95% CI: 3.12 to 9.41)</p>	<p>other found no difference in preference.</p>	

# 6. Depression

## 6.1. Screening, Diagnose und Assessment

### 6.1.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Meijer, PLoS ONE 2011 [116]	SR; no MA to evaluate the potential benefits of depression screening in cancer patients	19 studies (Sample size ranged from 16 to 361)	8 studies of patients with <b>breast cancer</b> patients. 11 studies of patients with <b>mixed cancer</b> sites across the spectrum of cancer stages. Number of cases of major depressive disorder (MDD) ranged from 6 to 74 (median=17).	Screening instrument vs. a valid MDD criterion standard ▪ HADS;-D ▪ EPDS	Assessing accuracy With: ▪ Sensitivity ▪ Specificity ▪ PPV ▪ NPV (95% CI)	<ul style="list-style-type: none"> <li>The main finding of this systematic review was that there are no RCTs that have evaluated whether screening for depression among cancer patients would improve depression outcomes.</li> <li>The result shows that the recommendation statement of the NIH panel, IOM, clinical guideline of NCCN and NICE are not supported by evidence from RCTs that screening cancer patients for depression would improve patients' mental health beyond existing psychological services that are offered in oncology settings.</li> </ul>	1-	
Mitchell, J Clin Oncol 2007 [117]	SR, MA; Accuracy of distress thermometer (DT) and other ultra-short methods of detecting cancer-related	38 analyses about diagnostic validity studies	<b>Cancer</b> settings N=6414 patients	Ultra-short screening tools (DT, single-question, VAS) involving fewer than five questions	Utilizing an accepted psychiatric interview or a standardized ratings scale for assessing: ▪ Depression ▪ Anxiety ▪ Distress	Pooled ability of ultra-short methods to detect depression was given by: ▪ Sensitivity=78.4% ▪ Specificity=66.8% ▪ PPV=34.2% ▪ NPV=93.4% Thus these tools were very		1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	mood disorders					<p>good at excluding possible cases of depression but poor at confirming a suspected diagnosis. Their rule-in ability was poorer than their rule-out ability.</p> <p>Ultra-short methods cannot be used alone to diagnose depression, anxiety, or distress in cancer patients but they may be considered as a first-stage screen to rule out cases of depression.</p>		
<p><b>Mitchell, Brit J Cancer 2008 [118]</b></p>	<p>SR, MA; to examine the value of one or two simple verbal questions in the detection of depression</p>	<p>Seventeen analyses were found. Of these, 13 were conducted in late stage palliative settings.</p>	<p><b>Cancer</b> settings</p>	<ul style="list-style-type: none"> <li>▪ Single depression question</li> <li>▪ Single interest question</li> <li>▪ Two questions (low mood and low interest)</li> </ul>	<p>The majority of studies defined depression using a psychiatric interview (applied in a semi-structured or clinical interview) but a minority utilised standardised rating scales.</p>	<p>(1) Single depression question (9 studies): prevalence of depression = 16%, sensitivity = 72%, specificity = 83%. PPV = 44%, NPV =94%.</p> <p>(2) Single interest question (3 studies): Prevalence=14%, sensitivity=83%, specificity=86%, PPV=48%, NPV =97%.</p> <p>(3) Two questions (5 studies): prevalence=17%, sensitivity=91%, specificity= 86%, PPV = 57%, NPV =98%.</p> <p>Simple verbal methods perform well at excluding depression in the non-depressed but perform poorly at confirming depression. The 'two question' method is significantly more accurate than either single question but clinicians should not rely on</p>		<p>1+</p>

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
						these simple questions alone and should be prepared to assess the patient more thoroughly.		
<b>Mitchell, J Affect Disorders 2010 [119]</b>	SR, MA; To examine the validity of the HADS in the identification of psychiatric complications of cancer, as defined by robust criterion standard	50 analysis	<b>Cancer and palliative setting</b>	50 analyses tested the HADS-S (depression), HADS-A (anxiety) or HADS-T (both) against syndromal (clinical) depression (n=22), syndromal anxiety (n=4) or any mental ill health/distress, all defined by semi-structured psychiatric interview.	1.O: Syndromal (clinical) depression defined by ICD10 or DSM-IV. 2.O: Syndromal anxiety disorder defined by ICD10 or DSM-IV. 3.O: Any mental ill health (usually distress or adjustment disorder) defined by ICD10 or DSM-IV.	Overall it appeared to perform marginally better in non-palliative cancer settings. In the identification of depression the HADS-T, HADS-D and HADS-A had a pooled sensitivity and specificity of 82.0%, 77.0%; 71.6%, 82.6% and 80.5%, 77.8%, respectively. All versions performed poorly in case-finding but well in a screening capacity.  For the identification of depression, anxiety or distress in cancer settings, the HADS (including subscales) is not recommended as a case-finding instrument but it may, subject to concerns about its length, be a suitable addition to screening programme.		1+
<b>Mitchell, J Affect Disorder 2012 [120]</b>	SR, MA; To examine the validity of screening and case-finding tools used in the identification of depression as defined by an ICD 10/DSM-IV criterion standard	63 studies involving 19 tools	<b>Cancer patients in</b> ▪ Palliative settings ▪ Non-palliative settings	To examine the validity of screening and case-finding tools used in the identification of depression as defined by an ICD10/DSM-IV criterion standard. ▪ BDI ▪ BDI fast screen ▪ DT ▪ EPDS ▪ PHD ▪ PHQ-2	Validation of diagnostic accuracy with: ▪ Sensitivity ▪ Specificity ▪ I <sup>2</sup> ▪ Bayesian Plot (post-test and pre-test probabilities)	Across 16 analyses (n=4138) the weighted prevalence of depression in palliative settings was 19% (CI95% CI=17,5-19,5). In terms of case-finding, the two stem questions had level 1b evidence and one stem question had level 2b evidence. We gave both methods a grade B recommendation. Two	The main cautions are the reliance on DSM-IV definitions of major depression, the large number of small studies and the paucity of data for many tools in specific settings.	1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.0=primary outcome; 2.0= secondary outcome)	Results	Comments	Level of Evidence SIGN
	Plus panel recommendation of Depression in Cancer Care consensus group			<ul style="list-style-type: none"> <li>Two stem questions</li> <li>GHQ-12 and GHQ-24</li> <li>CES-D</li> <li>Zung</li> <li>HADS</li> <li>HDS</li> <li>Several other tools</li> </ul>		stem questions also had level 1b evidence in screening and also had high acceptability. For every 100 people screened in advanced cancer, the two questions would accurately detect 18 cases, while missing only 1 and correctly reassure 74 with 7 falsely identified.		
<b>Nelson, J Clin Oncol 2010 [121]</b>	SR;no MA To determine which depression instruments are appropriate	53 depression scales were identified, 8 tools were selected	<b>Geriatric cancer patients</b>	Patient reported scales <ul style="list-style-type: none"> <li>BDI</li> <li>BSI-18</li> <li>CES-D</li> <li>GDS-15</li> <li>HADS</li> <li>PHQ-9</li> <li>POMS-SF</li> <li>Zung SDS</li> </ul>	<ul style="list-style-type: none"> <li>General properties: conceptual framework</li> <li>Instrument development</li> <li>Validation and psychometric properties</li> <li>Symptom profile analysis</li> </ul>	We could not locate any validation or psychometric information of these measures specifically in elderly patients with cancer. The validation evidence for use of common depression instruments in geriatric patients with cancer is lacking.		1+
<b>Vordermaier, Support Care Cancer 2011 [122]</b>	SR, MA; to examine the scale's accuracy in assessing any type of clinically relevant mental disorder in cancer patients, as well as determining cut-off rates for clinical use.	28 studies	<b>Cancer</b> Mixed cancer sites: 10 studies, N=2828 Breast cancer: 8 studies, N=1407 Mixed cancer sites in palliative settings: 3 studies N=388 Lung cancer: 3 studies, N=219 Head and neck cancer: 2 studies, N=167 Laryngeal cancer: 1 study, N=250 Otolaryngologic cancer: 1 study, N=50	<ul style="list-style-type: none"> <li>HADS total and its subscale scores against</li> <li>semi-structured or structured clinical interview as a reference standard with regard to its screening efficacy for any mental disorders and depressive disorders alone</li> </ul>	<ul style="list-style-type: none"> <li>Sensitivity</li> <li>Specificity on the HADS total and/or subscales and had any type of mental disorder and/or any type of depressive disorder as the criterion.</li> </ul>	Respective thresholds for depression screening were 15 for the HADS total (sensitivity 0.87; specificity 0.88), 7 for the HADS depression subscale (sensitivity 0.86; specificity 0.81), and 10 or 11 for the HADS anxiety subscale (sensitivity 0.63; specificity 0.83). The HADS anxiety subscale performed worse than the total and the depression subscales for both indicators. Diagnostic accuracy varied widely by threshold but was consistently superior for depression screening than for screening of any mental disorder.		1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Wasteson, Palliative Med 2009 [123]	SR ; no MA Assessment tools and classification systems	202 full-length articles: <ul style="list-style-type: none"> <li>▪ 128 observational study</li> <li>▪ 61 prevalence studies</li> <li>▪ 42 intervention studies (Depression outcome)</li> <li>▪ 46 validation studies (depression assessment)</li> <li>▪ 27 validations studies (other assessment)</li> <li>▪ 15 intervention studies (other outcome)</li> <li>▪ 18 other or not specified studies</li> </ul>	Palliative cancer care patients	<ul style="list-style-type: none"> <li>▪ What are the assessment methods that have been used according to the type of study, year of study, sample size and geographical region?</li> <li>▪ In studies that report on depression cases, what are the classification systems that have been used to define caseness and how have the criteria of duration and functional consequences of symptoms been met?</li> </ul>	<ul style="list-style-type: none"> <li>▪ Assessment methods</li> <li>▪ Type of study</li> <li>▪ Sample size</li> <li>▪ Geographical region</li> <li>▪ Classification systems</li> <li>▪ Duration and functional consequences</li> <li>▪ Criteria modification</li> </ul>	Large number of assessment methods in identified papers for depression (N=106), many of which were unique to one paper (N=65). The content of the assessment methods varied greatly and included different types (i.e. structured diagnostic interviews, specific questionnaires, general questionnaires). All together, the HADS was the most commonly used assessment method. There were regional differences: HADS dominated in Europe it was quite seldom used in Canada or in the USA. Few prevalence and intervention studies used assessment methods with an explicit reference to a diagnostic system. There were in total few case definitions of depression. Among these, the classifications were in general based on cut-off scores (77%) and not according to diagnostic systems. The full range of the DSM-IV diagnostic criteria was seldom assessed, i.e. less than one-third of the assessments in the review took into account the duration of symp-		1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
						toms and 18% assessed consequences and impact upon patient functioning. Although heterogeneity in assessments was expected the diversity in the reviewed papers was pronounced. Depression and distress are rarely conceptualized explicitly and it is often unclear why a given measure was chosen.		

## 6.2. Medikamentöse Therapie

### 6.2.1. Antidepressiva

#### 6.2.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Rayner, Cochrane 2010 [124]	SR; MA to determine the efficacy of antidepressants in the treatment of depression in years with depression in the context of a physical illness	51 RCTs included in qualitative analyses (n=3603; adults older than 18 years with depression in the context of a physical illness)	<ul style="list-style-type: none"> <li>11 trials (stroke)</li> <li>7 trials (HIV/AIDS)</li> <li>6 trials (Parkinson's disease)</li> <li>4 trials (cancer)</li> <li>3 trials (COPD)</li> <li>3 trials (diabetes)</li> <li>3 trials (myocardial infarction)</li> </ul>	All types of antidepressants were eligible for inclusion in this review: <ul style="list-style-type: none"> <li>Selective serotonin reuptake inhibitors</li> <li>Tricyclic antidepressants</li> <li>Monoamine oxidase inhibitors</li> <li>Serotonin noradrenaline</li> </ul>	1.O: Antidepressant efficacy at 6-8 weeks after randomisation • dichotomous outcome of individuals who attained a 50% improvement of depressive symptomatology at 6 to 8 weeks from randomisation (HDRS, MADRS, HADS)	1.O: response to treatment: Odds of response were greater with antidepressants than with placebo (OR 2.33, 95CI 1.8 to 3.0, p<0.00001; 25 studies involving 1674) • Antidepressants were also more efficacious than pla-		1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
		44 studies (n=3372) contributed data towards the efficacy analyses included in quantitative synthesis of primary outcome	<ul style="list-style-type: none"> <li>• 2 trials (renal failure)</li> <li>• 1 trial (rheumatoid arthritis)</li> <li>• 1 trial with: brain injury/ asthma/ coronary artery disease/ chronic heart failure/ epilepsy/ chronic prostatitis</li> <li>• 3 trials with mixed diagnoses</li> </ul> <p>Average age: 33-82 years</p>	<ul style="list-style-type: none"> <li>• reuptake inhibitors</li> <li>• Noradrenergic specific serotonergic antidepressant</li> <li>• Serotonin2 antagonists</li> <li>• Noradrenaline reuptake inhibitor</li> <li>• Norepinephrine and dopamine reuptake blockers</li> <li>• Tetracyclic antidepressants</li> <li>• Heterocyclic antidepressants</li> </ul> <p>Control condition was placebo</p>	<ul style="list-style-type: none"> <li>• continuous measures of depression expressed as mean values at 6 to 8 weeks from randomisation (HDRS, MADRS, HADS)</li> </ul> <p>2.O:</p> <ul style="list-style-type: none"> <li>• Depression scores and symptomatology defined by validated measures</li> <li>• Number of drop-outs</li> <li>• Number of adverse events</li> </ul>	<p>cebo at the other time-points.</p> <ul style="list-style-type: none"> <li>• Mean depression score: Antidepressants were more efficacious than placebo in reducing depressive symptoms (SMD -0.66, 95% CI -0,94 to -0.38, p&lt;0.00001; 22 studies involving 1214 patients).</li> </ul> <p>2.O:</p> <ul style="list-style-type: none"> <li>• Mean depression score (4-5 weeks): Antidepressants were more efficacious than placebo in reducing depressive symptoms (SMD -0,46, 95% CI -0,88 to -0,04, p=0,03; 6 studies, n=365)</li> <li>• Number of drop-outs (4 to 5 weeks): Similar numbers of patients dropped out of the treatment and control group (OR1.11, 95% CI 0,48 to 2,57, p=0,86; 5 studies, n=365)</li> <li>• Tolerability: dizziness, dry mouth, headache, nausea, constipation, insomnia, sexual dysfunction, sedation, hypotension, appetite change.</li> </ul>		
Rayner, Pall Med 2011[125]	SR; MA to determine the efficacy of antidepressants for the treatment	SR: 25 studies MA: 21 studies	<ul style="list-style-type: none"> <li>• 7 trials (HIV/AIDS)</li> <li>• 6 trials (Parkinson's disease)</li> <li>• 4 trials (cancer)</li> <li>• 3 trials (COPD)</li> <li>• 2 trials (multiple sclerosis)</li> </ul>	antidepressants vs. placebo in the treatment of depression in palliative care	1.O: • Efficacy assessed using dichotomous and continuous measures of depression: dichotomous outcome response to treatment' is defined con-	At each time-point antidepressants were more efficacious than placebo: 4-5 weeks odds ratio (OR) 1.93 (1.15-3.42) p=0.001; 6-8 weeks OR 2.25 (1.38-3.67)	• It is probable that the effect sizes yielded in this review overestimate the efficacy of antidepressants due to biases such as selective report-	1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	of depression in palliative care		<ul style="list-style-type: none"> <li>• 2 trials (renal failure)</li> <li>• 1 trial (chronic heart failure)</li> </ul>		<p>ventionally and widely reported as a 50% or greater improvement in depressive symptomatology according to a validated scale, such as the HDRS, the MADRS or the HADS. Continuous measures expressed as mean depression score values and standard deviations, according to a validated scale. Outcomes were assessed at three time-points: 4-5 weeks, 6-8 weeks and 9-18 weeks from randomization.</p> <p>2.O:</p> <ul style="list-style-type: none"> <li>• Acceptability, tolerability, quality of life and functional status.</li> </ul>	<p>p=0.001; 9-18 weeks OR 2.71 (1.50-4.91) p=0.001.</p> <p>This review provides evidence that antidepressants are effective in treating depression in palliative care. Their superiority over placebo is apparent within 4-5 weeks and increases with continued use.</p>	<ul style="list-style-type: none"> <li>• the magnitude and consistency of the effect suggests genuine benefit.</li> </ul>	
Ujeyl, Schmerz 2012 [126]	SR; MA Aim was to assess the evidence of the efficacy and safety of different classes of antidepressants depending on the type and severity of physical illness.	40 trials: <ul style="list-style-type: none"> <li>• 35 doubleblind RCT's</li> <li>• 3 doubleblind crossover RCT's</li> <li>• 1 simpleblind RCT</li> <li>• 1 CT not blinded</li> </ul>	<ul style="list-style-type: none"> <li>• 3 trials (multiple sclerosis; n=133)</li> <li>• 6 trials (Parkinson's disease; n=187)</li> <li>• 7 trials (Alzheimer's disease; n=625)</li> <li>• 8 studies (cancer; n=819)</li> <li>• 11 studies (HIV/AIDS; n=664)</li> <li>• 5 studies (COPD/CHF; n=568)</li> </ul>	<ul style="list-style-type: none"> <li>• Nonselective monoamine reuptake inhibitors (tri- and tetracyclics)</li> <li>• Selective serotonin reuptake inhibitors</li> <li>• mirtazapine</li> <li>• nefazodone</li> <li>• trazodone</li> </ul> <p>compared with placebo, other antidepressants, benzodiazepines, psychostimulants or psychotherapy</p>	<p>Outcomes:</p> <ul style="list-style-type: none"> <li>• response rate</li> <li>• change from baseline</li> <li>• remission rate</li> </ul>	<p>Due to heterogeneous study designs no conclusions can be drawn if efficacy or tolerability of AD is dependent on disease severity. In most cases, studies might have been too small to detect limited treatment effects. As a lack of superiority over placebo was predominantly shown in larger trials, publication bias might have been present. In most of the reviewed internal medicine diseases study results were heterogeneous. In contrast to the popularity of the treatment approach, results sug-</p>	<p>This review allows only limited conclusions concerning the use of antidepressants in physical illness at the end of life. The reviewed evidence does not allow direct conclusions to be drawn concerning the use of antidepressants in different disease severities and its benefits compared to other treatment options (psychotherapy, benzodiazepines etc.).</p>	1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis); Aim of study	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
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gest that SSRIs are not effective in Alzheimer's disease. In Parkinson's disease, negative studies are too small to prove lack of efficacy of SSRIs as present in the majority of trials.

## 6.2.2. Psychostimulanzien

### 6.2.2.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>Abbasowa, Nord J Psychiatry 2013 [127]</b>	SR /no MA Exploring the efficacy of psychostimulants (PS) in the treatment of major depressive disorder (MDD) to clarify the current empirically founded evidence for clinical approaches	18 RCTS (N=1407)	Patients suffering from <ul style="list-style-type: none"> <li>▪ MDD (n=1038)</li> <li>▪ Bipolar depressed patients (n=342)</li> <li>▪ Mixed samples of bipolar and unipolar patients (n=27)</li> </ul>	<ul style="list-style-type: none"> <li>▪ Modafinil</li> <li>▪ Methylphenidate</li> <li>▪ Dexamphetamine</li> <li>▪ Methylamphetamine</li> <li>▪ Pemilone</li> </ul> <p>were administered orally/intravenously, as monotherapy/adjunct therapy and in comparison to placebo (n=1311) or to antidepressants/mood stabilizers (n=96)</p>	A priori defined efficacy measures (change and scores) of: <ul style="list-style-type: none"> <li>▪ HAM-D</li> <li>▪ MADRS</li> <li>▪ ESS</li> <li>▪ IDS</li> </ul> <p>and non-predefined efficacy outcomes</p>	<ul style="list-style-type: none"> <li>▪ Two studies examining modafinil demonstrated significant ameliorating characteristics pertaining to symptoms of depression.</li> <li>▪ No clear evidence for the effectiveness of traditional PS in the therapeutic management of MDD was found.</li> </ul>	<ul style="list-style-type: none"> <li>▪ In general the quality of included trials was poor since the majority was of short-term duration, comprising relatively small sample sizes and some, especially older studies, were methodologically flawed.</li> <li>▪ Clearly larger well designed placebo-controlled studies with longer follow-up accompanied by evaluations of tolerance/ dependence are warranted before PS can be recommended in routine clinical practice for the treatment of MDD.</li> </ul>	1-
<b>Candy, Cochrane 2008 [128]</b>	SR (24 RCTs); MA (13 trials) To determine the effectiveness of PS in the treatment of depression and to assess	24 RCTs <ul style="list-style-type: none"> <li>• 15 parallel design</li> <li>• 9 cross-over design</li> </ul>	Patients (>16 years) receiving psychostimulants as a treatment of depression (diagnosis was made according to any edition of DSM or ICD or when a clinician made the diag-	Psychostimulants (PS): <ul style="list-style-type: none"> <li>• dexamphetamine</li> <li>• methylphenidate</li> <li>• methylamphetamine</li> <li>• pemoline</li> <li>• modafinil (trials using modafinil were evaluated separately)</li> </ul>	1.O: Examine the effectiveness of PS on depressive symptoms or diagnosing using: <ul style="list-style-type: none"> <li>• Continous measures (Hamilton Depression Scale or Montgomery Asberg Scale)</li> <li>• Dichotomous measures (proportion of people who re-</li> </ul>	<ul style="list-style-type: none"> <li>▪ 3 trials (n=62) demonstrated that oral psychostimulants, as a monotherapy, significantly reduced short term depressive symptoms in comparison with placebo (SMD -0.87, 95% CI -1.4, -0.33) with non-significant heterogeneity.</li> </ul>	<ul style="list-style-type: none"> <li>• 15 trials were performed over 20 years ago.</li> <li>• 4 trials declared pharmaceutical funding or interests.</li> <li>• Some evidence in the short-term, PS reduce symptoms of depression. Whilst this reduc-</li> </ul>	1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	adverse events associated with PS.		nosis)	Main comparisons: <ul style="list-style-type: none"> <li>• PS vs. monotherapy vs. placebo</li> <li>• PS vs. monotherapy vs. other treatment (medication, psychological therapy)</li> <li>• PS vs. other treatment as an adjunctive treatment</li> </ul>	spond to treatment (categorisation of HAM-D score or any other validated depression scale into a 50 response or less.  2.O: <ul style="list-style-type: none"> <li>• Changes in other symptoms associated with depression</li> <li>• Remission criteria</li> <li>• Social adjustment and functioning</li> <li>• HRQL</li> <li>• acceptability</li> </ul>	<ul style="list-style-type: none"> <li>▪ Similar effect was found for fatigue.</li> <li>▪ No statistically significant difference in depression symptoms was found between modafinil and placebo.</li> </ul>	tion is statistically significant, the clinical significance is less clear. <ul style="list-style-type: none"> <li>• Larger high quality trials with longer follow-up and evaluation of tolerance and dependence are needed to test the robustness of these findings and to explore which PS may be more beneficial and in which clinical situations they are optimal.</li> </ul>	

6.2.2.2. Primärstudien

Study	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/ control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
Kerr, J Pain Symptom Manag 2012 [129]	RCT, double-blind, placebo-controlled  To evaluate the response of fatigue and depression in patients with advanced	n=34 4 drop-outs: • 3 died • 1 withdrew	hospice patients <ul style="list-style-type: none"> <li>• 12 male; 18 female</li> <li>• diagnosis of terminal illness including cancer (n=26) and noncancer diseases (n=4)</li> <li>• absence of significant cognitive impairment</li> </ul>	1 <sup>st</sup> arm: 5mg methylphenidate twice a day 2 <sup>nd</sup> arm: placebo  Doses were titrated every three days according to response and adverse effects	Influence of methylphenidate on the symptom of fatigue on  Outcome measure Follow up	Fatigue: <ul style="list-style-type: none"> <li>▪ PFS: reduction of 66% (day 0 mean intensity of 6.2; day 14=2.1±2.5)</li> <li>▪ VAS-F: reduction of 55% (day 0=4.9±2.7; day 14=2.2±3.1), although significant was noted until day 7 (P=0.05) ad day 14 (P=0.0007)</li> </ul>		1-

Study	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel)	Number of in- cluded patients/ Drop-outs	Patients characteristics	Intervention/ control	Outcomes (1.0=primary out- come; 2.0= secondary outcome) Outcome measure Follow up	Results	Comments	Level of Evidence SIGN
	illness		<ul style="list-style-type: none"> <li>presence of fatigue for at least two weeks</li> </ul>		from days 0-14	<ul style="list-style-type: none"> <li>ESAS: reduction of 64% from baseline index of fatigue (day 0=7.4±2.0 and day 14=2.7±1.3)</li> </ul> <p>Depression:</p> <ul style="list-style-type: none"> <li>ESAS: reduction of 35%, P=0.002 (day 0=2.9±3.1 and day 14=1.9±2.0)</li> <li>CES-D: reduction of 33%, P=0.002 (day 0=25.0, day 14=16.7±9.5)</li> <li>BDI-II: reduction of 22%, P=0.028 (day 0=15.1, day 14=11.8±9.1)</li> </ul>		

## 7. Kommunikation

### 7.1. Advance Care Planning – ACP (vorausschauende Versorgungsplanung)

#### 7.1.1.1. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Bakitas, JAMA 2009 [130]	RCT	n=322 (279 included in primary outcome analysis, 322 included in survival outcome analyses)	<ul style="list-style-type: none"> <li>Patients with cancer of the gastrointestinal tract, lung, genitourinary tract and breast</li> <li>Patients with impaired cognition mini-mental state, an axis I psychiatric disorder or active substance use were excluded.</li> </ul>	<ul style="list-style-type: none"> <li>Multicomponent, psychoeducational intervention conducted by advanced practice nurses consisting of 4 weekly educational sessions and monthly follow-up telephone sessions until death or study completion (n=161). The education manual contained 4 modules of problem solving, communication and social support, symptom management, advance care planning and unfinished business, and an appendix listing supportive care resources</li> <li>Usual care (n=161).</li> </ul>	<p><b>1.0:</b> Higher scores for quality of life (p=0.02) in the intervention group as compared to the control group, no improvements in symptom intensity scores or reduced days in hospital or ICU or emergency department.</p> <p><b>2.0:</b> Higher scores in mood (p=0.02 for all participants, p=0.03 for patients who died during the study) ) in the intervention group as compared to the control group</p> <p>Post hoc, exploratory analyses demonstrated no statistically significant differences in survival between the intervention and the control group</p> <p><b>Quality of life:</b> assessed with the Functional Assessment of Chronic Illness Therapy for Palliative Care</p> <p><b>Mood:</b> assessed with the CES-D</p>	<p>Estimated treatment effects (intervention minus usual care) for all subjects were 4.6 (P = 0.02) for QOL, -27.8 (P = 0.06) for symptom intensity, and -1.8 (P = 0.02) for depressed mood. Estimated average treatment effects in the sample of participants who died during the study were 8.6 (P = 0.02) for QOL, -24.2 (P = 0.24) for symptom intensity, and -2.7 (P = 0.03) for depressed mood.</p> <p>Compared with participants receiving usual oncology care, those receiving a nurse-led, palliative care-focused intervention addressing physical, psychosocial, and care coordination provided concurrently with oncology care had higher scores for quality of life and mood, but did not have im-</p>	<ul style="list-style-type: none"> <li>ACP as part of a multicomponent, psychoeducational intervention</li> </ul>	1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
						to-treat analyses for all participants with baseline and 1 or more follow-up assessments using repeated measures analysis of covariance to examine the effect of the intervention on (1) the total sample in the year after enrollment and (2) the sample of participants who died.		
Clayton, Clin Oncol 2007 [131]	RCT / coder blinded / Parallel	174/4	Advanced cancer patients and their caregivers who were referred for palliative care.  Inclusion criteria: 1) diagnosis of an advanced progressive life limiting illness, (2) English speaking, (3) older than 18 years of age, and (4) able and well enough to read QPL and complete questionnaires.	Provision of a question prompt list (QPL) with structured questions to patients before consultation /usual care consultation	<b>1.0</b> number of patient questions during consultation and topics of topics relevant to end-of-life care during consultations with a palliative care (PC) physician <b>2.0</b> total numbers of items discussed, patient concerns and caregiver questions/concerns, number of items discussed and patient/caregiver questions/concerns about nine individual topics covered by the QPL, achievement of patient information preferences, patient satisfaction with the consultation, patient anxiety, physician satisfaction with communication during the consultation, and consultation duration	Compared with controls, QPL patients and caregivers asked <b>twice as many questions</b> (for patients, ratio, 2.3; 95% CI, 1.7 to 3.2; P < .0001), and patients discussed 23% more issues covered by the QPL (95% CI, 11% to 37%; P < .0001). QPL patients asked <b>more prognostic questions</b> (ratio, 2.3; 95% CI, 1.3 to 4.0; P < .004) and discussed more prognostic (ratio, 1.43; 95% CI, 1.1 to 1.8, P < .003) and end-of-life issues (30% v 10%; P < .001). Fewer QPL patients had unmet information needs about the future (21% v 41.4%; P < .04), which was the area of greatest unmet information need. QPL consultations (average, 38 minutes) were longer (P < .002) than controls (average, 31 minutes). No	Well done study, intelligent design Intervention is a tool to facilitate ACP / encourage asking important q.s Prim. Outcome is difference of ACP consultation quality: contents: #, duration and content of questions No harm done in terms of anxiety etc., but also no clinical criteria Not about the clinical impact of ACP, but how to best realise ACP Ilicited questions re. caregiver that otherwise were not asked Setting: SAPV-Äquivalent	1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, crossover/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
						differences between groups were observed in <b>anxiety</b> or <b>patient/physician satisfaction</b>		
<b>Dyar, J Pall Med 2012 [132]</b>	Initially designed as a randomized phase 2 Trial with a goal of accruing 100 patients with metastatic cancer (50 patients per arm). Patients were randomized to either a control arm or an intervention arm.	Final questionnaire data could not be analyzed for eight patients, two in the intervention group and six in the control group. Two patients, both in the control group, were too ill to complete the baseline and follow-up questionnaires. Two participants withdrew because of lack of compliance with the required visits and consultations. One of them had expressed interest in the intervention arm and was not interested in participating in the control por-	See summary in table 1, keine signifikanten Unterschiede zwischen beiden Gruppen	The control group completed baseline and one month later (or at the time occurred earlier) hospice knowledge questionnaires (HKQ) and QoL tools, including the Functional Assessment of Cancer Therapy-General [FACT-G] and the Linear Analogue Self Assessment scale (LASA), but did not receive any mandatory palliative care intervention. These patients had access to palliative care consultations and hospice referrals as deemed indicated by their oncology team. Patients on the intervention arm, in addition to completing the questionnaires and QoL tools at baseline (pre-intervention) and one month later (post-intervention), had an initial and a one-month followup	Relevant endpoints included <b>change from baseline QoL and improvement in hospice knowledge</b> . Although an original primary endpoint of the study was to assess time to hospice referral in the two groups, the frequently prolonged period to hospice referral, relatively short study follow-up, and small sample size made it difficult to assess this outcome. By the same token, sense of abandonment upon hospice referral, which was a secondary endpoint of the study, could not be properly evaluated from the data collected. We set out to demonstrate that QoL outcomes can be improved with ARNP-directed education and follow-up. <b>Outcome measures:</b> Hospice knowledge questionnaires (HKQ) QoL tools, including the Func-	This study closed after the first 26 patients were entered in view of the finding of the positive effects of a nurse intervention in terminal cancers as reported by Bakitas and colleagues, and in view of the preliminary data analysis of the patients offered participation in this study that showed that many patients refused study participation as a result of the control arm and their desire to receive the ARNP intervention.  There was a statistically significant improvement in the <b>FACT-G emotional domain</b> in the intervention group [Mean 1.2 ( SD 2.94) vs. Mean -4.5 (SD 4.54) in non-interventional group] . None of the additional FACT-G domains had statistically significant differences between groups.  <b>LASA scale:</b> The change from baseline mental QoL was	Endpoints klar definiert?  Früher Abbruch  wenige Patienten  Differenzierung der Einpunkte?  ACP hier nu rein Teil einer Intervention	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
		tion of the study after randomization. Four patients died prior to completing the followup survey (one in intervention group, three in control group).		consultation with an oncology ARNP who taught them about hospice, helped fill out the Five Wishes and living will forms, and assessed their psychological, physical, intellectual/ cognitive, social, and spiritual needs	tional Assessment of Cancer Therapy-General [FACT-G] Linear Analogue Self Assessment scale (LASA)	statistically improved. p = 0.0219		
<b>Loberiza, Leukemia &amp; Lymphoma 2011 [133]</b>	prospective observational study	770 were found to be eligible, participation rate of 47% (364/770). The current analyses are focused on 293 (80%) participants who completed a pre-consultation self-administered survey, a pre-consultation interview and a post-consultation (after 3 months) interview, and had their consultation successfully audiotaped.	Lymphoma, Leukemia or MDS, detailed characteristics see table 1, p.2344	In this study, we defined ACP in two ways. First, as used in our previous study [4], we ascertained the presence of written plans of ACP as those who responded " yes " to having both a living will and health care proxy, while patients with only one or neither were considered to have no ACP. Second, we also defined verbal ACP based on whether or not patients reported having discussions about life support with their family/friends and medical care team, based on clinical practice, which largely defers to orally communicated wishes over written documents	Keine Klare Zielkriterienbestimmung: Stepwise covariate selection was performed to identify psychosocial domains and patient characteristics (as listed in Table I) associated with having ACP. Physician estimate of life expectancy was also tested as a covariate in the all-model building. A separate logistic model was also constructed to evaluate whether the above factors were associated with discussing life support with family and/or physician (verbal plan). Covariates with an $\alpha$ of less than or equal to 0.05 were retained in the model.	Nur für „verbal ACP“: As for factors associated with discussions about life support with family/friends and/or health providers (verbal plans), Table III also shows that lower physical component score of the SF-36 (OR 0.98, 95% CI 0.96 – 0.99, p _ 0.03); lower score on general health (OR 0.98, 95% CI 0.97 – 0.99, p _ 0.007); and lower physician estimate of life expectancy (OR 0.82, 95% CI 0.67 – 0.99, p _ 0.04) were the only factors associated with having discussed life support with family/friends and/or health providers.		2-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
				Anmerkung: nur "verbal ACP" relevant für SR, wobei hier auch Situationen dabei gewesen sein könnten, in denen Patienten nur mit Angehörigen gesprochen haben:				
Loggers, JCO 2009 [134]	multisite, prospective, interview-based cohort study	Black (n _ 68) and white (n _ 234) patients. Of the 944 patients who were initially approached and confirmed to be eligible, 274 (29.0%) declined participation. Given the outcomes of interest, the sample was further limited to patients who had died (n_371) with complete information on location of death (n_370), self-reported black or	Patients with <b>stage IV cancer</b> and caregivers participated, September 2002 to August 2008. (Coping with Cancer study)	The following questions (with response options of "yes" or "no") were asked to assess having an EOL discussion, and having a DNR order, respectively: "Have you and your doctor discussed any particular wishes you have about the care you would want to receive if you were dying?";	<b>1.O.:</b> intensive EOL care defined as CPR and/or ventilation within the last week of life followed by death in an intensive care unit (ICU). Selection of this end point aggressive EOL care and eliminates consideration of individuals who, for example, received a brief trial of ventilation and then elected to die at home or in hospice.	White patients who reported an EOL discussion or DNR order did not receive intensive EOL care; similar reports were not protective for black patients (aOR 0.53, P .460; and aOR 0.65, P .618, respectively)	Generalisability of ACP intervention that does only work with white patients?	2-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
			white race (n = 303, those excluded reported other racial or ethnic backgrounds, the majority being self-identified as Hispanic), and complete information on at least four of the five predictors of interest, resulting in a total of 302 patients					
Mack, JCO 2012 [135]	Cancer Care Outcomes Research and Surveillance Consortium, a population- and health system-based prospective cohort study, who died during	1231	patients with <b>stage IV lung or colorectal cancer</b> in the Cancer Care Outcomes Research and Surveillance Consortium, who died during the 15-month study period but survived at least 1 month	EOL discussions were identified if the patient or surrogate reported a discussion with the physician about resuscitation from patient and surrogate interviews for living patients) or hospice care (eg, "After your cancer was diagnosed, did any doctor or other health care provider discuss hospice care with you?" from all interview types, or "Was hospice recommended by any doctor or other health care provider?" from follow-up interviews.) EOL discus-	<b>Keine klare Benennung von primären/sekundären Zielkriterien:</b>  After characterizing attributes of EOL care, bivariate logistic regression was used to investigate the association between attributes of EOL discussions (for the full sample, presence and source of EOL discussion; for MRA documented discussions, days between first EOL discussion and death, presence of medical oncologist, and inpatient discussion) and aggressiveness of EOLcare re-	Patients who had EOL discussions with their physicians before the last 30 days of life were less likely to receive aggressive measures at EOL, including chemotherapy (P = 0.003), acute care (P = 0.001), or any aggressive care (P = 0.001). Such patients were also more likely to receive hospice care (P = 0.001) and to have hospice initiated earlier (P = 0.001).	"End of life discussion" ist auch erfüllt, wenn über Wiederbelebung mit dem Arzt gesprochen wurde, oder wenn es in der Akte einen Hinweis auf eine Diskussion über Hospice oder palliative care gibt.	2-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Mack, 2010 [136]	longitudinal multi-institutional cohort study	325	Patients recruited as part of the Coping with Cancer Study. Patients with <b>advanced cancer</b> . This report describes 325 patients recruited between October 2002 and September 2007 whose self-reported treatment preferences were available and who died during the course of the study	Patients were asked in “yes/no” format whether they and their physician had discussed any wishes about the care they would want to receive if they were dying. Specified	1.O.: Measures Treatment preferences, EOL treatment received, Receipt of care consistent with preferences. 2. O.: Measures Quality of life and distress. Survival.	Patients who reported having discussed their wishes for <b>EOL care</b> with a physician (39%, 125 of 322 patients) were more likely to receive care that was consistent with their preferences, both in the full sample (odds ratio [OR] 2.26; P = 0.0001) and among patients who were aware they were terminally ill (OR = 3.94; P = 0.0005). Among patients who received no life-extending measures, physical distress was lower (mean score, 3.1 v 4.1; P = 0.03) among patients for whom such care was consistent with preferences.	2-	

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Stein, AJ Clin Oncol 2013 [137]	RCT/	120/16 (primary outcome)/58 (secondary outcome)	diagnosis of <b>metastatic cancer</b> , no further curative treatment, estimated life expectancy of 3 to 12 months, awareness of prognosis, and English literacy.	Pamphlet and Discussion pamphlet and discussion with a psychologist (R.A.S.). The pamphlet was called "Living with Advanced Cancer" and contained five sections: "Communicating with the health care team," "Anticancer treatments," "Symptom management," "Psychological care," and "Planning for the future." The pamphlet was developed according to the CREDIBLE (Competently, Recently Updated, Evidence, Devoid of Conflicts of Interest, Balanced Presentation of Options, Efficacious) criteria <sup>19</sup> for patient decision aids. During the development phase, it was reviewed by patients, oncologists, and allied health professionals. The discussion was based on a shared decision-making model. The aim was to encourage patients to consider their preferences and values toward the end of life. The discussion was semistructured with four	<b>1.0.</b> The primary outcomes were the place of death (in hospital or not), whether a patient had a DNR order, and the number of days between the earliest DNR order documentation and death. <b>2.0.</b> Depression and anxiety. The Hospital Anxiety and Depression Scale (HADS) <sup>21</sup> assesses anxiety and depression. There is good evidence for its reliability and validity in oncology. <sup>22</sup> Cronbach $\alpha$ in this sample was 0.77 for anxiety and 0.80 for depression. Caregiver burden. The Caregivers Reaction Assessment (CRA) <sup>23</sup> provides a measure of caregiver burden. It has five subscales: caregiver's self-esteem, family support, finances, disruption to schedule, and health. There is good evidence that the CRA has good validity and reliability in patients with metastatic cancer. <sup>23</sup> The Cronbach $\alpha$ in this sample was 0.82. Process measures: knowledge. The knowledge questionnaire was adapted from Kerridge et al. <sup>24</sup> Patients indicate which, from a list of 10 procedures, are involved during CPR and estimate the success rates of CPR in	intention-to-treat analyses, neither remained significant ( $P = 0.06$ ). In per-protocol analyses, DNR orders were placed earlier for patients who received the intervention (median, 27 v 12.5 days; 95% CI, 1.1 to 5.9; $P = 0.03$ ) and they were more likely to avoid a hospital death (19% v 50% (95% CI, 11% to 50%; $P = 0.004$ ). Differences between the groups over time were evident for estimates of cardiopulmonary rehabilitation (CPR) success rates ( $P = .01$ ) but not knowledge of CPR ( $P = .2$ ). There was no evidence that the intervention resulted in more anxious or depressive symptoms. Caregivers experienced less burden in terms of disruption to schedule if the patient received the intervention ( $P = .05$ )		1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
<b>Wright, JAMA 2008 [138]</b>	prospective, longitudinal cohort study	n=332	<ul style="list-style-type: none"> <li>Patients with diagnosis of <b>advanced cancer</b> from 7 different outpatient sites in the USA</li> <li>age at least 20 years</li> <li>presence of an informal care-giver</li> <li>clinic staff and interviewer as-</li> </ul>	<p>In the baseline interview, patients were asked: "Have you and your doctor discussed any particular wishes you have about the care you would want to receive if you were dying?"</p> <p>Responses were coded as 1 for yes and 2 for no.</p>	<p>different situations.</p> <p><b>1.O:</b> Aggressive medical care (eg, ventilation, resuscitation) and hospice in the final week of life.</p> <p><b>2.O:</b> patients' mental health and caregivers' bereavement adjustment</p> <p>Mental health measures included the Structured Clinical Interview for DSM-IV , the Endi-</p>	<p>One hundred twenty-three of 332 (37.0%) patients reported having end-of-life discussions before baseline. Such discussions were not associated with higher rates of major depressive disorder (8.3% vs 5.8%; adjusted odds ratio [OR], 1.33; 95% confidence interval [CI], 0.54-3.32), or more worry (mean McGill score, 6.5</p>	<p>The findings are constrained by the limited information available on the end-of-life discussions. There is no information who initiated the conversation, when it happened, or what was said. the study does not include interviews with physicians or audiotaped</p>	2-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
			<p>assessment that patient had adequate stamina to complete interview</p> <p>Of the 917 eligible patients, 638 patients (69.6%) consented and enrolled in the larger study. Of the 279 patients who refused participation, 120 were not interested, 69 cited other reasons, and 37 patients' caregivers refused participation. For the analysis, the sample was restricted to the 332 patients who died to examine the medical care that patients received in the final week of life. The deceased cohort did not differ significantly by cancer type, psychological distress, or rates of psychiatric disorders.</p>		<p>cott Scale, and McGill Quality of Life psychological subscale. Patients' functional status and comorbid medical conditions were measured with the Karnofsky score and the Charlson Comorbidity Index, respectively. Quality of life was assessed with the McGill Quality of Life Index's physical health, symptom, and social support subscales.</p>	<p>vs 7.0; P=.19). After propensity-score weighted adjustment, <b>end-of-life discussions</b> were associated with lower rates of <b>ventilation</b> (1.6% vs 11.0%; adjusted OR, 0.26; 95% CI, 0.08–0.83), <b>resuscitation</b> (0.8% vs 6.7%; adjusted OR, 0.16; 95% CI, 0.03–0.80), ICU admission (4.1% vs 12.4%; adjusted OR, 0.35; 95% CI, 0.14–0.90), and earlier <b>hospice enrolment</b> (65.6% vs 44.5%; adjusted OR, 1.65; 95% CI, 1.04–2.63). In adjusted analyses, more <b>aggressive medical care</b> was associated with worse patient quality of life (6.4 vs 4.6; F=3.61, P=.01) and higher risk of major depressive disorder in bereaved caregivers (adjusted OR, 3.37; 95% CI, 1.12–10.13), whereas longer hospice stays were associated with better patient quality of life (mean score, 5.6 vs 6.9; F=3.70, P=.01). Better patient quality of life was associated with better <b>caregiver quality of life</b> at follow-up ( =.20; P=.001).</p>	<p>conversations. Since there is no independent validation, the accuracy of patients' reported rates of discussions remains unknown. In addition, the study sample had disproportionately high rates of ethnic minority patients who were highly symptomatic and had poor performance statuses.</p>	

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Zhang, Arch Intern Med 2009 [139]	prospective, longitudinal cohort study	n=603	<ul style="list-style-type: none"> <li>Patients with diagnosis of <b>advanced cancer</b> from 7 different outpatient sites in the USA</li> <li>age at least 20 years</li> <li>presence of an informal care-giver</li> <li>clinic staff and interviewer as assessment that patient had adequate stamina to complete interview</li> </ul> <p>Of 875 patients approached for inclusion in the study and confirmed to be eligible, 627 patients (71.6%) were enrolled. The most common reasons for nonparticipation among 248 patients (28.3%) included "not interested" (n=118) and "caregiver refuses" (n=37). Compared with participants, nonparticipants were less likely to be of Hispanic race/ethnicity (5.5%</p>	<p>In the baseline interview, patients were asked: "Have you and your doctor discussed any particular wishes you have about the care you would want to receive if you were dying?"</p> <p>Responses were coded as 1 for yes and 2 for no.</p>	<p><b>1.O:</b> Aggressive medical care (eg, ventilation, resuscitation) and hospice in the final week of life.</p> <p><b>2.O</b> Secondary outcomes included patients' mental health and caregivers' bereavement adjustment</p> <p>Mental health measures included the Structured Clinical Interview for DSM-IV , the Endicott Scale, and McGill Quality of Life psychological subscale. Patients' functional status and comorbid medical conditions were measured with the Karnofsky score and the Charlson Comorbidity Index, respectively. Quality of life was assessed with the McGill Quality of Life Index's physical health, symptom, and social support subscales.</p>	<p>Patients with advanced cancer who reported having <b>EOL conversations</b> with physicians had significantly lower health care costs in their final week of life. Higher costs were associated with worse quality of death in the final week of life (Pearson production moment correlation partial = -0.17, P=.006).</p>	<p>The findings are constrained by the limited information available on the end-of-life discussions. There is no information who initiated the conversation, when it happened, or what was said. the study does not include interviews with physicians or audiotaped conversations. Since there is no independent validation, the accuracy of patients' reported rates of discussions remains unknown. In addition, the study sample had disproportionately high rates of ethnic minority patients who were highly symptomatic and had poor performance statuses.</p>	2-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
			vs 13.5%, P=.001). Otherwise, nonparticipants did not differ significantly from participants in age, sex, education status, or white, black, or Asian race/ethnicity. Of 627 patients enrolled, 603 (96.2%) responded to the question regarding prior EOL discussions that forms the basis for this study. Nonrespondents to the question did not differ significantly from respondents in cancer type, health status, recruitment site, or sociodemographic characteristics.					

# 8. Sterbephase

## 8.1. Das Sterben diagnostizieren

### 8.1.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Eychmüller, E J Pall Care 2013 [140]	SR; To provide an overview of evidence supporting timely recognition of entry into the dying phase of cancer patients	12 trials: <ul style="list-style-type: none"> <li>• 11 Cohort Studies</li> <li>• 1 Cross-sectional</li> <li>• 10 prospective and 2 retrospective</li> </ul> 2 explicitly conducted with the goal of identifying the dying phase through signs	younger patients (18 to 55 years) to predominantly geriatric patients  studies: 7 cancer 2 non-cancer 3 mixed population	SR focused on two research questions (see col. outcomes)	1.O: <ul style="list-style-type: none"> <li>▪ signs, symptoms, tools or other technologies that can identify (diagnose) the last days of life of a cancer patient</li> </ul> 2.O: <ul style="list-style-type: none"> <li>▪ evidence that these signs, symptoms, tools or technologies can accurately identify (diagnose) that a cancer patient has entered the dying phase</li> </ul>	1.O: Two out of the three studies found the following phenomena in common: <ul style="list-style-type: none"> <li>▪ fatigue (80 – 93% of patients)</li> <li>▪ Dyspnoea (45 – 50%)</li> <li>▪ Pain (&gt; 40%)</li> <li>▪ Confusion, reduced consciousness (25 – 50%)</li> </ul> Other phenomena, described only in a single study are: <ul style="list-style-type: none"> <li>▪ Being totally bedbound</li> <li>▪ Anxiety/dysphoria</li> <li>▪ Feeling alone</li> <li>▪ Nausea</li> </ul> 2.O: one study addressed last days of life in cancer patients and integrated “significant factors for predicting dying” into a computer-assisted predicting model	<ul style="list-style-type: none"> <li>▪ most important finding: 1– the literature did not provide a basis for a systematic review: There is a need of more and better–designed studies to address the lack of data in the field.</li> <li>▪ the seven–day limit may have excluded important phenomena, if dying is considered as a process that begins more than a week before death</li> <li>▪ A bias might have been caused by the clinical background of all researchers, who favour the use of the Liverpool care pathway in the last days of life</li> <li>▪ Based on this systematic literature search there is low evidence for both</li> </ul>	

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
							phenomena of approaching death in the literature, and for tools to diagnose the imminence of death, within a few days.	
<b>Kehl, Am J Hosp Palliat Med 2012 [141]</b>	SR; no MA to identify commonly occurring signs of impending death and symptoms that occur in the last 2 weeks of life and to estimate their overall prevalence.	12 peer-reviewed empirical studies which reported the prevalence of physical signs and symptoms in the last 2 weeks of life in multiple settings	Patients (n=2146) with physical signs or symptoms in the last 2 weeks of life	physical signs or symptoms in the last 2 weeks of life	1.O.: <ul style="list-style-type: none"> <li>signs and symptoms documented and the overall prevalence of those signs and symptoms across the studies, both weighted and un-weighted.</li> </ul>	<ul style="list-style-type: none"> <li>In total, 62 signs and symptoms in the final 2 weeks of life were identified across all the studies. Of the 43 unique symptoms, symptoms with the highest prevalence are                             <ul style="list-style-type: none"> <li>dyspnea (56.7%)</li> <li>pain (52.4%)</li> <li>respiratory secretions/death rattle (51.4%)</li> <li>confusion (50.1%)</li> </ul> </li> </ul>	4 signs and symptoms, agitation/ delirium/ restlessness (20.8%, range 5.8%–51%), anxiety (10.8%, range 1.4%–45.5%), depression (8.3%, range 0.9%–38.6%), and sleep problems/insomnia (9.0%, range 3.2%–28.4%) were somewhat lower than previously reported ranges.	1-
<b>Kennedy, BMJ, Support Pall Care 2014 [142]</b>	SR; MA not possible	23 articles included: Findings on "characteristics of dying": 1 SR 7 retrospective chart reviews 2 qualitative studies 1 structured interview 1 quantitative study	Population due to findings "Characteristics of dying": Review included all research relevant to death, terminal care and bereavement; 2 studies focused on older people in nursing home setting; 4 studies focused on cancer; one study focused on stroke; 3 studies on cancer and	No interventions.	Findings on "characteristics of dying".  Findings on "treatment orientation".	'characteristics of dying' involve dying trajectories that incorporate physical, social, spiritual and psychological decline towards death  'treatment orientation' where decision making related to diagnosing dying may remain focused towards biomedical interventions rather than systematic planning for end-of-life care.	SR about "diagnosing dying" but no interventions. Including retrospective and qualitative studies.	3

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
		1 literature review 1 survey  Findings on "treatment orientation": 2 case reviews 1 exploratory interview study 2 mixed methods 1 quantitative study 1 retrospective cross-sectional survey of bereaved relatives 1 qualitative study 1 action research study 1 case review	long-term conditions, one on ALS and one on medical decision making at the end of life.			The findings of this review support the explicit recognition of 'uncertainty in diagnosing dying' and the need to work with and within this concept. Clinical decision making needs to allow for recovery where that potential exists, but equally there is the need to avoid futile interventions.		

**8.1.1.2. Primärstudien**

Study	Study Aim	Study type	Delphi group size	Rounds	Nature of Subjects	Scoring	Consens criteria	Response	Results	Level of evidence SIGN
<b>Domeisen Benedetti, Support Care Cancer 2013</b>	to provide expert consensus on phenomena for identifica-	Delphi Study; part of the OP-CARE9	252 in the first cycle; Second Cycle: N=36	3 cycles: Each cycle included: (1) development of the questionnaire, (2)	health care professionals, volunteers,	<ul style="list-style-type: none"> <li>▪ Cycle 1 : generated 194 different phenomena, perceptions and observations.</li> <li>▪ Cycle 2_ these phenomena were checked for their specific ability to diagnose the last hours/days of life. Fifty-eight phenomena achieved more</li> </ul>	<ul style="list-style-type: none"> <li>▪ Cycle 1: The definitive decision on inclusion of phenomena was made by the synthesis group.</li> <li>▪ Cycle 2: output 2 included phenomena that received more than 80 % expert consensus on agree-</li> </ul>	<ul style="list-style-type: none"> <li>▪ Cycle 1: response rate 100 %</li> <li>▪ Cycle 2: response</li> </ul>	The seven categories included after the third cycle were: "breathing", "consciousness/cognition", "emotional state", "general deterioration", "intake of fluid,	4

Study	Study Aim	Study type	Delphi group size	Rounds	Nature of Subjects	Scoring	Consens criteria	Response	Results	Level of evidence SIGN
	tion and prediction of the last hours or days of a patient's life	project	questionnaires; Third cycle: 78 palliative care experts	distribution of the Delphi questionnaire and (3) review and synthesis of findings	public	<p>than 80 % expert consensus and were grouped into nine categories.</p> <ul style="list-style-type: none"> <li>▪ Cycle 3: these 58 phenomena were ranked by a group of palliative care experts (78 professionals, including physicians, nurses, psycho-social-spiritual support.)</li> </ul>	<p>ment</p> <ul style="list-style-type: none"> <li>▪ Cycle 3 incorporated phenomena and respective categories that achieved more than 50 % expert consensus on "high relevance" in predicting that someone would die within the next few hours/days</li> </ul>	rate 72%	food other", "non-observations/expressed opinions/other" and "skin". The categories "mobility" and "communication" were discarded after this process.	

## 8.2. Therapie der häufigsten Symptome

### 8.2.1. Delir

#### 8.2.1.1. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Boettger, Aust N Z J Psychiatry 2011, 1 [143]	Case control study	n=42	<ul style="list-style-type: none"> <li>Mean age 69.6, SD +/-11.9 yrs, range: 36-85)</li> <li>patients referred for delirium management to a Cancer Center Psychiatry Service</li> <li>Cancer diagnoses and etiologies were diverse in both groups and did not significantly differ (as by authors)</li> </ul>	<b>Oral Aripiprazole (AR) vs. Oral Haloperidol (HP)</b> <ul style="list-style-type: none"> <li>Cases: AR, Mean start dose: 15.2mg</li> <li>Controls: OZ, start dose: 4.9mg</li> <li>initial diagnosis of delirium (T1) and repeated at 2 - 3 days (T2) and 4 - 7 days (T3)</li> </ul>	1.O: <ul style="list-style-type: none"> <li><b>Treatment efficacy</b> as measured by improvement in MDAS and delirium resolution (MDAS cutoff score &lt;=10)</li> </ul> 2.O: <ul style="list-style-type: none"> <li><b>Physical performance ability</b> measured by Karnofsky Performance Status Scale (KPS)</li> <li><b>Side effects</b> as measured by Udvalg Kliniske Undersogelser Side Effect Rating Scale (UKU) scores</li> </ul>	<b>Treatment efficacy:</b> <ul style="list-style-type: none"> <li>No sign. difference between groups.</li> <li>MDAS scores declined from 18.1 at baseline to 10.8 at T2 and 8.3 at T3 in AR patients (Friedman: chi square 31.87, df = 2, p &lt; 0.001); from 19.9 at baseline to 9.9 at T2 and 6.8 at T3 (Friedman: chi square 38.3, df = 2, p &lt; 0.001) in HP patients.</li> <li>No sign. difference in the MDAS scores of AR and HP patients at T2 and T3.</li> <li>Resolution of delirium symptoms did not differ significantly between AR and HP patients at either subsequent observation point.</li> </ul> <b>Physical performance ability</b> <ul style="list-style-type: none"> <li>KPS scores improved from 28.1 at baseline to 35.2 at T2 and 41.0 at T3 in AR pa-</li> </ul>	<ul style="list-style-type: none"> <li>No breakdown of cancer diagnoses and distribution</li> <li>population not clearly defined as "palliative"</li> </ul>	2+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
						<p>tients (Friedman: chi square 20.11, df = 2, p &lt; 0.001) and 22.4 at baseline to 28.1 at T2 and 31.9 at T3 in HP patients (Friedman: chi square 20.83, df = 2, p &lt; 0.001).</p> <ul style="list-style-type: none"> <li>No sign. differences between AR and HP at T2 and T3.</li> <li>greater frequency of EPS.</li> </ul> <p><b>Side effects</b></p> <ul style="list-style-type: none"> <li>No extrapyramidal side effects (EPS) were encountered in AR group.</li> <li>19% of patients experiencing EPS in HP group.</li> <li>HP group: Parkinsonism in 19.0% and dystonia in 9%.</li> <li>HP group: hyperactive delirium with significantly higher doses of HP showed</li> </ul>		
<b>Breitbart, Am J Psychiatry 1996, I [144]</b>	RCT, double-blind, parallel	n=30	<ul style="list-style-type: none"> <li>AIDS patients with treatment for AIDS-related medical problems</li> <li>Patients met DSM-III-R criteria for delirium and scored 13 or greater on the Delirium Rating Scale</li> <li>77% men/23%</li> </ul>	<p><b>Haloperidol (HP) vs. Chlorpromazine (CP) vs. Lorazepam (LO)</b></p> <ul style="list-style-type: none"> <li>Three drug study utilizing dose level protocol. Assessment done every hour until stabilization.</li> <li><b>Mean drug doses during the first 24 hours:</b></li> <li>1. Arm: HP 2.8 mg (SD =</li> </ul>	<p>1.O:</p> <ul style="list-style-type: none"> <li><b>Efficacy of treatment</b> of delirium measured by</li> <li>Delirium Rating Scale [DRS] (0-32; &gt;13=delirious)</li> </ul> <p>2.O:</p> <ul style="list-style-type: none"> <li><b>Cognitive status</b> as measured by MMSE:</li> <li>score of 28-30 = 0 (no deficits) on item 6 of the Delirium Rating Scale</li> </ul>	<ul style="list-style-type: none"> <li>significant decrease in DRS scores from baseline to day 2 for the HP/CP groups but not for LO group</li> <li>HP: F=27.50, df=1, 27, p&lt;0.001</li> <li>CP: F=37.02, df=1, 27, p&lt;0.001</li> <li>LO: F=0.23, df=1, 27, p&lt;0.63).</li> <li>Cognitive functioning</li> </ul>	<ul style="list-style-type: none"> <li>Placebo control group not included on ethical grounds</li> <li>All six patients who received LO developed treatment-limiting side-effects, including oversedation, disinhibition, ataxia, and increased confusion, leading to refusal to</li> </ul>	1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Breitbart, Am J Psychiatry 1996, II [144]			women <ul style="list-style-type: none"> <li>▪ Mean age 39.2 yrs (SD=8.8, range=23-56)</li> <li>▪ Mean Karnofsky Performance Status score n=30 was 52.3 (SD=21.3, range=10-90).</li> </ul>	2.4) <ul style="list-style-type: none"> <li>▪ 2. Arm: CP 50 mg (SD = 23.1)</li> <li>▪ 3. Arm: LO 3 mg (SD = 3.6)</li> <li>▪ <b>Average maintenance doses:</b></li> <li>▪ HP 1.4 mg (SD = 1.2)</li> <li>▪ CP 36 mg (SD = 18.4)</li> <li>▪ LO 4.6 mg (SD = 4.7).</li> <li>▪ LO arm stopped early due to adverse effects.</li> </ul>	<ul style="list-style-type: none"> <li>▪ score of 25-28 = 1 (very mild deficits)</li> <li>▪ score of 20-24 = 2 (focal deficits)</li> <li>▪ score of 15-19 = 3 (significant deficits)</li> <li>▪ score of 15 or less = 4 (severe deficits)</li> <li>▪ <b>Extrapyramidal Symptoms</b> as measured by</li> <li>▪ Extrapyramidal Symptom Rating Scale (questionnaire, rating instrument and global impression rating)</li> </ul>	(MMSE) improved significantly from baseline to day 2 for patients receiving CP, and trend toward a significant improvement for patients receiving HP. <ul style="list-style-type: none"> <li>▪ <b>DRS Scores:</b></li> <li>▪ ALL (n 30) baseline: 20.1 (SD 3.5, range 14 to 28) Day 2: 13.3 (SD 6.1, range 3 to 26) End of therapy: 12.8 (SD 6.4, range 3 to 26)</li> <li>▪ HP (n 11) Baseline: 13.45 (SD 6.95) Day 2: 17.27 (SD 8.87) End of Therapy: 17.18 (SD 12.12)</li> <li>▪ LO (n 6) Baseline: 15.17 (SD 5.31) Day 2: 12.67 (SD 10.23) End of Therapy: 11.5 (SD 8.69)</li> </ul>	take the drug or requiring discontinuation.	
						<ul style="list-style-type: none"> <li>▪ <b>Extrapyramidal Symptom Rating Scale Scores:</b></li> <li>▪ CP (n 13) Baseline: 7.42 (SD 8.08) End of Therapy: 5.08 (SD 4.48)</li> <li>▪ HP (n 11) Baseline: 7.0 (SD 6.8) End of Therapy: 5.54 (SD</li> </ul>		

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
						6.76) <ul style="list-style-type: none"> <li>LO (n 6)</li> </ul> Baseline: 7.6 (SD 10.11) End of Therapy: 12.2 (SD 8.93)		
Breitbart, Psychosomatics 2002, I [145]	Cohort study, uncontrolled	n=82 dropout = 3	<ul style="list-style-type: none"> <li>Mean KPS score 37 (SD 9.9; range 20–85)</li> <li>Mean age = 60.6 yrs (SD 17.3; range 19–89)</li> <li>Cancer diagnoses: lung (21%, n = 17); gastrointestinal (18%, n = 14); lymphoma (11%, n = 9); breast (10%, n = 8); head and neck (6%, n = 5), ovarian (2%, n = 2), brain (2%, n = 2), sarcoma (2%, n = 2), and other cancers (25%, n = 20)</li> <li>stage of cancer: metastatic (80%, n = 63), localized (15%, n = 12), terminal (5%, n = 4)</li> <li>history of brain metastases (20%, n = 16) or a history of dementia (17%, n = 14)</li> </ul>	<b>Olanzapine administered orally either as a single bedtime dose or twice a day</b>  Mean starting dose at baseline: 3.0 mg (SD 0.14; range, 2.5–10); Mean dose at T2: 4.6 mg (SD 0.27; range, 2.5–15); Mean dose at T3 or end of study: 6.3 mg (SD, 0.52; range, 2.5–20)	1.O: <ul style="list-style-type: none"> <li><b>Treatment efficacy</b> as measured by improvement in MDAS and delirium resolution (MDAS cutoff score &lt;=10)</li> </ul> 2.O: <ul style="list-style-type: none"> <li><b>Physical performance ability</b> measured by Karnofsky Performance Status Scale (KPS)</li> <li><b>Side effects</b> (clinician documentation and rating)</li> </ul>	<ul style="list-style-type: none"> <li><b>Treatment efficacy:</b> Significant treatment effect Wilks A = 0.345, F (1, 78) = 53.1, P = 0.001.                               Mean baseline MDAS score (19.85, SD 3.79), significantly lower (improved) at T2 (12.73, 6.87), t (78) = 16.9, P = 0.001, even lower (more improved) at T3 (10.78, SD 7.31), t (78) = 17.6, P = 0.001. Mean MDAS scores between T2 and T3 were also significantly improved, t (78) = 8.6, P = 0.001</li> <li><b>delirium resolution:</b> 45% (n = 36) of patients at T2 and 76% (n = 57) of patients at T3                               Age was the strongest predictor of treatment response (odds ratio [OR] = 171.5) (with patients age &gt;70 yrs demonstrating sig-</li> </ul>	<ul style="list-style-type: none"> <li>No control group/placebo</li> <li>No randomization</li> <li>no blinding</li> <li>population not clearly defined as “palliative”</li> <li>Only study so far which identifies predictors of treatment efficacy</li> </ul>	2+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
			= 14)			<p>nificantly poorer response than patients age &lt;70 yrs)</p> <p>subtype of delirium significant predictor of delirium treatment outcome (OR = 11.3): hyperactive delirium responding better to olanzapine treatment than hypoactive delirium</p>		

**Breitbart, Psychosomatics 2002, II [145]**

- etiological factors for delirium: opioid analgesics (63%, n = 50), corticosteroids (34%, n = 27), systemic infection (33%, n = 26), hypoxia (25%, n = 20), CNS spread of cancer (14%, n = 11), dehydration (11%, n = 9), other medications (2.5%, n = 2), and other (unclassified) etiologies (17%, n = 13)
- delirium mild 17% (n = 13) (MDAS <=15); moderate 61% (n = 48) (MDAS 15-22); severe 23% (n = 18) (MDAS >=

- Side effects**
  - most common: sedation (30% of patients reporting at T2 and T3)
  - 1.3% (n=2 pts) olanzapine appeared to worsen delirium and was discontinued
  - 3.8% of pts experienced other side effects of mild severity (rash, pruritus, nausea, stomach ache, dizziness, lightheadedness, blurring of vision, and headache)

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
			<ul style="list-style-type: none"> <li>23)                             <ul style="list-style-type: none"> <li>subtype of delirium: 46% (n = 36)</li> <li>“hypoactive” delirium; 54% (n = 43)</li> <li>“hyperactive” delirium (based on MDAS item 9)</li> </ul> </li> </ul>					
Lin, J Intern Med Taiwan 2008 [146]	RCT, unblinded, parallel	n=30	<ul style="list-style-type: none"> <li>Patients from one hospice and palliative care center with advanced cancer who had been referred to the consultation-liaison psychiatry service</li> <li>Included pts had to meet DSM-IV criteria for delirium</li> <li>Mean age 61.13, SD +/-16.5 yrs, range: 23-87)</li> <li>Equal gender distribution</li> </ul>	<p><b>Oral Haloperidol (HP) vs. Oral Olanzapin (OZ)</b></p> <ul style="list-style-type: none"> <li>1. Arm: HP, start dose: 5mg</li> <li>2. Arm: OZ, start dose: 5mg</li> </ul> <p>Clinical Re-Evaluation after 24hours (T1), 48hours (T2) and 1 week (T3). Dosage titration by psychiatric specialist if no sign of improvement.</p> <p>Maximum dosage given for HP/OZ: 15mg orally.</p>	<p>1.O: <b>Treatment efficacy</b> as measured by improvement in MDAS-c (0-33) and CGI (Global Impression-Severity) scale</p> <p>2.O: <b>Side effect</b> assessed by clinical records review and assessor observation</p>	<ul style="list-style-type: none"> <li><b>Treatment efficacy:</b> <ul style="list-style-type: none"> <li>OZ: statistical sign. improvement on DRS-c at T3 (p=0.042); and CGI-S at T1 (p=0.040)</li> <li>HP: statistical sign. improvement on DRS-c at T1(p=0.008); T2 (p0.044); T3(p=0.043) and CGI-S at T1(p=0.012)</li> </ul> </li> <li>No sign. differences between groups across time for DRS-c (T1, p=0.123; T2, p=0.240; T3, p=0.414) and for CGI-S (T1, p=0.581; T2, p=1.000; T3, p=0.618)</li> <li><b>Side effects</b> No reported side-effects</li> </ul>	<ul style="list-style-type: none"> <li>No blinding</li> <li>Selection bias (initial inclusion screening done by the same physician who titrated the antipsychotic drugs)</li> <li>No information on drop-outs</li> <li>No information on allocation concealment</li> <li>No information on cancer types</li> <li>No mention of side-effects</li> </ul>	1-

## 8.2.2. Rasselatmung

### 8.2.2.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Pastrana, Schmerz 2012	SR (no MA)	6 studies (n=593): <ul style="list-style-type: none"> <li>4 RCTs (of which 1 phase-III RCT und 1 phase II pilot-RCT)</li> <li>2 cohort studies</li> </ul>	Adult patients with <b>cancer</b>	2 cohorts, 1 RCT: <ul style="list-style-type: none"> <li>Scopolamine vs. glycopyrrolat</li> </ul> 3 RCTs: <ul style="list-style-type: none"> <li>Scopolamine vs. Placebo</li> <li>Scopolamine vs. Butylscopolamine vs. atropine</li> <li>Scopolamine vs. octreotid</li> </ul>	Effect on noisy breathing (not nearly specified) Adverse events	<ul style="list-style-type: none"> <li>Few studies</li> <li>Contradictory results in the cohort studies (once glycopyrrolat, once scopolamine more effective)</li> <li>Sign. results in only 1 RCT (glycopyrrolat more effective than scopolamine)</li> <li>Anticholinergic drugs seem to be more effective if applied early</li> </ul>	Insufficient evidence to support the administration of one or the other anticholinergic agent	1- (no adequate description of outcomes used; no information about the quality assessment of the studies)
Wee, Cochrane Rev 2008 [147]	SR (MA not possible)	4 studies (n=398): <ul style="list-style-type: none"> <li>4 RCTs</li> </ul>	<ul style="list-style-type: none"> <li><b>Cancer</b> patients in <b>terminal phase</b> (last 48-72 hours of life)</li> </ul>	Hyoscine hydrobromide (HH) by any route:  4 RCTs: HH vs. other drugs <ul style="list-style-type: none"> <li>1<sup>st</sup> Arm: HH (4)</li> <li>2<sup>nd</sup> Arm: normal Saline (placebo control) (1); Octreotide (1); Glycopyrrolat (1); Atropine (1)</li> <li>3<sup>rd</sup> Arm: Hyoscine butylbromide (1)</li> </ul> 1 RCT with cross-over design	1.O: <ul style="list-style-type: none"> <li>Any subjective or objective <b>change in noise intensity.</b></li> <li><b>Complete cessation of noise.</b></li> </ul> 2.O: <ul style="list-style-type: none"> <li>The number of different types of interventions (including varying doses and types of anticholinergics) needed to achieve a reduction in noise intensity.</li> <li>The number of times an intervention has to be repeated to achieve or maintain a reduction in noise intensity.</li> </ul>	<ul style="list-style-type: none"> <li><b>Change in noise intensity:</b> no evidence that any intervention, be it pharmacological or non-pharmacological, was superior to placebo in the treatment of noisy breathing</li> <li>Higher efficacy (stronger decrease in death rattle) in the group of patients given glycopyrrolat (n=6) compared to hyoscine hydrobromide (n=7), but not consistent over studies.</li> <li>No difference in effectiveness (37-42%) between sco-</li> </ul>	<ul style="list-style-type: none"> <li>No Metaanalysis: insufficient data</li> <li>Small sample size for 3 out of 4 RCTs (n=13-31)</li> <li>Observer bias is a relevant limitation to the interpretation of results (scorer = involved palliative care nurse)</li> <li>blinding-bias through open label design in 1 RCT with the highest number of included participants, n=333</li> </ul>	1+

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				<ul style="list-style-type: none"> <li>▪ 1<sup>st</sup> Arm: HH followed by Octreotide</li> <li>▪ 2<sup>nd</sup> Arm: Octreotide followed by HH</li> </ul>	<ul style="list-style-type: none"> <li>▪ Measurable documented <b>reduction in relatives' distress</b> relating to the noisy breathing (death rattle) and <b>reduction in patients' distress</b> relating to the noisy breathing (death rattle).</li> </ul>	<p>polamine (hyoscine hydrobromide), atropine and hyoscine butylbromide after 1h</p> <ul style="list-style-type: none"> <li>▪ <b>Patients' distress:</b> Statistically significant reduction of pain in one placebo control study. No statistically significant reduction in restlessness.</li> <li>▪ No data to support a <b>reduction in relatives' distress.</b></li> </ul>		

### 8.2.3. Mundtrockenheit

#### 8.2.3.1. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Davies, Palliat Med 2000 [148]	RCT, unblinded, cross-over	n=41 completed phase 1=30 completed phase 2=26 total dropout=15	<ul style="list-style-type: none"> <li>Inpatient and outpatient adults with malignant disease from two specialist palliative care institutions</li> <li>Estimated prognosis of more than 2 weeks</li> <li>Mean age = 66 yrs (range 32-87)</li> <li>28% own teeth</li> <li>37% partial set of dentures</li> <li>26% full set of dentures</li> <li>7% partial set of dentures but did not use them</li> <li>2% no teeth/no dentures</li> <li>84% receiving concomitant xerostomic drugs (M=2; range 0-4)</li> </ul>	<p><b>Saliva stimulant versus saliva substitute</b></p> <p>1. Arm: <b>AS</b>+2 days wash-out+<b>CG</b></p> <p>2. Arm: <b>CG</b>+2 days wash-out+<b>AS</b></p> <p><b>AS:</b> 5 days artificial saliva spray (mucin-based Saliva Orthana) 4x/day (before meals+bedtime),</p> <p><b>CG:</b> 5 days chewing gum (low-tack, sugar-free Freedent) 4x/day for 10mins (before meals+bedtime)</p>	<ul style="list-style-type: none"> <li><b>1.O: Reduction of xerostomia</b> assessed by VAS mouth dryness (1 to 100) and xerostomia questionnaire</li> <li><b>2.O: patient preference</b></li> <li><b>adverse effects</b></li> <li>both assessed by questionnaire</li> </ul>	<p>No statistically significant difference between treatments for <b>reduction of xerostomia</b> (Fisher's exact test; P = 0.33)</p> <ul style="list-style-type: none"> <li>89-90% of participants felt that either intervention had helped their xerostomia</li> <li>74% from AS group wanted to continue with it</li> <li>86% from CG group wanted to continue with it</li> <li>No statistically significant difference for <b>patient preference</b></li> <li>No statistically significant difference for <b>adverse effects</b></li> </ul>	<ul style="list-style-type: none"> <li>Population/patient characteristics not clearly depicted/no primary diagnoses</li> <li>Some risk of bias through missing blinding (not possible)</li> <li>potential selection bias (insufficient information about allocation concealment)</li> </ul>	1-

### 8.3. Flüssigkeit/Ernährung

#### 8.3.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Raijmakers, Ann Oncol 22: 1478-1486, 2011 [149]	SR / no MA Aim to address the following research questions: (i) how and how often are artificial nutrition (AN) and artificial hydration (AH) provided in the last week of life of cancer patients; (ii) what is the effect of AN and AH during the last week of life on symptoms, comfort and quality of life of cancer patients and (iii) does providing or not providing AN and AH hasten death or pro-	15 studies/design: • 9 prospective observational • 1 prospective observational • 5 retrospective observational Fokus of studies: • 4 papers on frequencies of AN in the last week of life • 7 papers on frequencies of AH in the last week of life • 4 papers on withholding/ withdrawing AN/AH in the last week of life • 1 paper about the effect of AN/AH on quality of life • 5 paper about the effect of AH on symptoms	Cancer patients (mean age > 54) in their last 7 days, or last 48 hours of life	• Artificial nutrition (AN) in the last week of life • Artificial hydration (AH) in the last week of life	• effects on symptoms and comfort/quality of life • effect on survival	<ul style="list-style-type: none"> <li>• AH/AN are a substantial part of medical in the last week of cancer patients esp. in hospital up to 50-88%.</li> <li>• No significant relationship between AH and general comfort or quality of life measures.</li> <li>• ANH is not associated with any changes of comfort in 75% (n= 145 whole population) two days before death.</li> <li>• Effect of AH in the last week of life on quality of life: no significant effects in controlling several symptoms except for chronic nausea. No differences in pleural drainage or ascites in the latter studies. Two found more ascites in the AH group</li> <li>• Using AN/AH is not a significant determinant of survival.</li> </ul>	Providing AN or AH to cancer patients who are in the last week of life is a frequent practice. The effects on comfort, symptoms and length of survival seem limited. Further	2-

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	longe life?	<ul style="list-style-type: none"> <li>1 paper about effect of AN/AH on survival</li> </ul>						

### 8.3.1.2. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
<b>Bruera, JCO 2013 [150]</b>	RCT, double blind	n = 129 hydration (n=63) placebo (n=66)  (9 drop outs)	<ul style="list-style-type: none"> <li>diagnosis of advanced cancer (i. e. locally recurrent or metastatic disease)</li> <li>&gt; 18 years</li> <li>life expectancy &gt;= 1 week</li> </ul>	<ul style="list-style-type: none"> <li>parenteral hydration (normal saline 1l per day)</li> <li>placebo=PL (normal saline 100 ml per day) daily over 4 hours</li> </ul>	<ul style="list-style-type: none"> <li>1.O: change in the sum of four dehydration symptoms (fatigue, myoclonus, sedation and hallucinations, 0 = best and 40 = worst possible) between day 4 and baseline</li> <li>2.O:                             <ul style="list-style-type: none"> <li>Edmonton Symptom Assessment Scale (ESAS)</li> <li>Memorial Delirium Assessment Scale (MDAS)</li> <li>Nursing Delirium Screening Scale (NuDESC)</li> <li>Unified Myoclonus Rating Scale (UMRS),</li> <li>Functional Assessment of Chronic Illness Therapy-Fatigue (FACIT-F)</li> <li>Dehydration Assessment Scale</li> <li>creatinine</li> <li>urea</li> <li>overall survival</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>no significant differences between hydration and placebo for change in the sum of four dehydration symptoms (-3.3 v -2.8, P = 0.77) by day four</li> <li>hydration at 1l per day did not improve symptoms, quality of life or survival compared with placebo.</li> <li>ESAS (all non-significant)</li> <li>MDAS (1 v 3.5, P = .084)</li> <li>NuDESC (0 v 0, P = .13)</li> <li>UMRS (0 v 0, P = .54) by day 4.</li> <li>Results for day 7, including FACIT-F, were similar.</li> <li>Overall survival did not differ between the two groups (median, 21 v 15 days, P = .83).</li> </ul>	<ul style="list-style-type: none"> <li>Intention-to-treat analysis was conducted to examine the change by day 4±2 and day 7±2 between groups</li> <li>Hydration at 1l per day did not improve symptoms, QoL, or survival compared with PL</li> <li>pts with severe dehydration were excluded because they tend to be acutely ill, making it difficult to obtain informed consent</li> <li>The power to detect statistical significance given the found values and sample sizes was 4.8%</li> </ul>	1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Nakajima, J Pall Med 2013 [151]	Descriptive; to explore the influence of hydration volume on the signs during the last three weeks of life in terminally ill cancer patients.	N=75	<ul style="list-style-type: none"> <li>▪ Terminally ill cancer patients with abdominal incurable malignancies</li> <li>▪ life expectancy estimated by a physician to be &lt;3 months</li> </ul>	<ul style="list-style-type: none"> <li>▪ Hydration group (n=32) receiving 1000ml or more of artificial hydration per day, on and three weeks before death.</li> <li>▪ Nonhydration group (n=43)</li> </ul>	<ul style="list-style-type: none"> <li>▪ dehydration and fluid retention signs in the last three weeks of life.</li> </ul>	<ul style="list-style-type: none"> <li>▪ percentage of patients with deterioration in dehydration score in the final three weeks was significantly higher in nonhydration group than in the hydration group (35% versus 13%, p = 0.027), while the percentages of patients whose symptom scores for edema, ascites, and bronchial secretion increased were significantly higher in the hydration group than in the nonhydration group (57% versus 33%, p = 0.040; 34% versus 14%, p = 0.037; 41% versus 19%, p = 0.036, respectively).</li> <li>▪ There were no significant differences in the degree of pleural effusion or the prevalence of hyperactive delirium between these groups.</li> </ul>	<ul style="list-style-type: none"> <li>▪ The potential benefits of artificial hydration therapy should be balanced with the risk of worsening fluid retention signs.</li> </ul>	3

## 9. Versorgungsstrukturen

### 9.1. Interventionen für Angehörige

#### 9.1.1. Erste Suche

##### 9.1.1.1. Systematic Reviews

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Candy, Cochrane 2011 [152]	SR, MA	11 RCTs	Caregivers (CG)= Adults caring informally for a relative/friend with a disease in the terminal phase (n=1836) Most patients with cancer	Interventions providing support to the caregiver + usual care: Directly (9): support in the caring role (7), family life review (1), grief therapy (1) Indirectly via patients care (2)	1.O Psychological health (symptoms of depression/anxiety/hopelessness, QoL, coping, ...) Physical health Service delivery Adverse outcomes 2.O Acceptability to CG CG's knowledge of patient's disease Perceived impact of care by patient CG bereavement Cost	Interventions supporting directly the CG: Low quality evidence that they significantly reduce psychological <b>distress</b> in the short term (8 trials: standardised mean difference (SMD) -0.15; 95% confidence interval (CI) -0.28 to -0.02). Low quality evidence that they in the short term may marginally improve <b>coping</b> skills and <b>quality of life</b> , but neither results were statistically significant (7 trials: SMD -0.05; 95% CI -0.24 to 0.14; 6 trials: SMD 0.08; 95% CI -0.11 to 0.26, respectively) 1 trial assessed <b>physical</b> outcome: no difference  Indirect interventions:	Risk of bias unclear, as all 1++ trials underreported methods	

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
						May reduce psychological distress, but not sign.  No study assessing health service use or adverse outcomes.		
<b>Harding, Pall Med 2003 [153]</b>	SR (no MA due to heterogeneity)	22 studies (no design limit) Evaluation studies: 2 RCTs 2 prospective single-group 1 retrospective single-group 1 feed-back	CG = Adults providing informal care (including family members) for noninstitutionalized cancer and palliative care patients.	Interventions for CG specifically for CG (6) home nursing care (4) respite services (3) social network and activity enhancements (2) problem solving and education (3) group work (10)	Description or evaluation of intervention	The current evidence contributes more to understanding feasibility and acceptability than to effectiveness.	Small sample size Lack of evaluation design Use of untested measures	1- (English only, few databases, few RCTs)
<b>Harding, Pall Med 2012 (update) [154]</b>	SR (no MA due to heterogeneity)	33 studies (included are RCT, prospective, concurrent mixed-methods, qualitative, qualitative post-intervention data, before-after study): 10 (quasi-) experimental design	CG = Adults providing informal care (including family members) for noninstitutionalized cancer and palliative care patients. (24 studies with CG of cancer patients)	Interventions for CG: specifically for CG (17) 1 to 1 psychological models (8) Psychological interventions for patient/carer dyads (4) Palliative care/hospice (6) Information and training (3) respite (1) group interventions (10) physical (1)	Description or evaluation of intervention	<u>Group</u> interventions (2 RCTs, 2 quasi-experimental studies): 2/4 sign. benefit <u>1 to 1 psych.</u> interventions (3 (quasi) experimental studies): 2/3 positive effect; sign. treatment effect with respect to positive rewards of caring <u>Pt/carer dyads</u> (3 RCTs: 3/3 sign. effect (improved QoL, reduced stress...)). No sign. effect on coping, hopelessness and uncertainty. <u>PC/hospice</u> (1 RCT out of 6 studies): n.s. on carer outcomes post-death	(Quasi-)experimental studies: moderate to good quality	1+ (English only, few databases)

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Lorenz, Ann Int Med 2008 [155]	SR (no MA due to heterogeneity). Comprehensive review to EoL care, with one chapter analysing caregiver burden.	8 SR 19 intervention studies (RCT, CCT)	EoL patients	Interventions for serving informal caregivers, including family, when patients are approaching EoL	CG outcomes (Burden relieve, Satisfaction)	Weak to moderate evidence suggests that caregiver interventions, especially when comprehensively and individually targeted, can relieve <b>burden</b> , although effect sizes are generally small.  Moderate evidence suggests that palliative care interventions improve <b>satisfaction</b> . Because existing research focuses on dementia, evidence is moderate in dementia and weak in cancer. No evidence addressed caregivers in heart failure.	Most literature related to dementia, less to cancer	1++

## 9.1.2. Update

### 9.1.2.1. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Fegg, Psycho-Oncology 2013 [156]	RCT; parallel-group design (with equal randomisation 1:1)	n=160 (81 EBT; 79 control group) Dropouts=35	<ul style="list-style-type: none"> <li>54.5+-13.2 years old; 69.9% were female</li> <li>Study participants were <b>informal caregivers (CG) of patients</b> receiving in-patient palliative care (<b>life expectancy ≤6 months</b> according to the patient's physician) and post-death; minimum 21 years of age</li> <li>Patients' diagnosis: Cancer (82,7%), neurological disease (12,8%), other (4,5%)</li> <li>Only one relative per patient took part with the next of kin being selected.</li> <li>Exclusion criteria: severe mental illness</li> </ul>	<p><u>Intervention:</u> EBT (<b>Existential behavioural therapy</b>) treatment to informal CG of palliative patients:</p> <p>Six group sessions totalling 22 h</p> <ul style="list-style-type: none"> <li>First meeting: Becoming acquainted and introduction into mindfulness.</li> <li>Second meeting: Death, bereavement and mindfulness</li> <li>Third meeting: Activating resources and finding meaning.</li> <li>Fourth meeting: Self-care and stress management.</li> <li>Fifth meeting: Personal values for (re-)orientation.</li> <li>Sixth meeting: Saying goodbye and new steps.</li> </ul> <p><u>Control</u> group did not receive any special comparative treatment. However, they were free to use the spectrum of available support at the insti-</p>	<p><b>1.O: mental stress and QOL</b> Severity of symptoms (Brief Symptom Inventory – BSI, sub-scales of;</p> <ul style="list-style-type: none"> <li>somatisation,</li> <li>depression</li> <li>anxiety</li> </ul> <p>Raw scores were transformed into gender-specific T-values (T≥60 is clinically striking).</p> <p><b>QOL</b></p> <ul style="list-style-type: none"> <li>Satisfaction with Life Scale (SWLS) assessing its cognitive aspects</li> <li>WHOQOL-BREF comprising QOL domains</li> <li>NRS on individual, overall QOL experience (QOL-NRS, range 0-10, 'How do you rate your quality of life at the moment?')</li> </ul> <p>(Data were collected at baseline, pre-treatment, post-treatment and follow-ups after 3 and 12 months.)</p> <p><b>2.O:</b></p> <ul style="list-style-type: none"> <li>changes in affect (Positive and Negative Affect Scale</li> </ul>	<ul style="list-style-type: none"> <li>no sign. differences between both groups at baseline</li> <li>The multivariate model was significant for the pre-/postcomparison (p = 0.005) and the pre-/12-month comparison (p = 0.05) but not for the pre-/3-month comparison.</li> <li>Medium to large effects on <b>anxiety</b> (regression coefficient B (95% CI) =4,59 (1,34 to 7,85)) and <b>QOL</b> (SWLS: B (95% CI) =-0,39 (-0,69 to -0,10), WHOQOL-BREF: B (95% CI) =-3,68 (-6.34 to -1.02), QOL-NRS: B (95% CI) = -1,17 (-1,78 to -0,56)) were found at post-treatment;</li> <li>medium effects on <b>depression</b> (regression coefficient B (95% CI) =3,27 (0,15 to 6,39) and <b>QOL</b> (QOL-NRS: B (95% CI) =-1.18 (-1.90 to -0.45) emerged in the 12-month follow-up.</li> <li><b>No adverse effects</b> of the</li> </ul>	<ul style="list-style-type: none"> <li>Intention to treat analysis</li> <li>Powered study: 44 CG had to participate in the EBT to achieve a power of 0.8 at p = 0.05</li> <li>Participants selected from different institutions, improving generalizability.</li> <li>A possible limitation is the heterogeneity of the sample. Participating informal CG had varying relationships to the patient, with partners being predominant.</li> <li>No reported calculation of overall effect of multivariate model</li> <li>No information about blinding</li> </ul>	1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
				tution or elsewhere	(PANAS) ▪ helpfulness ratings of specific intervention (0-4)	intervention were observed. ▪ 2.O: EBT participants had significantly less <b>negative affect</b> (regression coefficient B (95% CI) =0.29 (0.10 to 0.49) and a tendency towards more positive affect in the pre-/post-comparison. At 3-month follow-up, differences in the same direction but not significant (p=0.05). At 12-month follow-up, significantly less negative (regression coefficient B (95% CI) = 0.33 (0.11 to 0.54) and by trend more positive affect in EBT compared with controls.		
Hudson, Psycho-Oncology 2013 [157]	Phase III randomised parallel group (three-arm RCT)	n=298 (control: n=148; Intervention 1: n=57; Intervention 2: n=93)  Drop-outs: 21 at Time 1; 137 at Time 2 (46%): patient no longer met the inclusion criteria (n = 22); patient died before time 2 (n	▪ primary <b>family caregivers</b> (CG) of patients with advanced <b>cancer</b> receiving home-based palliative care ▪ age > 18 years ▪ able to understand english ▪ exclusion criteria: confronted with significant emotional distress pre-	<u>Intervention:</u> The psycho-educational focus included tailored <b>information</b> and <b>resources</b> (primary written resource was a family CG guidebook) given to family CG to promote psychological well-being by preparing them for their role. Each CG was allocated a Family CG Support Nurse (FCSN) who assisted the local palliative care service. The intervention was delivered over 4 weeks and comprised	<b>1.O:</b> ▪ psychological distress (General Health Questionnaire (GHQ)) <b>2.O:</b> Caregiving experiences prior to the patient's death ▪ caregiver competence scale (CCS) (4 questions scored 0-3) ▪ preparedness for caregiving scale (8 questions scored 0-4, 'total' score is the mean of valid responses)	▪ <b>Psychological well-being:</b> not sign. improved in intervention groups ▪ No significant reduction in <b>unmet needs</b> or improvements in positive aspects of caregiving amongst the intervention group were identified. ▪ significant improvement in <b>preparedness</b> and <b>competence</b> for Intervention 2: The difference in change between the two-visit	▪ Computer-generated randomization ▪ Research assistants blinded to group allocation to minimize response bias ▪ Selection bias: many relatives declines to participate ▪ Younger participants produced the higher scores (normally older people do) ▪ Attrition bias, with the	1 -

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
		= 9); or the carer withdrew from the study (n = 17). In the majority of circumstances (n = 80), the reason(s) were not identified.	cluding them from completing questionnaires. CG of patients with a nonmalignant diagnosis or a poor functional status (using a standardised measure) indicating likelihood of imminent death were excluded in order to reduce attrition.	the following: <ul style="list-style-type: none"> <li>▪ Step 1: preparing CG for the intervention.</li> <li>▪ Step 2: assessing caregiver needs and preparing a care plan.</li> <li>▪ Step 3: re-assessing needs and evaluating the care plan</li> <li>▪ Step 4: assisting the family caregiver to prepare for their relative's death and to prepare for bereavement.</li> </ul> <p><u>Arm 1:</u> 1 visit and 3 phone calls  <u>Arm 2:</u> 2 visits and 2 phone calls  <u>Arm 3:</u> control (standard care)</p>	<ul style="list-style-type: none"> <li>▪ family inventory of need— part/scale B (20 questions scored 0–4)</li> <li>▪ rewards for caregiving scale (10 questions scored 0–4)</li> </ul> <p><u>Measurement at:</u></p> <ul style="list-style-type: none"> <li>▪ baseline (T1)</li> <li>▪ 1 week post-intervention (T2)</li> <li>▪ 8 weeks post-patient death (T3)</li> </ul>	group and the control group was significant (p = 0.035). The effect sizes for the one-visit group, the two-visit group and the two groups combined relative to the control group were 0.14, 0.29 and 0.22 indicating small effects. The change between Times 1 and 2 in the two intervention groups combined versus the control group was significant (p = 0.03), as was the change in the two-visit group versus the control group (p = 0.04). The effect sizes of the changes in the one visit, two visits and both groups combined relative to the control group were 0.27, 0.33 and 0.30, respectively, indicating small effects.	biggest net loss between T1 and T2 <ul style="list-style-type: none"> <li>▪ no guarantee that implementation of the intervention was carried out routinely as intended (performance bias?)</li> </ul>	
<b>McLean, Psycho-Oncology 2011 [158]</b>	Two-group RCT; couples randomly assigned to EFT or standard care (CTL) in a 1:1 ratio by no	N= 42 couples 22 couples for intervention group and 20 for control group Drop-out=2 couples (one patient died of cancer	<ul style="list-style-type: none"> <li>▪ Participants were recruited from Princess Margaret Hospital (PMH), Canada's largest comprehensive cancer center</li> <li>▪ Metastatic <b>cancer</b></li> </ul>	Emotionally Focused Therapy (EFT), modified for the advanced cancer population versus standard care. Aim of the couple-based intervention: <b>support couples</b> facing death	<p><b>1.0:</b></p> <ul style="list-style-type: none"> <li>▪ marital functioning (Revised Dyadic Adjustment Scale = RDAS (standardized and validated 14-item self-report that is widely used to evaluate both individual and dyadic adjustments in dis-</li> </ul>	<ul style="list-style-type: none"> <li>▪ <b>Marital functioning:</b> At T1, sign. difference on the RDAS (p&lt;0.0001), with the EFT having higher mean scores (better marital functioning) than the CTL group. Effect size for this difference: Cohen's d =</li> </ul>	<ul style="list-style-type: none"> <li>▪ Power analysis</li> <li>▪ relatively small sample size.</li> <li>▪ results limited to couples who were referred by their clinical team and met the RDAS cut-off for marital distress.</li> </ul>	1+

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
	blinding of participants to their assignments. Study personal blinded to condition assignment	and one had progressive disease and was to ill to continue [both from CTL group])	<ul style="list-style-type: none"> <li>English speaking</li> <li>&gt;= 18 years old</li> <li>In a romantic partnership of &gt;= 1 year, endorsing marital distress (Revised Dyadic Adjustment Scale (RDAS) &lt;= 47) in minimally one partner</li> <li>Not currently in couple therapy</li> <li>Patient Karnofsky Performance Status score of &gt;= 60</li> </ul>	<p><b>EFT:</b></p> <ul style="list-style-type: none"> <li>8-session EFT intervention adapted for use with couples where one partner has advanced metastatic cancer.</li> <li>1-hour weekly couple sessions (M = 7.7, SD = 0.94, median = 8, mode = 8) were delivered by one EFT-trained psychologist (LM) and occurred over a 2-3-month period. Sessions took place at PMH clinical offices or at alternative locations in four of the INT group couples, including home (n = 2) and/or inpatient hospital room (n = 2), to accommodate needs and to maximize adherence.</li> </ul> <p><b>Control (CTL):</b></p> <ul style="list-style-type: none"> <li>standard care provided by the POPC department.</li> </ul>	<ul style="list-style-type: none"> <li>tressed relationships.))</li> </ul> <p><b>2.0:</b></p> <ul style="list-style-type: none"> <li>Psychological Symptoms (Beck Depression Inventory-II (BDI-II) and Beck Hopelessness Scale (BHS))</li> <li>CG's Burden (two subscales [Demand/Difficulty] of the Caregiver Burden Scale were used to access objective and subjective caregiving burden (CG only)</li> <li>Patient's perspective of CG empathic behaviour (10-item Relationship-Focused Coping Scale [RFCS])</li> </ul> <p><b>Measures at</b></p> <ul style="list-style-type: none"> <li>baseline (T0) (before random assignment),</li> <li>immediately post-intervention (T1),</li> <li>3-month post-intervention follow-up (T2).</li> </ul>	<p>1.00, which is in the large range. In both groups, patients showed a marginally higher mean score for marital functioning compared with CG [EFT: M= 56.3, standard deviation (SD) = 4.6 vs M= 54.3, SD = 4.5; CTL group: M= 43.4, SD = 10.3 vs M= 42.4, SD = 6.8, respectively]. At T2, results were maintained.</p> <ul style="list-style-type: none"> <li><b>Psychological Symptoms:</b> no difference in BHS between groups.</li> <li><b>Caregiver Burden and Patient-perceived empathic behaviour:</b> sign. higher mean scores at T1 for EFT patients, indicating higher patient perceived caregiver empathic behaviour (p = 0.02). There was no sign. difference (p = 0.09) between groups in CG subjective difficulty in caregiving for their ill spouses.</li> </ul>		
<b>Northouse, Psycho-oncology 2013 [159]</b>	RCT, blinded (three-arm RCT)	N= 484 dyads (completed baseline assessment) N= 343 dyads completed Time 2 assessments	<ul style="list-style-type: none"> <li>advanced breast, colorectal, lung or prostate <b>cancer</b> (i.e., Stage III or IV), and were within a six-month window</li> </ul>	<p><b>Intervention:</b></p> <p>The original FOCUS Program was a home-based, dyadic intervention that provided <b>information and support</b> to cancer patients and CG to-</p>	<p><b>1.0: Quality of Life:</b> General Functional Assessment of Cancer Therapy (FACT-G), assessing 4 domains: social, emotional, functional, physical well-being</p>	<ul style="list-style-type: none"> <li>Significant Group by Time interactions showed there was improvement in dyads'</li> <li><b>Coping</b> (F= 2.15, p = 0.013), <b>self-efficacy</b> (F = 2.84, p = 0.024), and so-</li> </ul>	<ul style="list-style-type: none"> <li>stratified randomization process</li> <li>sample size calculation &gt; powered study</li> <li>only patients' risk status (i.e., high versus low)</li> </ul>	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
		(70.9% retention); and N= 302 dyads completed Time 3 assessments (62.4% retention)	<p>of having a new advanced cancer diagnosis, progression of their advanced cancer, or change of treatment for it.</p> <ul style="list-style-type: none"> <li>▪ life expectancy ≥ 6 months,</li> <li>▪ age 21 or older,</li> <li>▪ living within 75 miles of participating cancer centers, and</li> <li>▪ having a family caregiver willing to participate.</li> <li>▪ CG were eligible if they were age 18 or older and identified by patients as their primary caregiver</li> </ul>	<p>gether, as the <b>unit of care</b>. We revised the original five-session program into Brief and Extensive versions.</p> <ul style="list-style-type: none"> <li>▪ <u>Arm 1</u>: Brief FOCUS: 3 contacts (two 90-minute home visits and one 30-minute phone session).</li> <li>▪ <u>Arm 2</u>: Extensive FOCUS: 6 contacts (four 90-minute home visits and two 30-minute phone sessions).</li> <li>▪ <u>Control</u>: All study participants received usual care at their cancer center, consisting of the medical treatment of cancer and symptom management. Psychosocial support was provided occasionally, but was not delivered routinely to patients or CG.</li> </ul>	<p><b>2.0:</b> <u>Appraisals</u></p> <ul style="list-style-type: none"> <li>▪ Appraisal of Illness and Caregiving (Appraisal of Illness Scale (patients) and Appraisal of Caregiving Scale (CG))</li> <li>▪ Uncertainty (brief version of the Mishel Uncertainty in Illness Scale)</li> <li>▪ Hopelessness (Beck Hopelessness Scale)</li> </ul> <p><u>Resources:</u></p> <ul style="list-style-type: none"> <li>▪ <u>Coping</u>: strategies (Brief Cope) and Healthy behaviors (researcher-developed scale to assess activities that were encouraged in the intervention)</li> <li>▪ <u>Interpersonal relationship</u>: Dyadic support (modified family support subscale of the Social Support Questionnaire) and Communication (Lewis Mutuality and Sensitivity Scale)</li> <li>▪ <u>Self-efficacy</u> (Lewis Cancer Self-efficacy Scale)</li> </ul> <p><u>Measures at:</u></p> <ul style="list-style-type: none"> <li>▪ Hopelessness (Beck Hopelessness Scale)</li> <li>▪ baseline (T1),</li> </ul>	<p>cial QOL (F = 4.28, p = 0.002), and in CG' emotional QOL (p&lt;.05).</p> <ul style="list-style-type: none"> <li>▪ Effects varied by intervention dose.</li> <li>▪ Most effects were found at 3 months only.</li> <li>▪ Risk for distress accounted for very few moderation effects.</li> </ul> <p>&gt; Both brief and extensive programs had positive outcomes for patient-caregiver dyads, but few sustained effects. Patient-caregiver dyads benefit when viewed as the 'unit of care'.</p>	<p>were used as a stratification variable</p> <ul style="list-style-type: none"> <li>▪ high drop out rate</li> <li>▪ risk for distress measured instead of current distress</li> </ul>	

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
Yun, J Clin Oncol 2011 [160]	RCT (two arms)	N=444	<ul style="list-style-type: none"> <li>primary family CG older than age 18 years</li> <li>patients of potentially eligible CG: were diagnosed with <b>terminal cancer</b>, older than age 18 years</li> <li>Korean speaking/reading</li> </ul>	<ul style="list-style-type: none"> <li><b>DA</b> (decision aid): professionally developed 20-minute take-home DVD and a companion 43-page workbook entitled <i>Patients Want to Know the Truth</i>. The material provided a protocol for informing patients about their terminal status and was aimed at <b>improving both communication</b> between patients and their families and <b>satisfaction with the decision-making process</b>.</li> <li><b>Control</b> group received a Korean version of a US National Cancer Institute DVD of similar length on pain management entitled <i>Controlling Cancer Pain: A Video for Patients and Families</i> and 29-page educational book on pain control by the Korean Ministry of Health and Welfare entitled <i>Cancer Pain Can Be Controlled</i>.</li> </ul>	<ul style="list-style-type: none"> <li><b>1.O:</b> <ul style="list-style-type: none"> <li>CG decision to discuss a terminal prognosis with the patient</li> </ul> </li> <li><b>2.O:</b> <ul style="list-style-type: none"> <li>Decision Conflict Scale (DCS): Total score, Support Score, Uncertainty score, Conflict Score, Informed Score, Value Clarity Score</li> <li>Hospital Anxiety and Depression Scale (HADS),</li> <li>Caregiver Quality of Life Index-Cancer (CQOL-C)</li> </ul> </li> </ul> <p>Each completed by the caregiver at 0, 1, 3, and 6 months.</p> <ul style="list-style-type: none"> <li>Decision Regret Scale (DRS) at 1, 3, and 6 months (to measure decisional conflict and assessed conflict using personal perceptions of the level of uncertainty (uncertainty subscale), how well-informed patients felt about their choice (informed subscale), the clarity of personal values (values clarity subscale), and the support</li> </ul>	<ul style="list-style-type: none"> <li>no difference in changes in the <b>decision to discuss terminal prognosis</b> between the two groups.</li> <li><b>Conflict</b> (P=.003), <b>uncertainty</b> (P=.019), and <b>value clarity</b> (P=.007) subscale scores and total <b>DCS</b> score (P=.008) improved from baseline to 1 month significantly more in the DA than in the control arm.</li> <li>Over 6 months, the significant between-group differences continued for the <b>conflict</b> (P=.031), <b>uncertainty</b> (P=.014), and <b>value clarity</b> (P=.039) subscale scores and <b>total DCS</b> score (P .040).</li> </ul>	<ul style="list-style-type: none"> <li>80% power with min n=444</li> <li>Descriptive statistics for estimation</li> <li>Analysis of covariances</li> <li>Analysis of baseline → no differences</li> <li>focus only on a family caregiver's prognostic disclosure to a terminally ill patient with cancer</li> <li>all study participants were Korean</li> <li>the outcomes we assessed were not typical end-of-life trial outcomes</li> <li>many CG were lost to follow-up</li> </ul>	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross- over/parallel	Number of in- cluded patients/ Drop-outs	Patients characteris- tics	Intervention/Control	<ul style="list-style-type: none"> <li>• Outcomes (1.O=primary outcome; 2.O= second- ary outcome)</li> <li>• Outcome measure</li> </ul>	Results	Comment	Level of Evidence SIGN
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they had in the decision-  
making process (support  
subscale)

## 9.2. Interventionen zur Trauerbegleitung

### 9.2.1. Erste Suche

#### 9.2.1.1. Systematic Reviews

Study (Author, journal, year)	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Gauthier, Clin Psychol-Sci Pr 2012 [161]	SR / no MA	8 studies (10 articles) : 2 RCTs 1 CBA (controlled before-after) 2 BA (before-after) 1 RCS (retrospective controlled study) 3 descriptive 1 quali	Bereaved spouses of patients with cancer. Most middle aged and women. (n=1366)	Bereavement interventions (4 studies, 6 articles): 3 BSG=bereave. support group (thereof: 1 RCT, 1 CBA) 1 relaxation training (BA)  Prebereavement interventions (specialized EoL care) (4 studies, thereof 1 RCT)	Bereavement outcomes Prebereavement well-being (as factor for adjustment to bereavement)	<u>Specialized EoL care</u> : may impact favourably on bereavement <b>well-being</b> (1 RCT: distress sign. lower over 1 year, then no difference) <u>Bereavement interventions</u> (above all: BSG): little to no effect on psychological <b>well-being</b> (i.a. 1 RCT, 1 CBA) Studies did not include assessments of spouses' psychological well-being in the prebereavement period > effect of prebereavement well-being on spousal adjustment not measurable.	Body of evidence (1-): 2 RCTs without sample size calculation); 1 study fairly strong evidence; others weak evidence Few studies Because of no sample size calculation, it is difficult to determine whether the finding that bereavement interventions have little to no effect on psychological well-being is because of the effects of the interventions themselves or a result of insufficient power to detect an effect.	1++
Wittouck, Clin Psychol Rev 2011 [162]	SR / MA	14 RCTs: 9 RCTs: prevention of complicated grief (CG) 5 RCTs: treatment of (CG)	Adults who had lost a loved one through violent or non-violent death (n=1655; n=910 in the intervention group): 41 y mean age 70% female 4% of cancer survivors	Specific grief intervention to treat or prevent CG, initiated after the loss and non-psychofarmacological vs. control condition or an a-specific intervention (i.e. used for a variety of disorders)  Number of sessions differed	(C)G: pre- and post- or follow-up-measurements, with a quantitative standardized questionnaire	<u>Prevention</u> : inconsistent support for the effectiveness of interventions. The meta-analysis of the interventions aiming at prevention of CG yielded a pooled standardized mean difference (SMD) of -0.03 (95% CI: -0.18-0.11; Z=0.47; p=0.64)	Body of evidence: unclear quality often due to lack of reporting methodology > intermediate to high level of evidence (1+) At the moment CG is not recognized as an official (DSM-) diagnosis. Nevertheless, CG-symptoms have	1++  Only 2 data-bases searched Grey literature not

Study (Author, journal, year)	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
				substantially among studies, with one to twelve sessions in preventive interventions and ten to sixteen sessions in treatment interventions.		<p>at post-test and of 0.13 (95% CI: -0.08-0.33; Z=1.21; p=0.23) at follow-up. With regard to the outcome variable, studies were homogeneous in the post-test analysis (p=0.12) and heterogeneous in the follow-up analysis (p=0.07).</p> <p><u>Treatment</u>: efficacious in the short- and long-term. Contrary to preventive interventions, the positive effect of treatment interventions increases significantly over time. Positive results reported for interventions employing cognitive-behavioral techniques.</p> <p>The meta-analysis of the interventions aiming at treatment of CG yielded a pooled SMD of -0.53 (95% CI: -1.00--0.07; Z=2.23; p=0.03) at post-test and of -1.38 (95% CI: -2.08 to -0.68; Z=3.87; p=0.0001) at follow-up. With respect to the outcome variable, studies were heterogeneous (p=0.009) in the post-test analysis and homogeneous (p=0.87) in the follow-up analysis.</p>	shown to be different from other symptoms and disorders, such as normal grief reactions, mood disorders and anxiety disorders Only 4% cancer survivors. Wide range of death causes (violent and non-violent)	searched, but MA

Study (Author, journal, year)	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
						The difference among the pooled SMD's of preventive and treatment interventions at post-test was significant in favor of treatment interventions ( $\chi^2=3.71$ ; $df=1$ ; $p=0.05$ ). Heterogeneity among the studies was found ( $p=0.0006$ )		

## 9.2.2. Update

### 9.2.2.1. Primärstudien

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.O=primary outcome; 2.O= secondary Outcome measure)	Results	Comment	Level of Evidence SIGN
Guldin, Family Practice 2012 [163]	RCT	N= 402 (drop-outs=107)	<ul style="list-style-type: none"> <li>&gt;17 years</li> <li>registration with a Danish general practitioners (GP) and informed consent</li> <li>exclusion criteria: poor language (danish) skills or cognitive impairment</li> </ul>	Information pamphlets were sent by mail after completion of the baseline questionnaire to GPs and patients. Pilot-tested pamphlets featured updated information on complicated grief (CG) symptoms, the dual-process model of adaptive coping and risk factors for the development of CG. GPs received information: results of the patient's baseline risk assessment based on the depression level 8 weeks post-loss; how to assess CG and simple suggestions; how to support the patient to ask about which reactions to grief the patient was experiencing and relate the reactions to the dual-process model of adaptive coping. Patients were encouraged to contact their GP if they showed signs of depression or CG or worried about their bereavement reaction. Questionnaires were mailed to the bereaved par-	1.O: <ul style="list-style-type: none"> <li>bereaved relatives' score on the Beck's Depression Inventory II (BDI-II) and the Inventory of Complicated Grief-Revised (ICG-R)</li> <li>GP's clinical assessment of the relative's grief reaction</li> <li>relative's number of contacts with general practice</li> <li>Clinical grief assessment by the GP</li> </ul>	<ul style="list-style-type: none"> <li>Larger improvements in ICG-R scores were found in the intervention group than in the control group.</li> <li>The sensitivity of the GP's assessment in the intervention group was 42.9% (95% CI: 21.8-66.0) and the specificity 73.8% (95% CI: 61.5-84.0); the positive predictive value was 34.6% (95% CI: 17.2-55.7) and the negative predictive value 80% (95% CI: 67.7-89.2). In the control group, sensitivity was 40% (95% CI: 19.1-63.9), specificity 83.7% (95% CI: 70.3-92.7), the positive predictive value 50% (95% CI: 24.7-75.3) and the negative predictive value 77.4% (95% CI: 63.8-87.7).</li> <li>In the intervention group, patients exhibiting CG symptoms were more likely to receive supportive care and to be referred to mental health practitioners,</li> </ul>	<ul style="list-style-type: none"> <li>Computerized Randomization</li> <li>Sample size calculation &gt; power good, but could have been higher</li> <li>Risk of systematic bias because of the recruitment procedure</li> <li>Men were under-represented</li> <li>No Danish validation of ICG-R available</li> </ul>	1-

Study (Author, journal, year)	Type of study/ Design (RCT/CCT, blinded, cross-over/parallel)	Number of included patients/ Drop-outs	Patients characteristics	Intervention/Control	Outcomes (1.0=primary outcome; 2.0= secondary outcome) Outcome measure	Results	Comment	Level of Evidence SIGN
				<p>participants 2, 6 and 13 month post-loss. If the bereaved participant was still in the study 13 months after the loss, a clinical assessment questionnaire was sent to the GP. Assessment battery consisted of BDI-II and ICG-R and sociodemographic questions.</p>		<p>whereas GP's in the control group more often prescribed psychotropic drugs for patients with symptoms of CG.</p> <ul style="list-style-type: none"> <li>▪ The GP's ability to <b>identify CG</b> at 13 months did not seem to be better in the intervention group than in the control group.</li> <li>▪ <b>Contact frequencies</b> with GPs were generally higher in the control group both before and after the loss. Compared with the control group, IRs were lower among bereaved relatives in the intervention group after the loss [IR = 4.68 (95% CI = 3.90- 5.62)/5.08 (95% CI = 4.33-5.96); IRR = 0.92 (95% CI = 0.72-1.17); P = 0.50].</li> <li>▪ Changes in sum score between the two groups did not reach statistical significance.</li> </ul>		

## 9.3. SPV-Interventionen

### 9.3.1. Systematic Reviews

#### 9.3.1.1. Systematic Reviews, die verschiedene Strukturen einschließen („SPV allgemein“)

Study (Author, journal, year)	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
García-Pérez, Pall Med 2009 [164]	SR / no MA	6 SR 3 studies (4 publications) on effectiveness (1 RCT, 1 prospective cohort, 1 cross-sectional) 1 cost analysis	Terminally ill patients	Comparison of at least two different <b>specialised palliative care</b> programmes and/or their cost-effectiveness	<ul style="list-style-type: none"> <li>control of pain and other symptoms,</li> <li>psychological symptoms,</li> <li>health-related QoL,</li> <li>well-being,</li> <li>functional state,</li> <li>satisfaction,</li> <li>place</li> <li>of death,</li> <li>number of patients cared,</li> <li>number of home visits,</li> <li>number of days at hospital</li> </ul>	All systematic reviews drew the conclusion that specialised palliative care is more effective than conventional care. The methodological limitations of the original studies and the heterogeneity of programmes did not allow to draw conclusions about whether a specific model of specialised palliative care is more or less effective or cost-effective than other.	SR of low quality studies RCT and cohort: good quality	1++
Higginson, Cancer J 2010 [165]	SR (meta-synthesis, but no MA)	8 RCTs, 32 observational or quasi-experimental studies	Patients with advanced cancer and their caregivers	<b>Specialist palliative care interventions</b> in the home, hospital or designated inpatient settings for patients with cancer	Pain, symptoms, QOL, use of hospital services, anxiety	Home, hospital, and inpatient specialist palliative care significantly improved patient outcomes in the domains of <b>pain and symptom control, anxiety,</b> and reduced hospital <b>admissions.</b> The results suggest that specialist palliative care should be part of care for cancer patients.	We were able to identify and include a wide range of robust literature, focusing more closely on specialist palliative care services and overcoming some of the weaknesses of earlier reviews that included specialist and nonspecialist services. Our review was still weakened by the wide range of outcomes measured.	1++

Study (Author, journal, year)	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Higginson, J Pain Symptom Manag 2003 [166]	SR / MA where possible	44 studies, mostly lower quality (retrospective, observational, cross-sectional studies). Anecdotal and case reports were excluded.	Patients with a progressive life threatening illness and their caregivers	Comparison of palliative care or hospice team (PCHCT) and conventional care. (Teams: home care (22), hospital-based (9), combined home/ hospital care (4), inpatient units (3), and integrated teams (6))	Pain and symptom control QOL and quality of death Patient and family satisfaction/ morbidity pre- and post-bereavement	Meta-regression (26 studies) found slight positive effect (0.1) of PCHCTs on <b>patient outcomes</b> , independent of team make-up, patient diagnosis, country, or study design. Meta-analysis (19 studies) demonstrated small benefit on patients' <b>pain</b> (odds ratio [OR]: 0.38, 95% confidence interval [CI]: 0.23-0.64), other <b>symptoms</b> (OR: 0.51, CI: 0.30-0.88), and a non-significant trend towards benefits for <b>satisfaction</b> , and therapeutic interventions. Data regarding <b>home deaths</b> were equivocal. Metasynthesis (all studies) found wide variations	First study to quantitatively demonstrate benefit from PCHCTs	1++
Thomas, Can J Aging 2006 [167]	SR / no MA	23 RCTs	Patients terminally ill, near death or dying	PC interventions	Effect of PC provided by community teams: QoL, manag. of symptoms Satisfaction with care Duration of care and place of death Effect of specific interventions (ACP, held records, etc...) Costs of PC compared to conventional care	Effect of PC provided by community teams: <b>QoL</b> and manag. of <b>symptoms</b> : Some improvement in 6 studies, no improvement in 3 studies <b>Satisfaction</b> with care: higher satisfaction of patient (1 study) and caregivers (2); no increase in 2 studies <b>Duration</b> of care and <b>place of death</b> : 4 studies showed no increase of death at home. 1	RCTs mostly published in the late 1990s or early 2000s and mostly single-site studies with small sample sizes. 10 included a power computation.	1+ (poor description of inclusion criteria, and interventions)

Study (Author, journal, year)	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Zimmermann, JAMA 2008 [168]	SR (no MA due to the heterogeneity of the studies)	22 RCTs	Patients receiving specialized PC (the majority were cancer patients) USA, UK, Canada, Norway	<b>Specialized palliative care</b> (11 in a home setting, 5 at outpatient clinics, 1 in a nursing home, 1 in a combined inpatient and home setting, 4 assessed patients)	QOL Satisfaction with care Economic cost	RCT found it, as well as shorter survival  The existing evidence does not conclusively support specialised palliative care programmes. <b>QoL</b> (13 RCTs): 9 RCTs showed no significant difference between specialist palliative care and control treatments, one favoured the control and three favoured the intervention. <b>Symptoms</b> (14 RCTs): 1 RCT demonstrated significant benefits for the palliative care group for any measured single symptom, while three found a benefit of palliative care for reduction of symptom distress but not symptom severity. Patient <b>satisfaction</b> with care (10 RCTs): 1 RCT showed a significant difference between groups in favour of the intervention at 30 days but not at 60 days.	Most of the studies were small and likely to be underpowered.	1++

### 9.3.1.2. Palliativstation und Konsildienst

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Evans, Cochrane Review (Protocole - Ref. folgt)	SR (MA if possible)	RCTs, CCTs, CBA (controlled before and after studies), ITS (interrupted time series analyses with min 3 data collection points before and 3 after the intervention)	Adults patients with advanced malignant or non-malignant disease and their caregivers, receiving support from SPCT	Effectiveness of SPCTs (specialist palliative care teams) in <b>in-patients</b> settings  Control: general hospital/oncology services or usual care	1.O: pain control 2.O: symptom control, depression, satisfaction with care, time spent in hospital, caregiver burden/strain/distress, professionals' adherence to guidelines, prescribing rationale			

### 9.3.1.3. Home-care Programme

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Candy, Int J Nurs Stud 2011 [169]	SR (MA not possible because of heterogeneity)	18 comparative studies (thereof 2 RCT) 4 qualitative studies	Patients and their family in the final phases of a terminal disease	Specialist hospice care provided at <b>home</b> , in <b>nursing home</b> or in <b>hospice</b>  Control (quantitative studies): usual generalist healthcare	<ul style="list-style-type: none"> <li>▪ symptom management</li> <li>▪ pain assessment and other aspects of patient care</li> <li>▪ satisfaction with services</li> <li>▪ family carer well-being such as care burden and bereavement/grief</li> <li>▪ health service use</li> <li>▪ costs</li> <li>▪ place of death</li> </ul>	Hospice care at home reduced general health care use and increased family and patient satisfaction with care	Mostly limited quality of quantitative evidence Low concordance of identified studies in comparison with other SysRev (e.g. Gomes 2013), what raises the question of the accuracy of the search strategy and selection process	1-

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Gomes, Cochrane Review 2013 [170]	SR and MA	16 RCTs (6 high quality), 4 CCTs, 2 CBA (controlled before and after studies), 1 ITS (interrupted time series analyses)	Adults patients and/or caregivers in receipt of a home palliative care service (n=37.561, 4.042 caregivers; majority cancer)	<b>Home</b> specialist palliative care service Control: usual care  Reinforced home specialist PC Control: home specialist PC	1.O: death at home 2.O: time spent at home, satisfaction with care, pain/ other symptoms control, physical function, QOL, caregiver outcomes, costs and cost-effectiveness measures	Sign. increase of <b>death at home</b> (Meta-analysis for dying at home (7 trials, 3 of high quality): odds ratio (OR) 2.21, 95% CI 1.31 to 3.71; P value = 0.003) Small but sign. reduction of <b>symptom burden</b> for patients No effect on caregiver grief <b>Cost-effectiveness</b> : inconclusive results		1++
Hall, Cochrane Review 2011 [171]	SR (MA not possible because of heterogeneity)	2 RCTs and 1 controlled before-and-after study included	Residents of care homes for older people (care home = institutional settings where care is provided 24 hours a day, 7 days a week)	Palliative care service delivery interventions for <b>residents of care homes for older people</b> (referrals to external palliative care services and/or palliative care training for care home staff)	We extracted all measures reported as outcomes for individual residents, including process of care (e.g. completion of advance care plans and place of death)	One study reported higher <b>satisfaction</b> with care and the other found lower observed <b>discomfort</b> in residents with end-stage dementia (mean [SD] 218.10 [142.10] and 368.88 [168.30] respectively, t = 3.80, difference in means = 150.78, 95% CI for difference = 77.38 to 230.18. Two studies reported group differences on some <b>process measures</b> . Both reported higher referral to hospice services in their intervention group (enrolment to hospice within 30 days of the intervention (21/107 [20%] compared with 1/98 [1%] and (24/346 [6.8%] compared with 2/113 [2%]), one found fewer hospital admissions and days	Few studies identified, and all were in the USA	1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
						in hospital in the intervention group , (0.28 [range 0–4] compared with 0.49 [range 0.4] and 1.2 [range 0–18] compared with 3.0 [range 0–29] respectively) the other found an increase in do-not-resuscitate orders and documented advance care plan discussions . (225/346 [65%] compared with 50/113 [44%], chi-square = 15.32, absolute risk reduction = 20.78%, 95% CI = 10.34% to 31.23%, NNT = 5, 95% CI for NNT = 3.2 to 9.7)		
<b>Shepperd, Cochrane Review 2011 [172]</b>	SR and MA  Aim: To determine if providing home-based end of life care reduces the likelihood of dying in hospital and what effect this has on patients' symptoms, QoL, health service costs and caregivers	4 RCT (thereof 1 cluster-RCT)	Adults at the end of life and requiring terminal care	End of life care at home  Control: inpatient hospital or hospice care	<ul style="list-style-type: none"> <li>Place of death</li> <li>Patients' preferred place of death</li> <li>Control of symptoms (pain, breathlessness, nausea and vomiting, constipation, terminal agitation)</li> <li>Delay in care (medical, nursing or domiciliary care) from</li> <li>point of referral to intervention (end of life home care/hospice at home or inpatient care)</li> <li>Family or care giver stress</li> <li>Family or care giver unable to continue caring</li> </ul>	<p><b>Place of death:</b> patients receiving home-care sign. more likely to die at home (RR 1,33, 95% CI 1,14 to 1,55, P=0,0002 - 2 trials, n=652)</p> <p>No sign. differences for <b>functional status, psychological well-being, cognitive status</b></p> <p><b>Hospital admission:</b> high variation between studies, no conclusion possible</p> <p>Some evidence of increased <b>satisfaction</b> with home-based end of life care</p>	Moderate quality of included studies, due to lack of power by high mortality, unblinded trials and difficulty in measuring symptoms in a way that permits comparability.	1++

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
	compared with inpatient hospital or hospice care.				<ul style="list-style-type: none"> <li>▪ Patient anxiety</li> <li>▪ Family/care giver anxiety</li> <li>▪ Unplanned/precipitous admission or discharge</li> </ul>	Little evidence of the impact of home-care on <b>caregivers</b>		

### 9.3.1.4. Tageskliniken

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
<b>Davies, Support Care Cancer 2005 [173]</b>	SR /no MA	12 studies in 15 publications (any design, only English) : 1 CBA (prospective) 6 observational (no comparison) 5 qualitative	Adults receiving care from specialist palliative day-care services	Specialist day-care services with reported information on service structure, care processes or outcomes	<p>Service structure:</p> <ul style="list-style-type: none"> <li>• Funding, organization and management of services</li> <li>• Staff skill mix and interventions offered to patients and relatives</li> </ul> <p>Care processes:</p> <ul style="list-style-type: none"> <li>• Referral, allocation of places to patients and discharge</li> <li>• Uptake of interventions by patients and relatives</li> </ul> <p>Patient outcomes:</p> <ul style="list-style-type: none"> <li>• symptom control,</li> <li>• health related quality of life</li> <li>• social and psychological support</li> <li>• patient or relative satisfaction with care</li> </ul>	<p><b>Service structure:</b> Most services are nurse-led, but varied in the facilities, staff mix, care models, activities and places they offered.</p> <p><b>Process:</b> Patients attending seemed a selected group of those already receiving palliative care who were mostly white, aged over 60 years and retired, with needs for emotional and social support and pain control.</p> <p><b>Patient outcomes:</b> insufficient studies to provide conclusive evidence of improved symptom control or health related quality of life, but all qualitative studies found evidence for high satis-</p>	Low grade of evidence of most studies	2++ (no RCTs, CCTs)

Study	Type of study (SR=Systematic Review; MA=Meta-analysis)	Included studies	Population	Which interventions were evaluated?	Outcomes (1.O=primary outcome; 2.O= secondary outcome)	Results	Comments	Level of Evidence SIGN
Stevens, Pall Med 2011 [174]	SR /no MA	35 studies in 36 publications (any design, only English): 4 reviews 2 controlled cohort studies Others observational not controlled or qualitative	Population attending PDS (no more description)	PDS (palliative care day services)	Outcomes of PDS utilizing the perceptions of attendees/other stakeholders Outcomes of PDS using validated measures	some quantitative evidence showing that PDS had an impact on attendees' <b>quality of life</b> or wellbeing	faction in the social, psychological and spiritual domain  • less than half of the studies could be fully analysed for quality • Fewer studies utilized validated outcome measures to determine the effect of PDS on attendees' wellbeing • Small sample sizes combined with high attrition rates influenced the significance of some the results.	2- (unclear question and results)

### 9.3.2. Primärstudien

Im Folgenden werden Interventionsstudien dargestellt, die aus Systematic Reviews zu SPV identifiziert wurden (zur Methodik, siehe Leitlinienreport). Ergänzend zu den eingeschlossenen Primärstudien sind Begleitstudien (weitere Publikation derselben Studie) in hell-grau dargestellt. Obwohl diese Begleitstudien die Einschlusskriterien nicht erfüllen, wurden sie extrahiert mit dem Ziel, ergänzende Informationen zu den Interventionsstudien darzustellen.









Study characteristics										Patient characteristics/ outcomes										Intervention characteristics/ efficacy and adverse events										Outcomes									
Author, Year	Title	Type of study/ Design	Age of study	Inclusion criteria	Control group/ n (%)	Level of evidence (GRADE)	Number of patients/ n (%)	Female/ n (%)	Age (mean, SD)	% of patients with cancer	Diagnosis of disease	Performance/ grade (GOC...)	Patients' needs/ psychosocial/ spiritual etc...	Number of events/ n (%)	Female/ n (%)	Age (mean, SD)	Comorbidities/ at work/ etc...	Other	Intervention/ n (%)	Control/ n (%)	Health care providers/ Type/ proportion of qualification	Number of patients/ n (%)	Equipment/ (Cost, range, source/ financing)	Funding	Organization/ Management/ activities/ structures	Referral/ criteria/ 1/ structure of place	Description of intervention	Description of intervention	Description of control/ intervention	Description of intervention	Duration/ length	Change/ change	Integration/ integration of patient/ positive structures	Other	Primary outcomes (LO): Secondary Measures (how, when, how long)	Costs	Results for each outcome	Results for outcomes related to patient-CO	Comments
SPECIALISIERTE AMBULANTE PALLIATIVVERSORGUNG (Palliative Care)																																							
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SPV-Interventionen										Patientencharakteristika										Interventionen										Ergebnisse										Sonstige Informationen																		
Autoren	Titel	Typ	Adressat	Relevanz	Design	Country	Level	Number of patients	Female	Age (years)	% of patients with cancer	Diagnosis	Performance	Phase	Outcome	Other	Number of patients	Female	Age (years)	Diagnosis	Phase	Outcome	Other	Number of patients	Female	Age (years)	Diagnosis	Phase	Outcome	Other	Number of patients	Female	Age (years)	Diagnosis	Phase	Outcome	Other	Number of patients	Female	Age (years)	Diagnosis	Phase	Outcome	Other	Number of patients	Female	Age (years)	Diagnosis	Phase	Outcome	Other	Number of patients	Female	Age (years)	Diagnosis	Phase	Outcome	Other
<p><b>SPEZIALISIERTE AMBULANTE PALLIATIVVERSORGENG (Palliative Care)</b></p> <p><b>1. Palliative Care (PC) in der Primärversorgung:</b> Ziel ist die Identifizierung von Patienten, die von PC profitieren könnten, und die Bereitstellung von PC-Diensten in der Primärversorgung. Dies umfasst die Schulung von Hausärzten, die Einrichtung von PC-Programmen in Hausarztpraxen und die Zusammenarbeit mit anderen Gesundheitsdienstleistern.</p> <p><b>2. Palliative Care (PC) in der Sekundärversorgung:</b> Ziel ist die Identifizierung von Patienten, die von PC profitieren könnten, und die Bereitstellung von PC-Diensten in der Sekundärversorgung. Dies umfasst die Schulung von Ärzten, die Einrichtung von PC-Programmen in Krankenhäusern und die Zusammenarbeit mit anderen Gesundheitsdienstleistern.</p> <p><b>3. Palliative Care (PC) in der Tertiärversorgung:</b> Ziel ist die Identifizierung von Patienten, die von PC profitieren könnten, und die Bereitstellung von PC-Diensten in der Tertiärversorgung. Dies umfasst die Schulung von Ärzten, die Einrichtung von PC-Programmen in spezialisierten Palliativstationen und die Zusammenarbeit mit anderen Gesundheitsdienstleistern.</p> <p><b>4. Palliative Care (PC) in der quaternären Versorgung:</b> Ziel ist die Identifizierung von Patienten, die von PC profitieren könnten, und die Bereitstellung von PC-Diensten in der quaternären Versorgung. Dies umfasst die Schulung von Ärzten, die Einrichtung von PC-Programmen in spezialisierten Palliativstationen und die Zusammenarbeit mit anderen Gesundheitsdienstleistern.</p>																																																										





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